

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/20/2010 through 10/1/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SUNSHINE FINANCIAL INC	TALLAHASSEE, FL	9/30/2010	00593596-01	Pending
GC NET LEASE REIT INC	EL SEGUNDO, CA	9/27/2010	00593469-01	Pending
AMERICAN REALTY CAPITAL RETAIL CENTERS OF AMERICA INC	NEW YORK, NY	9/21/2010	00592283-01	Pending
BEHRINGER HARVARD OPPORTUNITY REIT 2 INC	ADDISON, TX	9/20/2010	00592263-01	Pending
CHAPEL ROCK CHRISTIAN CHURCH INC	INDIANAPOLIS, IN	9/20/2010	00592264-02	Pending
Number of Registration Filings: 5				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
UNIVERSITY OF NOTRE DAME DU LAC	NOTRE DAME, IN	9/22/2010	00592298-08	Pending
MSB BANCORP INC	MARION, WI	9/20/2010	00592274-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2587 DIVIDEND & INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES	WHEATON, IL	10/1/2010	00593662-05	Pending-Cleared
FT 2590 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES	WHEATON, IL	10/1/2010	00593661-05	Pending-Cleared
FT 2596 CANADIAN ENERGY & INCOME PORTFOLIO SERIES 19	WHEATON, IL	10/1/2010	00593668-05	Pending-Cleared
FT 2598 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 5	WHEATON, IL	10/1/2010	00593665-05	Pending-Cleared
FT 2598 SMID CAPITAL STRENGTH PORTFOLIO SERIES 5	WHEATON, IL	10/1/2010	00593664-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2626 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 23	WHEATON, IL	10/1/2010	00593669-05	Pending-Cleared
FT 2633 PREFERRED INCOME PORTFOLIO SERIES 39	WHEATON, IL	10/1/2010	00593667-05	Pending-Cleared
FT 2665 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	10/1/2010	00593663-05	Pending-Cleared
FT 2666 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIOS SERIES 13	WHEATON, IL	10/1/2010	00593666-05	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS HEARTLAND INTENATIONAL SMALL CAP FUND	MILWAUKEE, WI	10/1/2010	00593649-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 928 QUALITY MUNICIPALS INCOME TR 29TH LTD MATURITY SERIES	OAKBROOK, IL	10/1/2010	00593660-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 929 QUALITY MUNICIPALS INCOME TR 30TH LTD MATURITY SERIES	OAKBROOK, IL	10/1/2010	00593659-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 930 INSURED MUNICIPALS INCOME TR SERIES 620	OAKBROOK, IL	10/1/2010	00593658-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 931 INSURED MUNICIPALS INCOME TR SERIES 621	OAKBROOK, IL	10/1/2010	00593657-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 932 INSURED MUNICIPALS INCOME TR SERIES 622	OAKBROOK, IL	10/1/2010	00593656-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 933 INVESTMENT GRADE MUNICIPAL TR SERIES 113	OAKBROOK, IL	10/1/2010	00593655-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 934 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 15	OAKBROOK, IL	10/1/2010	00593654-05	Pending-Cleared

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VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 284 BUILD AMERICA BONDS INCOME TR SERIES 53	OAKBROOK, IL	10/1/2010	00593651-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 285 BUILD AMERICA BONDS INCOME TR SERIES 54	OAKBROOK, IL	10/1/2010	00593652-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 286 BUILD AMERICA BONDS INCOME TR SERIES 55	OAKBROOK, IL	10/1/2010	00593653-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 289 GNMA INCOME PORTFOLIO SERIES 9	OAKBROOK, IL	10/1/2010	00593650-05	Pending-Cleared
1ST TR COMBINED SERIES 331 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 9	WHEATON, IL	9/29/2010	00593570-05	Pending-Cleared
BALANCED PORTFOLIO SEIRES 9	MONUMENT, CO	9/29/2010	00593566-05	Pending-Cleared
FT 2560 TARGET DVD MULTI STRAT PLUS 4Q 10 TERM 12 30 11	WHEATON, IL	9/29/2010	00593568-05	Pending-Cleared
FT 2625 INTEREST RATE HEGE PORTFOLIO SERIES 16	WHEATON, IL	9/29/2010	00593569-05	Pending-Cleared
PRICE T ROWE INSTITUTIONAL INTERNATIONAL FUNDS INC PTRII CORE EQUITY FUND	BALTIMORE, MD	9/29/2010	00593565-03	Pending-Cleared
TX EX SECURITIES TR NATIONAL TR 466	MONUMENT, CO	9/29/2010	00593567-05	Pending-Cleared
DRIEHAUS MUTUAL FUNDS DRIEHAUS SELECT CREDIT FUND	CHICAGO, IL	9/28/2010	00593628-03	Registered
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS EMERGING MARKETS FUND	BOSTON, MA	9/28/2010	00593631-03	Registered
FT 2555 INCOME ALLOCATIONA CLOSED END OCT 10	WHEATON, IL	9/28/2010	00593527-05	Pending-Cleared
FT 2556 DOW TARGET 10 OCT 10 TERM 10 31 11	WHEATON, IL	9/28/2010	00593532-05	Pending-Cleared
FT 2556 DOW TARGET DVD OCT 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593533-05	Pending-Cleared

