

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/1/2010 through 11/12/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN REALTY CAPITAL TR 3 INC	NEW YORK, NY	11/12/2010	00597493-01	Pending
WOODMEN VALLEY CHAPEL	COLORADO SPRINGS, CO	11/9/2010	00597329-02	Pending
ODONNELL STRATEGIC GATEWAY REIT INC	NEWPORT BEACH, CA	11/5/2010	00596717-01	Pending
GLOBAL PROPERTY ASSOC 17 GLOBAL INC	NEW YORK, NY	11/3/2010	00596679-01	Pending
FRATERNITY COMMUNITY BANCORP INC	BALTIMORE, MD	11/1/2010	00596378-01	Pending
Number of Registration Filings: 5				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WAUPACA BANCORP INC	WAUPACA, WI	11/4/2010	00596702-08	Not Disallowed
AMBAC ASSURANCE CORP	, WI	11/2/2010	00596421-09	Opinion Issued
ALETHEIA BAPTIST CHURCH INC	MACON, GA	11/1/2010	00596390-08	Pending
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 26	MONUMENT, CO	11/12/2010	00597497-05	Pending-Cleared
FT 2654 CONVERTIBLE & INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	11/12/2010	00597500-05	Pending-Cleared
FT 2655 EQUITY OPPORTUNITIES CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	11/12/2010	00597506-05	Pending-Cleared
FT 2659 ETF GROWTH & INCOME DEC 10	WHEATON, IL	11/12/2010	00597503-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2683 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	11/12/2010	00597501-05	Pending-Cleared
FT 2714 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	11/12/2010	00597502-05	Pending-Cleared
FT 2722 PREFERRED INCOME PORTFOLIO SERIES 40	WHEATON, IL	11/12/2010	00597505-05	Pending-Cleared
FT 2724 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	11/12/2010	00597504-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 734 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 6	LISLE, IL	11/12/2010	00597498-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 744 GLOBAL WATER EQUITIES PORTFOLIO SERIES 19	LISLE, IL	11/12/2010	00597499-05	Pending-Cleared
INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 24	MONUMENT, CO	11/12/2010	00597496-05	Pending-Cleared
STRATEGIC FOUNDATIONS OF GROWTH PORTFOLIO SERIES 2010 1	MONUMENT, CO	11/12/2010	00597494-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 13	MONUMENT, CO	11/12/2010	00597495-05	Pending-Cleared
FORUM FUNDS DIVIDEND PLUS INCOME FUND INVESTOR CLASS	PORTLAND, ME	11/11/2010	00597481-03	Registered
LEGG MASON GLOBAL TR INC LEGG MASON BATTERYMARCH EMERGING MARKETS TR CLASS R	BALTIMORE, MD	11/11/2010	00597474-03	Registered
TCW FUNDS INC TCW GROWTH FUND CLASS I	LOS ANGELES, CA	11/11/2010	00597479-03	Registered
TCW FUNDS INC TCW GROWTH FUND CLASS N	LOS ANGELES, CA	11/11/2010	00597480-03	Registered
ENTREPRENEURSHARES SERIES TR ENTRPRENEUMSHARES GLOBAL FUND RETAIL CLASS	MILWAUKEE, WI	11/10/2010	00597424-03	Pending-Cleared
ENTREPRENEURSHARES SERIES TR ENTRPRENEUMSHARES GLOBAL FUND CLASS A	MILWAUKEE, WI	11/10/2010	00597426-03	Pending-Cleared

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ENTREPRENEURSHARES SERIES TR ENTRPRENEUMSHARES GLOBAL FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	11/10/2010	00597425-03	Pending-Cleared
FT 2646 ALTERNATIVE ENERGY PORTFOLIO SERIES 16	WHEATON, IL	11/9/2010	00597324-05	Pending-Cleared
FT 2647 BICK PORTFOLIO SERIES 4	WHEATON, IL	11/9/2010	00597325-05	Pending-Cleared
FT 2648 DFENSIVE EQUITY PORTFLIO SERIES 13	WHEATON, IL	11/9/2010	00597326-05	Pending-Cleared
FT 2704 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	11/9/2010	00597328-05	Pending-Cleared
FT 2706 INTEREST RATE HEDGE PORTFOLIO SERIES 19	WHEATON, IL	11/9/2010	00597327-05	Pending-Cleared
