

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/22/2010 through 12/3/2010**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LAKE HILLS CHURCH	AUSTIN, TX	12/3/2010	00598621-02	Pending
NORTHSTAR SENIOR CARE TR INC	NEW YORK, NY	11/30/2010	00598337-01	Pending
GOTTEX MULTI ALTERNATIVES FUND 1	BOSTON, MA	11/29/2010	00598044-01	Pending-Cleared
GOTTEX MULTI ALTERNATIVES FUND 2	BOSTON, MA	11/29/2010	00598045-01	Pending
GOTTEX MULTI ASSET ENDOWMENT FUND 1	BOSTON, MA	11/29/2010	00598042-01	Pending
GOTTEX MULTI ASSET ENDOWMENT FUND 2	BOSTON, MA	11/29/2010	00598043-01	Pending
Number of Registration Filings: 6				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2535 CORE HOLDINGS GROWTH TR 2010 FALL SERIES	WHEATON, IL	12/3/2010	00598619-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 746 GUGGENHEIM BULLETSHARES 2011-2017 CORPORATE BOND ETF LADDER PORTFOLIO S	LISLE, IL	12/3/2010	00598620-05	Pending-Cleared
1ST TR COMBINED SEIRES 342 BUILD AMERICA BONDS PORTFOLIO SERIES 23	WHEATON, IL	12/2/2010	00598513-05	Pending-Cleared
DFA INVESTMENT DIMENSIONS GROUP INC EMERGING MARKETS VALUE PORTFOLIO CLASS R2A SHARES	AUSTIN, TX	12/2/2010	00598537-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2695 BALANCED INCOME SELECT PORTFOLIO SERIES 18	WHEATON, IL	12/2/2010	00598510-05	Pending-Cleared
FT 2696 MARKET STRENGTH ALLOCATION PORTFOLIO SERIES 5	WHEATON, IL	12/2/2010	00598512-05	Pending-Cleared
FT 2697 TECHNOLOGY SELECT PORTFOLIO SERIES 38	WHEATON, IL	12/2/2010	00598509-05	Pending-Cleared
FT 2727 MUNICIPAL INCOME SLECT CLOSED END PORTFOLIO SEIRES 27	WHEATON, IL	12/2/2010	00598511-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 747 WILSHIRE GLOBAL ETF ALLOCATION PORTFOLIO SERIES 1	LISLE, IL	12/2/2010	00598508-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 750 CLOSED END INCOME & TREASURY LTD DURATION PORTFOLIO SERIES 10	LISLE, IL	12/2/2010	00598507-05	Pending-Cleared
PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECURITIES FUND CLASS P	DES MOINES, IA	12/2/2010	00598538-03	Pending-Cleared
REAL ESTATE INCOME TR PORTFOLIO SERIES 10	MONUMENT, CO	12/2/2010	00598506-05	Pending-Cleared
VAN KAMPEN UNI TR SERIES 1068 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2011 1	OAKBROOK, IL	12/2/2010	00598495-05	Pending-Cleared
VAN KAMPEN UNI TR SERIES 1070 PRECIOUS METALS & MINING PORTFOLIO 2011 1	OAKBROOK, IL	12/2/2010	00598494-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1060 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2011 1	OAKBROOK, IL	12/2/2010	00598499-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1060 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2011 1	OAKBROOK, IL	12/2/2010	00598498-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1063 S&P POWERPICKS PORTFOLIO 2011 1	OAKBROOK, IL	12/2/2010	00598497-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1066 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 38	OAKBROOK, IL	12/2/2010	00598496-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES TAXABLE INCOME SERIES 297 BUILD AMERICA BONDS INCOME TR SERIES 60	OAKBROOK, IL	12/2/2010	00598502-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES TAXABLE INCOME SERIES 298 BUILD AMERICAS BONDS INCOME TR SERIES 61	OAKBROOK, IL	12/2/2010	00598503-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES TAXABLE INCOME SERIES 299 BUILD AMERICAS BONDS INCOME TR SERIES 62	OAKBROOK, IL	12/2/2010	00598504-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES TAXABLE INCOME SERIES 300 BUILD AMERICAS BONDS INCOME TR SERIES 63	OAKBROOK, IL	12/2/2010	00598505-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES TAXABLE INCOME SERIES 301 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 26	OAKBROOK, IL	12/2/2010	00598501-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES TAXABLE INCOME SERIES 302 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 27	OAKBROOK, IL	12/2/2010	00598500-05	Pending-Cleared