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FT 2556 TARGET FOCUS 4 OCT 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593535-05	Pending-Cleared
FT 2556 TARGET TRIAD OCT 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593534-05	Pending-Cleared
FT 2556 TARGET VIP OCT 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593536-05	Pending-Cleared
FT 2557 DOW TARGET 5 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593537-05	Pending-Cleared
FT 2557 GLOBAL TARGET 15 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593541-05	Pending-Cleared
FT 2557 MSCI EAFE TARGET 20 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593539-05	Pending-Cleared
FT 2557 NASDAQ TARGET 15 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593542-05	Pending-Cleared
FT 2557 NYSE INTL TARGET 25 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593540-05	Pending-Cleared
FT 2557 S&P TARGET 24 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593538-05	Pending-Cleared
FT 2557 S&P TARGET SMID 60 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593549-05	Pending-Cleared
FT 2557 TARGET 50 50 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593530-05	Pending-Cleared
FT 2557 TARGET DBL PLAY 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593531-05	Pending-Cleared
FT 2557 TARGET DIVSD DVD 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593529-05	Pending-Cleared
FT 2557 TARGET DVD MULTI STRAT 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593528-05	Pending-Cleared
FT 2557 TARGET FOCUS 5 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593550-05	Pending-Cleared
FT 2557 TARGET GLOBAL DVD LEADERS 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593546-05	Pending-Cleared
FT 2557 TARGET GROWTH 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593543-05	Pending-Cleared
FT 2557 TARGET SMALL CAP 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593544-05	Pending-Cleared
FT 2557 TARGET VIP CONS EQTY 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593547-05	Pending-Cleared
FT 2557 VALUE LINE DIVSD TARGET 40 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593545-05	Pending-Cleared
FT 2557 VALUE LINE TARGET 25 4Q 10 TERM 12 30 11	WHEATON, IL	9/28/2010	00593548-05	Pending-Cleared

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GUGGENHEIM DEFINE PORTFOLIOS SERIES 718 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO SERIES 9	LISLE, IL	9/28/2010	00593526-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CURRENT YIELD MONEY MARKET FUND INSTITUTIONAL CLASS	BOSTON, MA	9/28/2010	00593523-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CURRENT YIELD MONEY MARKET FUND CAPITAL SHARES	BOSTON, MA	9/28/2010	00593522-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MANAGED INCOME FUND INSTITUTIONAL CLASS	BOSTON, MA	9/28/2010	00593525-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MANAGED INCOME FUND SELECT SHARES	BOSTON, MA	9/28/2010	00593524-03	Pending-Cleared
PIMCO FUNDS PIMCO COMMODITIESPLUS SHORT STRATEGY FUND CLASS C	NEWPORT BEACH, CA	9/28/2010	00593630-03	Registered
PIMCO FUNDS PIMCO COMMODITIESPLUS SHORT STRATEGY FUND CLASS A	NEWPORT BEACH, CA	9/28/2010	00593629-03	Registered
FIDELITY INVESTMENT TR FIDELITY LATIN AMERICA FUND FIDELITY ADVISOR LATIN AMERICA FUND CLASS B	BOSTON, MA	9/27/2010	00593622-03	Registered
FIDELITY INVESTMENT TR FIDELITY LATIN AMERICA FUND FIDELITY ADVISOR LATIN AMERICA FUND CLASS C	BOSTON, MA	9/27/2010	00593623-03	Registered
FIDELITY INVESTMENT TR FIDELITY LATIN AMERICA FUND FIDELITY ADVISOR LATIN AMERICA FUND CLASS A	BOSTON, MA	9/27/2010	00593624-03	Registered
FIDELITY INVESTMENT TR FIDELITY LATIN AMERICA FUND FIDELITY ADVISOR LATIN AMERICA FUND CLASS T	BOSTON, MA	9/27/2010	00593626-03	Registered