FUNDEVANTAGE TR FORMULA INVESTING US VALUE 1000 FUND CLASS A	WILMINGTON, DE	11/9/2010	00597420-03	Registered
FUNDEVANTAGE TR FORMULA INVESTING US VALUE SELECT FUND CLASS A	WILMINGTON, DE	11/9/2010	00597421-03	Registered
FT 2667 AUTOMATED QUANTITATIVE ANALYSIS PORTFOLIO SERIES 2	WHEATON, IL	11/8/2010	00596769-05	Pending-Cleared
GUGGENHEIM DEFEINED PORTFOLIO SERIES 742 CLOSED END INFRASTRUCTURE & MLP PORTFOLIO SERIES 9	LISLE, IL	11/8/2010	00596766-05	Pending-Cleared
GUGGENHEIM DEFEINED PORTFOLIO SERIES 742 CLOSED END COVERED CALL & INCOME PORTFOLIO SERIES 20	LISLE, IL	11/8/2010	00596767-05	Pending-Cleared
GUGGENHEIM DEFEINED PORTFOLIO SERIES 742 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 17	LISLE, IL	11/8/2010	00596768-05	Pending-Cleared
CENTURY CAPITAL MANAGEMENT TR CENTURY GROWTH OPPORTUNITY FUND INSTITUTIONAL SHARES	PORTLAND, ME	11/5/2010	00596762-03	Pending-Cleared

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COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 2 YR SERIES 12	MONUMENT, CO	11/5/2010	00596721-05	Pending-Cleared
FT 2645 EQUITY INCOME PLUS PORTFOLIO SERIES 25	WHEATON, IL	11/5/2010	00596723-05	Pending-Cleared
FT 2651 UTILITIES SELECT PORTFOLIO SERIES 15	WHEATON, IL	11/5/2010	00596726-05	Pending-Cleared
FT 2652 DIVERSIFIED INCOME & GROWTH PORTFOLIO WINTER 2010 SERIES	WHEATON, IL	11/5/2010	00596724-05	Pending-Cleared
FT 2712 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 29	WHEATON, IL	11/5/2010	00596722-05	Pending-Cleared
FT 2721 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	11/5/2010	00596725-05	Pending-Cleared
SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO SERIES 18 2 YR	MONUMENT, CO	11/5/2010	00596718-05	Pending-Cleared
STRATEGIC HIGH 80 DVIDEND PORTFOLIO SERIES 2010 4Q	MONUMENT, CO	11/5/2010	00596720-05	Pending-Cleared
TX EX SECURITIES TR NATIONAL TR 467	MONUMENT, CO	11/5/2010	00596719-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 936 INSURED MUNICIPALS INCOME TR SERIES 623	OAKBROOK, IL	11/5/2010	00596735-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 937 INSURED MUNICIPALS INCOME TR SERIES 624	OAKBROOK, IL	11/5/2010	00596734-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 938 INVESTMENT GRADE MUNICIPAL TR SERIES 114	OAKBROOK, IL	11/5/2010	00596733-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 939 INVESTORS QUALITY TX EX TR SERIES 132	OAKBROOK, IL	11/5/2010	00596732-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 940 INVESTMENT GRADE MUNICIPALS TR INTERMEDIATE SERIES 16	OAKBROOK, IL	11/5/2010	00596731-05	Pending-Cleared

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VAN KAMPEN UNIT TR MUNICIPAL SERIES 941 QUALITY MUNICIPALS INCOME TR 31ST LTD MATURITY SERIES	OAKBROOK, IL	11/5/2010	00596730-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1051 PREFERRED SECURITIES PORTFOLIO SERIES 30	OAKBROOK, IL	11/5/2010	00596729-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1058 GLOBAL PREFERRED SECURITIES PORTFOLIO SERIES 2	OAKBROOK, IL	11/5/2010	00596728-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 296 INCOME OPPORTUNITIES TR SERIES 2	OAKBROOK, IL	11/5/2010	00596727-05	Pending-Cleared
VANGUARD STAR FUNDS VANGUARD DEVELOPED MARKETS INDEX FUND INSTITUTIONAL PLUS SHARES	VALLEY FORGE, PA	11/5/2010	00596738-03	Pending-Cleared
VANGUARD STAR FUNDS VANGUARD TOTAL INTERNATIONAL STOCK INDEX INSTITUTIONAL SHARES	VALLEY FORGE, PA	11/5/2010	00596737-03	Pending-Cleared
VANGUARD STAR FUNDS VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND SIGNAL SHARES	VALLEY FORGE, PA	11/5/2010	00596736-03	Pending-Cleared
