

**WI Securities Law and Franchise Law Filings
for 11/15/2010 through 11/26/2010**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PUBLIC FINANCE AUTHORITY ADAMS COLUMBIA ELECTRIC COOPERATIVE	, WI	11/15/2010	00597555-08	Pending
PUBLIC FINANCE AUTHORITY CENTRAL WI ELECTRIC COOPERATIVE	, WI	11/15/2010	00597554-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR EP ASIA SMALL CO FUND CLASS A	MILWAUKEE, WI	11/24/2010	00598015-03	Pending-Cleared
ADVISORS SERIES TR POPLAR FOREST PARTNERS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	11/16/2010	00597694-03	Pending-Cleared
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MIDWEST RESOURCES 2010 1 OIL & GAS INCOME LP	BROOKFIELD, WI	11/24/2010	00598016-07	Filed
Number of Regulation D Notice Filings: 1				