FEDERATED EQUITY FUNDS FEDERATED GLOBAL EQUITY FUND INSTITUTIONAL SHARES	WARRENDALE, PA	12/1/2010	00598423-03	Pending-Cleared
FEDERATED INCOME SECURITIES TR FEDERATED FLOATING RATE STRATEGIC INCOME FUND INSTITUTIONAL SHARES	WARRENDALE, PA	12/1/2010	00598424-03	Pending-Cleared
FEDERATED INCOME SECURITIES TR FEDERATED GLOBAL MACRO BOND FUND INSTITUTIONAL SHARES	WARRENDALE, PA	12/1/2010	00598425-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN FUNDS ULTRA SHORT DURATION BOND FUND INSTITUTIONAL CLASS SHARES	COLUMBUS, OH	11/30/2010	00598335-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
JPMORGAN TR 1 INTREPID VALUE FUND CLASS R6	BOSTON, MA	11/30/2010	00598122-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CREDIT OPPORTUNITIES FUND CLASS A	BOSTON, MA	11/30/2010	00598098-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CREDIT OPPORTUNITIES FUND CLASS C	BOSTON, MA	11/30/2010	00598099-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CREDIT OPPORTUNITIES FUND CLASS R2	BOSTON, MA	11/30/2010	00598100-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CREDIT OPPORTUNITIES FUND CLASS R5	BOSTON, MA	11/30/2010	00598101-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CREDIT OPPORTUNITIES FUND CLASS R6	BOSTON, MA	11/30/2010	00598102-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CREDIT OPPORTUNITIES FUND SELECT CLASS	BOSTON, MA	11/30/2010	00598103-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GLOBAL NATURAL RESOURCES FUND CLASS R5	BOSTON, MA	11/30/2010	00598107-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GLOBAL NATURAL RESOURCES FUND CLASS R2	BOSTON, MA	11/30/2010	00598106-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GLOBAL NATURAL RESOURCES FUND CLASS A	BOSTON, MA	11/30/2010	00598104-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GLOBAL NATURAL RESOURCES FUND CLASS C	BOSTON, MA	11/30/2010	00598105-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GLOBAL NATURAL RESOURCES FUND SELECT CLASS	BOSTON, MA	11/30/2010	00598108-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GROWTH LONG SHORT FUND CLASS A	BOSTON, MA	11/30/2010	00598087-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GROWTH LONG SHORT FUND CLASS C	BOSTON, MA	11/30/2010	00598088-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN GROWTH LONG SHORT FUND SELECT CLASS	BOSTON, MA	11/30/2010	00598089-03	Pending-Cleared

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JPMORGAN TR 1 JPMORGAN HIGHBRIDGE DYNAMIC COMMODITIES FUND R5 CLASS	BOSTON, MA	11/30/2010	00598086-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN INFLATION MANAGED BOND FUND R6 CLASS	BOSTON, MA	11/30/2010	00598109-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN INTERNATIONAL EQUITY FUND R6 CLASS	BOSTON, MA	11/30/2010	00598110-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN INTERNATIONAL OPPORTUNITIES FUND R6 CLASS	BOSTON, MA	11/30/2010	00598111-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN INTERNATIONAL VALUE FUND R6 CLASS	BOSTON, MA	11/30/2010	00598112-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN LARGE CAP GROWTH FUND CLASS R6	BOSTON, MA	11/30/2010	00598119-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN LARGE CAP VALUE FUND CLASS R6	BOSTON, MA	11/30/2010	00598120-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MID CAP CORE FUND CLASS A	BOSTON, MA	11/30/2010	00598093-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MID CAP CORE FUND CLASS C	BOSTON, MA	11/30/2010	00598094-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MID CAP CORE FUND CLASS R2	BOSTON, MA	11/30/2010	00598095-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MID CAP CORE FUND CLASS R5	BOSTON, MA	11/30/2010	00598096-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MID CAP CORE FUND SELECT CLASS	BOSTON, MA	11/30/2010	00598097-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MULTI CAP LONG SHORT FUND CLASS A	BOSTON, MA	11/30/2010	00598090-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MULTI CAP LONG SHORT FUND CLASS C	BOSTON, MA	11/30/2010	00598091-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MULTI CAP LONG SHORT FUND SELECT CLASS	BOSTON, MA	11/30/2010	00598092-03	Pending-Cleared

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JPMORGAN TR 1 JPMORGAN MULTI SECTOR INCOME FUND CLASS A	BOSTON, MA	11/30/2010	00598113-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MULTI SECTOR INCOME FUND CLASS C	BOSTON, MA	11/30/2010	00598114-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MULTI SECTOR INCOME FUND R2 SHARES	BOSTON, MA	11/30/2010	00598115-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MULTI SECTOR INCOME FUND R5 SHARES	BOSTON, MA	11/30/2010	00598116-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MULTI SECTOR INCOME FUND SELECT CLASS	BOSTON, MA	11/30/2010	00598117-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN SMALL CAP GROWTH FUND CLASS R6	BOSTON, MA	11/30/2010	00598121-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN US EQUITY FUND CLASS R5	BOSTON, MA	11/30/2010	00598118-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL ALL CAP VALUE INSTITUTIONAL CLASS	MILWAUKEE, WI	11/30/2010	00598082-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL ALL CAP VALUE CLASS C	MILWAUKEE, WI	11/30/2010	00598081-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL ALL CAP VALUE CLASS A	MILWAUKEE, WI	11/30/2010	00598080-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL SMALL CAP VALUE FUND CLASS C	MILWAUKEE, WI	11/30/2010	00598078-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL SMALL CAP VALUE FUND CLASS A	MILWAUKEE, WI	11/30/2010	00598079-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SNOW CAPITAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	11/30/2010	00598077-03	Pending-Cleared
UBS FUNDS UBS FIXED INCOME OPPORTUNITIES FUND CLASS A	BOSTON, MA	11/30/2010	00598083-03	Pending-Cleared

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UBS FUNDS UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	BOSTON, MA	11/30/2010	00598084-03	Pending-Cleared
UBS FUNDS UBS FIXED INCOME OPPORTUNITIES FUND CLASS Y	BOSTON, MA	11/30/2010	00598085-03	Pending-Cleared
FT 2726 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	11/29/2010	00598049-05	Pending-Cleared
FT 2732 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	11/29/2010	00598050-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 748 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 4	LISLE, IL	11/29/2010	00598048-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 748 GUGGENHEIM BMAC COMMODITY PRODUCERS STRATEGY PORTFOLIO SERIES 4	LISLE, IL	11/29/2010	00598047-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 748 GUGGENHEIM GLOBAL TELECOM STRATEGY PORTFOLIO SERIES 4	LISLE, IL	11/29/2010	00598046-05	Pending-Cleared