VANGUARD STAR FUNDS VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ADMIRAL SHARES	VALLEY FORGE, PA	11/5/2010	00596740-03	Pending-Cleared
VANGUARD STAR FUNDS VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND INSTITUTIONAL PLUS SHARES	VALLEY FORGE, PA	11/5/2010	00596739-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC INTERNATIONAL BOND FUND CLASS A	MILWAUKEE, WI	11/4/2010	00596694-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON CAPITAL MANAGEMENT DISCIPLINED EQUITY RESEARCH FUND CLASS C	BALTIMORE, MD	11/4/2010	00596706-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON CAPITAL MANAGEMENT DISCIPLINED EQUITY RESEARCH FUND CLASS I	BALTIMORE, MD	11/4/2010	00596708-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON CAPITAL MANAGEMENT DISCIPLINED EQUITY RESEARCH FUND CLASS A	BALTIMORE, MD	11/4/2010	00596707-03	Registered
OPPENHEIMER GLOBAL VALUE FUND CLASS C	DENVER, CO	11/4/2010	00596693-03	Pending-Cleared
OPPENHEIMER GLOBAL VALUE FUND CLASS N	DENVER, CO	11/4/2010	00596692-03	Pending-Cleared
OPPENHEIMER GLOBAL VALUE FUND CLASS Y	DENVER, CO	11/4/2010	00596691-03	Pending-Cleared
CM ADVISORS FAMILY OF FUNDS CM ADVISORS OPPORTUNITY FUND	CINCINNATI, OH	11/3/2010	00596678-03	Pending-Cleared
FORWARD FUNDS FORWARD LONG SHORT CREDIT ANALYSIS FUND CLASS C SHARES	SAN FRANCISCO, CA	11/3/2010	00596712-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC WORLD EX US VALUE PORTFOLIO INSTITUTIONAL CLASS SHARES	AUSTIN, TX	11/2/2010	00596430-03	Registered
ASTON FUNDS ASTON CROSSWIND SMALL CAP GROWTH FUND CLASS N SHARES	CHICAGO, IL	11/1/2010	00596672-03	Registered
ASTON FUNDS ASTON DYNAMIC ALLOCATION FUND CLASS I SHARES	CHICAGO, IL	11/1/2010	00596671-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DFA COMMODITY STRATEGY PORTFOLIO	AUSTIN, TX	11/1/2010	00596674-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DIVIDNED ADVANTAGE PORTFOLIO SERIES 8	MONUMENT, CO	11/1/2010	00596379-05	Pending-Cleared
FT 2682 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	11/1/2010	00596380-05	Pending-Cleared
JP MORGAN TR 1 JPMORGAN EMERGING ECONOMICS FUND CLASS R5	BOSTON, MA	11/1/2010	00596376-03	Pending-Cleared
JP MORGAN TR 1 JPMORGAN EMERGING ECONOMICS FUND SELECT CLASS	BOSTON, MA	11/1/2010	00596377-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN EMERGING ECONOMICS FUND CLASS A	BOSTON, MA	11/1/2010	00596374-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN EMERGING ECONOMICS FUND CLASS C	BOSTON, MA	11/1/2010	00596375-03	Pending-Cleared
LEGG MASON PARTNERS INCOME TR WESTERN ASSET EMERGING MARKETS DEBT PORTFOLIO CLASS FI	NEW YORK, NY	11/1/2010	00596675-03	Registered
MOTLEY FOOL FUNDS TR MOTLEY FOOL GREAT AMERICA FUND	ALEXANDRIA, VA	11/1/2010	00596673-03	Registered
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 290 BUILD AMERICA BONDS INCOME TR SERIES 56	OAKBROOK, IL	11/1/2010	00596383-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 291 BUILD AMERICA BONDS INCOME TR SERIES 57	OAKBROOK, IL	11/1/2010	00596384-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 292 BUILD AMERICA BONDS INCOME TR SERIES 58	OAKBROOK, IL	11/1/2010	00596385-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 293 BUILD AMERICA BONDS INCOME TR SERIES 59	OAKBROOK, IL	11/1/2010	00596386-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 294 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 24	OAKBROOK, IL	11/1/2010	00596382-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 295 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 25	OAKBROOK, IL	11/1/2010	00596381-05	Pending-Cleared
