

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/6/2010 through 12/16/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LADENBURG THALMAN ALTERNATIVE STRATEGIES FUND	HAUPPAUGE, NY	12/8/2010	00598684-01	Pending
LONE OAK AQUISITION CORP	WANCHAI HONG KONG,	12/6/2010	00598643-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORPORATE PROPERTY ASSOC 14 INC	NEW YORK, NY	12/10/2010	00598964-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ACADIA MUTUAL FUNDS ACADIA PRINCIPAL CONSERVATION FUND	NEW YORK, NY	12/16/2010	00599174-03	Pending-Cleared
FT 2701 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 3	WHEATON, IL	12/16/2010	00599176-05	Pending-Cleared
FT 2702 PHARMACEUTICAL SELECT PORTFOLIO SERIES 36	WHEATON, IL	12/16/2010	00599180-05	Pending-Cleared
FT 2736 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	12/16/2010	00599181-05	Pending-Cleared
FT 2738 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	12/16/2010	00599179-05	Pending-Cleared
FT 2739 CANADIAN ENERGY & INCOME PORTFOLIO SERIES 20	WHEATON, IL	12/16/2010	00599182-05	Pending-Cleared
FT 2740 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	12/16/2010	00599178-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2763 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	12/16/2010	00599177-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 725 GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES 27	LISLE, IL	12/16/2010	00599175-05	Pending-Cleared
FUNDVANTAGE TR FORMULA INVESTING INTERNATIONAL VALUE 400 FUND CLASS A	WILMINGTON, DE	12/14/2010	00599038-03	Registered
FUNDVANTAGE TR FORMULA INVESTING INTERNATIONAL VALUE SELECT FUND CLASS A	WILMINGTON, DE	12/14/2010	00599039-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 735 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 7	LISLE, IL	12/14/2010	00599019-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 752 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIO SERIES 4	LISLE, IL	12/14/2010	00599018-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR IRONCLAD MANAGED RISK FUND	MILWAUKEE, WI	12/14/2010	00599017-03	Pending-Cleared
PROFESSIONAL MANAGED PORTFOLIOS DSM LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/14/2010	00599020-03	Pending-Cleared
FT 2734 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	12/13/2010	00598985-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/13/2010	00599016-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS A	MILWAUKEE, WI	12/13/2010	00599014-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS C	MILWAUKEE, WI	12/13/2010	00599015-03	Pending-Cleared

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INVESTMENT MANAGERS SERIES TR SGA GLOBAL GROWTH FUND	MILWAUKEE, WI	12/13/2010	00598984-03	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 27	MONUMENT, CO	12/10/2010	00598958-05	Pending-Cleared
BUILD AMERICA BOND LTD MATURITY PORTFOLIO SERIES 8 AN SMC FIM PORTFOLIO	MONUMENT, CO	12/10/2010	00598957-05	Pending-Cleared
BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2	MONUMENT, CO	12/10/2010	00598955-05	Pending-Cleared
FT 2774 BANKING OPPORTUNITY PORTFOLIO SERIES 6	WHEATON, IL	12/10/2010	00598959-05	Pending-Cleared
HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 31	MONUMENT, CO	12/10/2010	00598956-05	Pending-Cleared
IRONBRIDGE FUNDS INC IRONBRIDGE HORIZON FUND	MILWAUKEE, WI	12/10/2010	00598960-03	Pending-Cleared
NATIXIS FUNDS TR 2 LOOMIS SAYLES ABSOLUTE STRATEGIES FUND CLASS Y	BOSTON, MA	12/10/2010	00598933-03	Registered
NATIXIS FUNDS TR 2 LOOMIS SAYLES ABSOLUTE STRATEGIES FUND CLASS C	BOSTON, MA	12/10/2010	00598932-03	Registered
NATIXIS FUNDS TR 2 LOOMIS SAYLES ABSOLUTE STRATEGIES FUND CLASS A	BOSTON, MA	12/10/2010	00598931-03	Registered
VAN KAMPEN UNIT TR SERIES 1059 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598943-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1059 EAFE SELECT 20 PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598942-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1059 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO SERIES 2011 1	OAKBROOK, IL	12/10/2010	00598947-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1059 MULTI STRATEGY SERIES EAFE EDVY 2011 1	OAKBROOK, IL	12/10/2010	00598948-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1059 SELECT 10 INDUSTRIAL PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598949-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1059 