

**WI Securities Law and Franchise Law Filings  
for 11/1/2010 through 11/12/2010**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WAUPACA BANCORP INC	WAUPACA, WI	11/4/2010	00596702-08	Not Disallowed
AMBAC ASSURANCE CORP	, WI	11/2/2010	00596421-09	Opinion Issued
Number of Exemption Filings: 2				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ENTREPRENEURSHARES SERIES TR ENTRPRENEUMSHARES GLOBAL FUND CLASS A	MILWAUKEE, WI	11/10/2010	00597426-03	Pending-Cleared
ENTREPRENEURSHARES SERIES TR ENTRPRENEUMSHARES GLOBAL FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	11/10/2010	00597425-03	Pending-Cleared
ENTREPRENEURSHARES SERIES TR ENTRPRENEUMSHARES GLOBAL FUND RETAIL CLASS	MILWAUKEE, WI	11/10/2010	00597424-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC INTERNATIONAL BOND FUND CLASS A	MILWAUKEE, WI	11/4/2010	00596694-03	Pending-Cleared
Number of Investment Company Filings: 4				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FLEX BIOMEDICAL INC	MADISON, WI	11/4/2010	00596695-07	Filed
Number of Regulation D Notice Filings: 1				

**WI Securities Law and Franchise Law Filings  
for 11/1/2010 through 11/12/2010**

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Menasha, WI	11/5/2010	601535	Registered
Number of Franchise Filings: 1				