Number of Investment Company Filings: 82				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SEER CAPITAL PARTNERS FUND LP	GRAND CAYMAN KY1-9005,	11/12/2010	00597507-07	Filed
VOM CAPITAL PARTNERS LP	FORT WORTH, TX	11/12/2010	00597512-07	Filed
2323 NORTH MILWAUKEE LLC	CHICAGO, IL	11/11/2010	00597438-07	Filed
LINUX GOLD CORP	RICHMOND BC V7A 5E9,	11/11/2010	00597437-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	11/11/2010	00597439-07	Filed
ADVANCED PROTEOME THERAPUETICS CORP	BOSTON, MA	11/10/2010	00597428-07	Filed
MARQUETTE CAPITAL FUND 2 LP	MINNEAPOLIS, MN	11/9/2010	00597330-07	Filed
BIG NIGHT VENTUES BOSTON 1 LLC	BOSTON, MA	11/8/2010	00596771-07	Filed
BIRRBIT INC	KENTFIELD, CA	11/8/2010	00596772-07	Filed
CANCER GENETICS INC	RUTHERFORD, NJ	11/5/2010	00596742-07	Filed
CAVAN OPPORTUNITY FUND LLC	SCOTTSDALE, AZ	11/5/2010	00596744-07	Filed
COLCHESTER GLOBAL BOND AI FUND	NEW YORK, NY	11/5/2010	00596745-07	Filed
TRI LAND KANSAS CITY INVESTORS LLC	WESTCHESTER, IL	11/5/2010	00596743-07	Filed
VYSTAR CORP	DULUTH, GA	11/5/2010	00596746-07	Filed
CHINA DREDGING GOUP CO LTD	FUZHOU CITY,	11/4/2010	00596696-07	Filed
FLEX BIOMEDICAL INC	MADISON, WI	11/4/2010	00596695-07	Filed
WALTON KIMBERLIN HEIGHTS LP	SCOTTSDALE, AZ	11/4/2010	00596697-07	Filed
KENNEDY JONES JOINT VENTURE	SAN ANTONIO, TX	11/3/2010	00596680-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LC ASIA PRIVATE INVESTORS LLC	NEW YORK, NY	11/3/2010	00596681-07	Filed
LC ASIA PRIVATE INVESTORS OFFSHORE LP	NEW YORK, NY	11/3/2010	00596682-07	Filed
AEI METRICSTREAM INVESTMENTS 4 LLC	CHICAGO, IL	11/1/2010	00596410-07	Filed
BIOMASS PRODUCTS LLC	SPICER, MN	11/1/2010	00596387-07	Filed
GRAND ENERGY INC	ADDISON, TX	11/1/2010	00596389-07	Filed
IBG LLC	GREENWICH, CT	11/1/2010	00596409-07	Filed
MURVIN & MEIER OIL CO	BARRINGTON, IL	11/1/2010	00596388-07	Filed
Number of Regulation D Notice Filings: 25				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ITEX CORP	BELLEVUE, WA	11/12/2010	601566	Registered
MOSSY OAK PROPERTIES INC	WEST POINT, MS	11/12/2010	601567	Registered
Anago Franchising, Inc.	Pompano Beach, FL	11/11/2010	601563	Registered
RUBY TUESDAY INC	MARYVILLE, TN	11/11/2010	601564	Registered
Aspen Leaf Yogurt, LLC / Rocky Mountain Chocolate Factory	Durango, CO	11/10/2010	601554	Registered
BB FRANCHISING LLC / Brain Balance Centers	Jersey City, NJ	11/9/2010	601553	Registered
Blue-Grace Franchise, LLC / Blue-Grace Logistics	Riverview, FL	11/8/2010	601549	Registered
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Menasha, WI	11/5/2010	601535	Registered
ATLANTA BREAD CO INTERNATIONAL INC	SMYRNA, GA	11/5/2010	601541	Registered
PREMIER RENTAL PURCHASE INC	WILLIAMSBURG, VA	11/5/2010	601542	Registered
The Dentist's Choice, Inc.	Incline Village, NV	11/4/2010	601534	Registered
The Senior's Choice, Inc.	Incline Village, NV	11/4/2010	601533	Registered
AGILE PURSUITS FRANCHISING INC / Mr. Clean Car Wash Program	CINCINNATI, OH	11/3/2010	601536	Registered
Cell Again Franchising, LLC	Orem, UT	11/3/2010	601579	Registered
DIRECTBUY INC	MERRILLVILLE, IN	11/3/2010	601537	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER RECOVERY MANAGEMENT - Recovery Management Services	MEMPHIS, TN	11/1/2010	601519	Registered
1 800 FLOWERS.COM FRANCHISE CO INC / Standard Program	CARLE PLACE, NY	11/1/2010	601520	Registered
US LAWNS INC	ORLANDO, FL	11/1/2010	601521	Registered
Number of Franchise Filings: 18				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	