SELECT 5 PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598944-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1059 SELECT S&P CORE PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598946-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1059 SELECT S&P INDUSTRIAL PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598945-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1061 BIRINYI EQUITY SELECT TR SERIES 30	OAKBROOK, IL	12/10/2010	00598950-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1061 ENHANCED INDEX STRATEGIES PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598952-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1061 LARGE CAP GROWTH STRATEGY PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598953-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1061 LARGE CAP VALUE STRATEGY PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598951-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1061 MULTI STRATEGY SERIES CORE EQUITY ALLOCATION PORTFOLIO 2011 1	OAKBROOK, IL	12/10/2010	00598954-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1073 GLOBAL PREFERRED SECURITIES PORTFOLIO SERIES 3	OAKBROOK, IL	12/10/2010	00598941-05	Pending-Cleared
1ST TR COMBINED SERIES 343 TX EX MUNICIPAL INCOME TR SERIES 262	WHEATON, IL	12/9/2010	00598758-05	Pending-Cleared
FT 2698 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 4	WHEATON, IL	12/9/2010	00598761-05	Pending-Cleared
FT 2699 MULTI SECTOR INCOME PORTFOLIO SERIES 2	WHEATON, IL	12/9/2010	00598760-05	Pending-Cleared
FT 2742 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 29	WHEATON, IL	12/9/2010	00598759-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 751 GUGGENHEIM GROWTH ASSET ALLOCATION PORTFOLIO OF ETFS SERIES 5	LISLE, IL	12/9/2010	00598755-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 751 GUGGENHEIM MODERATE ASSET ALLOCATION PORTFOLIO OF ETFS SERIES 5	LISLE, IL	12/9/2010	00598756-05	Pending-Cleared
MARSICO INVESTMENT FUND MARSICO EMERGING MARKETS FUND	DENVER, CO	12/9/2010	00598754-03	Pending-Cleared
PERONI GROWTH PORTFOLIO WINTER 2011 SERIES	MONUMENT, CO	12/9/2010	00598757-05	Pending-Cleared
MARSHALL FUNDS INC MARSHALL INTERMEDIATE TAX FREE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/8/2010	00598683-03	Pending-Cleared
NATIXIS FUNDS TR I NATIXIS OAKMARK GLOBAL FUND CLASS A	BOSTON, MA	12/8/2010	00598692-03	Registered
NATIXIS FUNDS TR I NATIXIS OAKMARK GLOBAL FUND CLASS C	BOSTON, MA	12/8/2010	00598694-03	Registered
NATIXIS FUNDS TR I NATIXIS OAKMARK INTERNATIONAL FUND CLASS A	BOSTON, MA	12/8/2010	00598693-03	Registered
NATIXIS FUNDS TR I NATIXIS OAKMARK INTERNATIONAL FUND CLASS C	BOSTON, MA	12/8/2010	00598695-03	Registered
1ST TR COMBINED SERIES 341 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 13	WHEATON, IL	12/7/2010	00598673-05	Pending-Cleared
FT 2733 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	12/7/2010	00598672-05	Pending-Cleared
FT 2741 INTEREST RATE HEDGE PORTFOLIO SERIES 21	WHEATON, IL	12/7/2010	00598674-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 749 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 27	LISLE, IL	12/7/2010	00598669-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 749 STRATEGIC INCOME PORTFOLIO SERIES 49	LISLE, IL	12/7/2010	00598670-05	Pending-Cleared
NAVELLIER KIMMEL FACTS STRATEGY PORTFOLIO SERIES 1	MONUMENT, CO	12/7/2010	00598671-05	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN INTERNATIONAL FUND CLASS C	NEW YORK, NY	12/7/2010	00598645-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 942 INVESTORS QUALITY TX EX TR SERIES 133	OAKBROOK, IL	12/7/2010	00598668-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 943 INVESTORS QUALITY TX EX TR SERIES 134	OAKBROOK, IL	12/7/2010	00598667-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 944 QUALITY MUNICIPALS INCOME TR 32ND LTD MATURITY SERIES	OAKBROOK, IL	12/7/2010	00598666-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 945 QUALITY MUNICIPALS INCOME TR 33RD LTD MATURITY SERIES	OAKBROOK, IL	12/7/2010	00598665-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 946 INVESTMENT GRADE MUNICIPAL TR SERIES 115	OAKBROOK, IL	12/7/2010	00598664-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1062 S&P DIVIDEND GROWTH OPPORTUNITIES TR SERIES 23	OAKBROOK, IL	12/7/2010	00598663-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1067 DIVIDEND INCOME & VALUE PORTFOLIO 2011 1	OAKBROOK, IL	12/7/2010	00598662-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1069 CONSERVATIVE MUNICIPAL INCOME PORTFOLIO SERIES 2	OAKBROOK, IL	12/7/2010	00598661-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1071 EMERGING MARKETS PORTFOLIO 2011 1	OAKBROOK, IL	12/7/2010	00598660-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1072 ECONOMIC EXPANSION PORTFOLIO SERIES 3	OAKBROOK, IL	12/7/2010	00598659-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND 2 GRT ABSOLUTE RETURN FUND ADVISOR CLASS SHARES	BOSTON, MA	12/6/2010	00598652-03	Registered