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FIDELITY INVESTMENT TR FIDELITY LATIN AMERICA FUND FIDELITY ADVISOR LATIN AMERICA FUND INSTITUTIONAL CLASS	BOSTON, MA	9/27/2010	00593625-03	Registered
VAN KAMPEN UNIT TR SERIES 1030 BIRINYI EQUITY SELECT TR SERIES 29	OAKBROOK, IL	9/27/2010	00593478-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1030 ENHANCED INDEX STRATEGIES PORTFOLIO 2010 4	OAKBROOK, IL	9/27/2010	00593482-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1030 LARGE CAP GROWTH STRATEGY PORTFOLIO 2010 4	OAKBROOK, IL	9/27/2010	00593480-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1030 LARGE CAP VALUE STRATEGY PORTFOLIO 2010 4	OAKBROOK, IL	9/27/2010	00593479-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1030 MULTI STRATEGY SERIES CORE EQUITY ALLOCATION PORTFOLIO 2010 4	OAKBROOK, IL	9/27/2010	00593481-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1032 S&P POWERPICKS PORTFOLIO 2010 4	OAKBROOK, IL	9/27/2010	00593477-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1033 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO SERIES 4	OAKBROOK, IL	9/27/2010	00593475-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1033 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 26	OAKBROOK, IL	9/27/2010	00593476-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1037 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2010 4	OAKBROOK, IL	9/27/2010	00593474-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1039 EMERGING MARKETS PORTFOLIO 2010 4	OAKBROOK, IL	9/27/2010	00593473-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1042 SELECT 10 INDUSTRIAL PORTFOLIO 2010 6	OAKBROOK, IL	9/27/2010	00593472-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1044 DOW JONES LARGE CAP GROWTH STRATEGY 2010 4	OAKBROOK, IL	9/27/2010	00593471-05	Pending-Cleared
VANGUARD INTERNATIONAL EQUITY INDEX FUNDS VANGUARD GLOBAL EX US REAL ESTATE INDEX FUND INVESTOR SHARES	VALLEY FORGE, PA	9/27/2010	00593464-03	Pending-Cleared
VANGUARD INTERNATIONAL EQUITY INDEX FUNDS VANGUARD GLOBAL EX US REAL ESTATE INDEX FUND SIGNAL SHARES	VALLEY FORGE, PA	9/27/2010	00593465-03	Pending-Cleared
VANGUARD INTERNATIONAL EQUITY INDEX FUNDS VANGUARD GLOBAL EX US REAL ESTATE INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/27/2010	00593466-03	Pending-Cleared
FT 2490 BASIC MATERIALS SELECT PORTFOLIO SERIES 8	WHEATON, IL	9/24/2010	00593415-05	Pending-Cleared
FT 2594 CAPITAL STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	9/24/2010	00593418-05	Pending-Cleared
FT 2611 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 2	WHEATON, IL	9/24/2010	00593417-05	Pending-Cleared
FT 2631 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	9/24/2010	00593416-05	Pending-Cleared
ADVISORS CORPORATE TR CUTWATER INCOME OPPORTUNITES PORTFOLIO SERIES 5	MONUMENT, CO	9/23/2010	00593261-05	Pending-Cleared
AGRICULTURE OPPORTUNITY PORTFOLIO SERIES 6	MONUMENT, CO	9/23/2010	00592294-05	Pending-Cleared
COLUMBIA FRONTIER FUND INC CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593311-03	Registered
COLUMBIA FRONTIER FUND INC CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593313-03	Registered
COLUMBIA FRONTIER FUND INC CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593315-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA INTERNATIONAL VALUE FUND CLASS R	BOSTON, MA	9/23/2010	00593331-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA LARGE CAP CORE FUND CLASS W	BOSTON, MA	9/23/2010	00593335-03	Registered

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COLUMBIA FUNDS SERIES TR COLUMBIA LARGE CAP VALUE FUND CLASS W	BOSTON, MA	9/23/2010	00593337-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA MARSICO GROWTH FUND CLASS W	BOSTON, MA	9/23/2010	00593332-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA MID CAP VALUE FUND CLASS W	BOSTON, MA	9/23/2010	00593334-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA MULTI ADVISOR INTERNATIONAL EQUITY FUND CLASS W	BOSTON, MA	9/23/2010	00593330-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA SHORT TERM BOND FUND CLASS R	BOSTON, MA	9/23/2010	00593336-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA SHORT TERM BOND FUND CLASS W	BOSTON, MA	9/23/2010	00593333-03	Registered
COLUMBIA GOVERNMENT MONEY MARKET FUND INC CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593363-03	Registered
COLUMBIA GOVERNMENT MONEY MARKET FUND INC CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593375-03	Registered
COLUMBIA SELIGMAN COMMUNICATIONS & INFORMATION FUND INC CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593312-03	Registered
COLUMBIA SELIGMAN COMMUNICATIONS & INFORMATION FUND INC CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593299-03	Registered
COLUMBIA SELIGMAN COMMUNICATIONS & INFORMATION FUND INC CLASS R3	MINNEAPOLIS, MN	9/23/2010	00593318-03	Registered
COLUMBIA SELIGMAN COMMUNICATIONS & INFORMATION FUND INC CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593301-03	Registered
RIVERSOURCE BOND SERIES INC COLUMBIA FLOATING RATE FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593300-03	Registered
RIVERSOURCE BOND SERIES INC COLUMBIA FLOATING RATE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593322-03	Registered

