

**WI Securities Law and Franchise Law Filings
for 11/22/2010 through 12/3/2010**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL ALL CAP VALUE INSTITUTIONAL CLASS	MILWAUKEE, WI	11/30/2010	00598082-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL ALL CAP VALUE CLASS A	MILWAUKEE, WI	11/30/2010	00598080-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL ALL CAP VALUE CLASS C	MILWAUKEE, WI	11/30/2010	00598081-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL SMALL CAP VALUE FUND CLASS C	MILWAUKEE, WI	11/30/2010	00598078-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	11/30/2010	00598077-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL SMALL CAP VALUE FUND CLASS A	MILWAUKEE, WI	11/30/2010	00598079-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EP ASIA SMALL CO FUND CLASS A	MILWAUKEE, WI	11/24/2010	00598015-03	Pending-Cleared
Number of Investment Company Filings: 7				

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MIDWEST RESOURCES 2010 1 OIL & GAS INCOME LP	BROOKFIELD, WI	11/24/2010	00598016-07	Filed
Number of Regulation D Notice Filings: 1				