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO BALANCED RISK COMMODITY STRATEGY FUND CLASS C SHARES	HOUSTON, TX	11/26/2010	00598037-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO BALANCED RISK COMMODITY STRATEGY FUND CLASS B SHARES	HOUSTON, TX	11/26/2010	00598034-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO BALANCED RISK COMMODITY STRATEGY FUND CLASS R SHARES	HOUSTON, TX	11/26/2010	00598036-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO BALANCED RISK COMMODITY STRATEGY FUND INSTITUTIONAL CLASS	HOUSTON, TX	11/26/2010	00598035-03	Registered

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AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO BALANCED RISK COMMODITY STRATEGY FUND CLASS Y SHARES	HOUSTON, TX	11/26/2010	00598038-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO BALANCED RISK COMMODITY STRATEGY FUND CLASS A SHARES	HOUSTON, TX	11/26/2010	00598033-03	Registered
PUTNAM RETIREMENTREADY FUNDS PUTNAM RETIREMENTREADY 2055 FUND CLASS C SHARES	BOSTON, MA	11/26/2010	00598029-03	Registered
PUTNAM RETIREMENTREADY FUNDS PUTNAM RETIREMENTREADY 2055 FUND CLASS Y SHARES	BOSTON, MA	11/26/2010	00598032-03	Registered
PUTNAM RETIREMENTREADY FUNDS PUTNAM RETIREMENTREADY 2055 FUND CLASS M SHARES	BOSTON, MA	11/26/2010	00598031-03	Registered
PUTNAM RETIREMENTREADY FUNDS PUTNAM RETIREMENTREADY 2055 FUND CLASS R SHARES	BOSTON, MA	11/26/2010	00598030-03	Registered
PUTNAM RETIREMENTREADY FUNDS PUTNAM RETIREMENTREADY 2055 FUND CLASS A SHARES	BOSTON, MA	11/26/2010	00598027-03	Registered
PUTNAM RETIREMENTREADY FUNDS PUTNAM RETIREMENTREADY 2055 FUND CLASS B SHARES	BOSTON, MA	11/26/2010	00598028-03	Registered
INVESTMENT MANAGERS SERIES TR EP ASIA SMALL CO FUND CLASS A	MILWAUKEE, WI	11/24/2010	00598015-03	Pending-Cleared
PRUDENTIAL JENNISON SMALL CO FUND INC CLASS Q	NEWARK, NJ	11/24/2010	00598076-03	Registered
CALVERT IMPACT FUND INC CALVERT SMALL CAP FUND CLASS B	BETHESDA, MD	11/23/2010	00597982-03	Pending-Cleared
ADVISORS CORPORATE TR CUTWATER INCOME OPPORTUNITIES PORTFOLIO SERIES 6	MONUMENT, CO	11/22/2010	00597908-05	Pending-Cleared

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AQUILLA 3 PEAKS OPPORTUNITY GROWTH FUND CLASS C	NEW YORK, NY	11/22/2010	00597903-03	Pending-Cleared
AQUILLA 3 PEAKS OPPORTUNITY GROWTH FUND CLASS I	NEW YORK, NY	11/22/2010	00597904-03	Pending-Cleared
AQUILLA 3 PEAKS OPPORTUNITY GROWTH FUND CLASS Y	NEW YORK, NY	11/22/2010	00597905-03	Pending-Cleared
BUILD AMERICA BOND LTF MATURITY PORTFOLIO SERIES 7 AN SMC FIM PORTFOLIO	MONUMENT, CO	11/22/2010	00597907-05	Pending-Cleared
CATAKYST FUNDS COMPASS EMP MULTI ASSET GROWTH FUND CLASS C	WILLOWGROVE, PA	11/22/2010	00597902-03	Pending-Cleared
FT 2661 GLOBAL DIVIDEND PORTFOLIO SERIES 23	WHEATON, IL	11/22/2010	00597916-05	Pending-Cleared
FT 2662 MEGA CAP PORTFOLIO SERIES 17	WHEATON, IL	11/22/2010	00597917-05	Pending-Cleared
FT 2663 INSURED MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	11/22/2010	00597914-05	Pending-Cleared
FT 2684 GREATER CHINA PORTFOLIO SERIES 24	WHEATON, IL	11/22/2010	00597918-05	Pending-Cleared
FT 2686 GLOBAL EQUITY INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	11/22/2010	00597921-05	Pending-Cleared
FT 2687 COVERED CALL OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	11/22/2010	00597919-05	Pending-Cleared