FT 2520 TARGET FOCUS 4 SEPT 10 TERM 11 30 11	WHEATON, IL	12/6/2010	00598635-05	Pending-Cleared
FT 2520 TARGET TRIAD SEPT 10 TERM 11 30 11	WHEATON, IL	12/6/2010	00598634-05	Pending-Cleared
FT 2566 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	12/6/2010	00598633-05	Pending-Cleared
FT 2737 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	12/6/2010	00598636-05	Pending-Cleared
FUNDTVANTAGE TR DUPONT CAPITAL EMERGING MARKETS FUND CLASS I	WILMINGTON, DE	12/6/2010	00598651-03	Registered
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN INTERNATIONAL FUND CLASS A	NEW YORK, NY	12/6/2010	00598644-03	Pending-Cleared
SMART TR ENHANCED VALUE 2 TR 2010 SERUES H	PARSIPPANY, NJ	12/6/2010	00598632-05	Pending-Cleared
Number of Investment Company Filings: 81				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
COMSTOCK MINING INC	VIRGINIA CITY, NV	12/16/2010	00599185-07	Filed
EDULENDER INC	CHICAGO, IL	12/16/2010	00599183-07	Filed
GREENWOODS FINANCIAL GROUP INC	LAKE MILLS, WI	12/16/2010	00599184-07	Filed
JRMB INC	LAWTON, OK	12/16/2010	00599186-07	Filed
COATUE QUALIFIED PARTNERS LP	NEW YORK, NY	12/15/2010	00599042-07	Filed
GRAND ENERGY 2010 82 LTD	ADDISON, TX	12/15/2010	00599041-07	Filed
BROWNSTONE MORTGAGE FUND 1 LP	SANTA ANA, CA	12/14/2010	00599023-07	Filed
SYNERGY RESOURCES CORP	PLATTEVILLE, CO	12/14/2010	00599024-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TIDAL PETROLEUM INC	SCHERTZ, TX	12/14/2010	00599026-07	Filed
AEI FISHER INVESTMENTS 4 LLC	CHICAGO, IL	12/13/2010	00599021-07	Filed
SE INDY LLC	CHICAGO, IL	12/13/2010	00598986-07	Filed
ZEPPELINN ENERGY LP	SAN ANTONIO, TX	12/13/2010	00599022-07	Filed
1 WILLIAM STREET CAPITAL PORTNERS 2 LP	NEW YORK, NY	12/10/2010	00598963-07	Filed
HATTERAS GLOBAL PRIVATE EQUITY PORTNERS INSTITUTIONAL LLC	RALEIGH, NC	12/10/2010	00598961-07	Filed
WAUD CAPITAL PARTNERS QP 3 LP	CHICAGO, IL	12/10/2010	00598962-07	Filed
ALPHA ENERGY PARTNERS DRILLING PROGRAM 2010 A LP	GETZVILLE, NY	12/9/2010	00598762-07	Filed
LS REAL ESTATE RECOVERY TR	NEW YORK, NY	12/9/2010	00598764-07	Filed
LS REAL ESTATE RECOVERY TR A	NEW YORK, NY	12/9/2010	00598763-07	Filed
CHINA WESEN RECYCLING TECHNOLOGY INC	GURANGZHOU,	12/8/2010	00598685-07	Filed
ARGYLE ENERGY 2010 3 LA 2 WELL PROGRAM LP	BOWLING GREEN, KY	12/7/2010	00598676-07	Filed
HIGHSTAR CAPITAL 4 LP	NEW YORK, NY	12/7/2010	00598675-07	Filed
IBG LLC	GREENWICH, CT	12/7/2010	00598646-07	Filed
MOBIUS THERAPEUTICS LLC	ST LOUIS, MO	12/6/2010	00598637-07	Filed
POINT LOBOS SPECIAL OPPORTUNITIES 3 LLC	SAN FANCISCO, CA	12/6/2010	00598638-07	Filed
Number of Regulation D Notice Filings: 24				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Lifetree, LLC / Lifetree Cafe	Loveland, CO	12/15/2010	601628	Registered
BEVERAGE FRANCHISE LLC / Mountain mudd	BILLINGS, MT	12/15/2010	601635	Registered
Transworld Business Advisors, LLC	West Palm Beach, FL	12/14/2010	601626	Registered
Valiant Health Care, Inc. / Accessible Home Health Care	Coral Springs, FL	12/14/2010	601625	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BAR LOUIE DEVELOPMENT INC	GLENVIEW, IL	12/14/2010	601631	Registered
PHILLY DAWGZ FRANCHISE SYSTEM INC	LITTLE CANADA, MN	12/14/2010	601632	Registered
CANDY BOUQUET INTERNATIONAL INC	LITTLE ROCK, AR	12/13/2010	601621	Registered
Valvoline Instant Oil Change Franchising Inc.	Lexington, KY	12/13/2010	601622	Registered
MISS UNIVERSE LP LLLP / Miss Teen USA	NEW YORK, NY	12/10/2010	601634	Registered
MISS UNIVERSE LP LLLP / Miss Universe, Miss Universie Organization, Miss USA	NEW YORK, NY	12/10/2010	601633	Registered
CK FRANCHISING INC	DAYTON, OH	12/9/2010	601638	Registered
HOMEVESTORS OF AMERICA INC	DALLAS, TX	12/9/2010	601637	Registered
Bizzibiz Franchise, Inc.	Scottsdale, AZ	12/7/2010	601603	Registered
Apollo Pet Care	Incline Village, NV	12/6/2010	601641	Registered
Number of Franchise Filings: 14				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	