FT 2688 EQUITY INCOME PORTFOLIO SERIES 28	WHEATON, IL	11/22/2010	00597911-05	Pending-Cleared
FT 2691 GLOBAL COMMODITIES PORTFOLIO SERIES 14	WHEATON, IL	11/22/2010	00597920-05	Pending-Cleared
FT 2693 NATURAL GAS PORTFOLIO SERIES 8	WHEATON, IL	11/22/2010	00597913-05	Pending-Cleared
FT 2694 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 7	WHEATON, IL	11/22/2010	00597909-05	Pending-Cleared
FT 2709 SIM 60 40 DYNAMIC ALLOCATION PORTOFLO DEC 10 SERIES	WHEATON, IL	11/22/2010	00597910-05	Pending-Cleared

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FT 2725 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	11/22/2010	00597912-05	Pending-Cleared
FT 2731 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 37	WHEATON, IL	11/22/2010	00597915-05	Pending-Cleared
NORTHERN LIGHTS FUND TR ALTEGRIS MANAGED FUTURES STRATEGY FUND CLASS A	HAUPPAUGE, NY	11/22/2010	00597901-03	Pending-Cleared
NORTHERN LIGHTS FUND TR WINANS GOLD STRATEGY FUND	HAUPPAUGE, NY	11/22/2010	00597900-03	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 20 AN SMC FIM PORTFOLIO	MONUMENT, CO	11/22/2010	00597906-03	Pending-Cleared
Number of Investment Company Filings: 116				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIRSTATLANTIC FINANCIAL HOLDINGS INC	JACKSONVILLE, FL	12/3/2010	00598622-07	Filed
LUCAS VENTURE GROUP 6 LLC	MENLO PARK, CA	12/3/2010	00598623-07	Filed
ZINC AIR INC	KALISPELL, MT	12/3/2010	00598536-07	Filed
NEVADA GEOTHERMAL POWER INC	VANCOUVER BC V6C 1T2,	12/2/2010	00598514-07	Filed
VINCULUM WINE LTD	ROAD TOWN TORTOLA VG1110,	12/2/2010	00598515-07	Filed
INTERVENTION ENERGY LLC	MINOT, ND	12/1/2010	00598339-07	Filed
SOCIALWISE INC	SAN DIEGO, CA	12/1/2010	00598338-07	Filed
TYHEE DEVELOPMENT CORP	VANCOUVER BC B6B 1N2,	12/1/2010	00598340-07	Filed
LUCAS VENTURE GROUP 7 LLC	MENLO PARK, CA	11/30/2010	00598124-07	Filed
ARGYLE ENERGY 2010 4 YEP OFFSET DEVELOPMENT LP	BOWLING GREEN, KY	11/29/2010	00598052-07	Filed
ATHENA FINANCE LLC	SOUTHINGTON, CT	11/29/2010	00598055-07	Filed
CLEARVIEW ENERGY LP	DALLAS, TX	11/29/2010	00598053-07	Filed

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CLICKN KIDS INC	AGOURA HILLS, CA	11/29/2010	00598054-07	Filed
HOLOGIC INC	BEDFORD, MA	11/29/2010	00598059-07	Filed
MAC FINANCIAL LTD	HAMILTON HM12,	11/29/2010	00598056-07	Filed
SAVANNA REAL ESTATE FUND 2 LP	NEW YORK, NY	11/29/2010	00598058-07	Filed
SPRING BROOK FISHER AUTOMOTIVE FUND 1 LLC	NAPERVILLE, IL	11/29/2010	00598057-07	Filed
SPRING CREEK HOLDINGS LLC	BARRINGTON, IL	11/29/2010	00598051-07	Filed
ASCHERE ENERGY LLC	DALLAS, TX	11/24/2010	00598017-07	Filed
MIDWEST RESOURCES 2010 1 OIL & GAS INCOME LP	BROOKFIELD, WI	11/24/2010	00598016-07	Filed
COOL RIVER VENTURES LLC	SUCCASUNNA, NJ	11/23/2010	00597983-07	Filed
EURASIAN MINERALS INC	VANCOUVER BC V6C 3P1,	11/23/2010	00597985-07	Filed
FSP MONUMENT CIRCLE CORP	WAKEFIELD, MA	11/23/2010	00597986-07	Filed
GENAUDIO INC	CENTENNIAL, CO	11/23/2010	00597984-07	Filed
CH ROYAL OAK LLC	LAKE ANGELUS, MI	11/22/2010	00597923-07	Filed
GCOMMERCE INC	DES MOINES, IA	11/22/2010	00597924-07	Filed
MORGAN STANLEY HEDGEPREMIER DOUBLE BLACK DIAMOND FUND LP	NEW YORK, NY	11/22/2010	00597922-07	Filed
Number of Regulation D Notice Filings: 27				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GolfTEC Franchising LP	Centennial, CO	11/24/2010	601592	Registered
JAMBA JUICE CO	EMRYVILLE, CA	11/22/2010	601591	Registered
Number of Franchise Filings: 2				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	