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RIVERSOURCE BOND SERIES INC COLUMBIA INCOME OPPORTUNITIES FUND CLASS W	MINNEAPOLIS, MN	9/23/2010	00593314-03	Registered
RIVERSOURCE BOND SERIES INC COLUMBIA INCOME OPPORTUNITIES FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593302-03	Registered
RIVERSOURCE BOND SERIES INC COLUMBIA INCOME OPPORTUNITIES FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593304-03	Registered
RIVERSOURCE BOND SERIES INC COLUMBIA INFLATION PROTECTED SECURITIES FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593320-03	Registered
RIVERSOURCE BOND SERIES INC COLUMBIA INFLATION PROTECTED SECURITIES FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593306-03	Registered
RIVERSOURCE BOND SERIES INC COLUMBIA LTD DURATION CREDIT FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593316-03	Registered
RIVERSOURCE DIVERSIFIED INCOME SERIES INC COLUMBIA DIVERSIFIED BOND FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593303-03	Registered
RIVERSOURCE EQUITY SERIES INC COLUMBIA MID CAP GROWTH OPPORTUNITY FUND CLASS R3	MINNEAPOLIS, MN	9/23/2010	00593307-03	Registered
RIVERSOURCE EQUITY SERIES INC COLUMBIA MID CAP GROWTH OPPORTUNITY FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593305-03	Registered
RIVERSOURCE EQUITY SERIES INC COLUMBIA MID CAP GROWTH OPPORTUNITY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593309-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA ABSOLUTE RETURN CURRENCY & INCOME FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593362-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA EMERGING MARKETS OPPORTUNITY FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593369-03	Registered

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RIVERSOURCE GLOBAL SERIES INC COLUMBIA EMERGING MARKETS OPPORTUNITY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593371-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA EMERGING MARKETS OPPORTUNITY FUND CLASS W	MINNEAPOLIS, MN	9/23/2010	00593383-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA EMERGING MARKETS BOND FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593377-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA GLOBAL BOND FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593379-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA GLOBAL BOND FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593373-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA GLOBAL EQUITY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593361-03	Registered
RIVERSOURCE GLOBAL SERIES INC COLUMBIA GLOBAL EXTENDED ALPHA FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593364-03	Registered
RIVERSOURCE GOVERNMENT INCOME SERIES INC COLUMBIA US GOVERNMENT MORTGAGE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593325-03	Registered
RIVERSOURCE GOVERNMENT INCOME SERIES INC RIVERSOURCE SHORT DURATION US GOVERNMENT FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593323-03	Registered
RIVERSOURCE HIGH YIELD INCOME SERIES INC COLUMBIA HIGH YIELD BOND FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593366-03	Registered
RIVERSOURCE INCOME SERIES INC COLUMBIA INCOME BUILDER FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593403-03	Registered

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RIVERSOURCE INCOME SERIES INC COLUMBIA INCOME BUILDER FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593393-03	Registered
RIVERSOURCE INTERNATIONAL MANAGERS SERIES INC COLUMBIA MULTI ADVISOR INTERNATIONAL VALUE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593372-03	Registered
RIVERSOURCE INTERNATIONAL MANAGERS SERIES INC RIVERSOURCE PARTNERS INTERNATIONAL SELECT GROWTH FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593370-03	Registered
RIVERSOURCE INTERNATIONAL MANAGERS SERIES INC RIVERSOURCE PARTNERS INTERNATIONAL SMALL CAP FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593374-03	Registered
RIVERSOURCE INTERNATIONAL MANAGERS SERIES INC RIVERSOURCE PARTNERS INTERNATIONAL SELECT GROWTH FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593368-03	Registered
RIVERSOURCE INTERNATIONAL MANAGERS SERIES INC RIVERSOURCE PARTNERS INTERNATIONAL SMALL CAP FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593376-03	Registered
RIVERSOURCE INTERNATIONAL SERIES INC COLUMBIA ASIA PACIFIC EX JAPAN FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593384-03	Registered
RIVERSOURCE INTERNATIONAL SERIES INC COLUMBIA ASIA PACIFIC EX JAPAN FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593382-03	Registered
RIVERSOURCE INTERNATIONAL SERIES INC COLUMBIA ASIA PACIFIC EX JAPAN FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593385-03	Registered
RIVERSOURCE INTERNATIONAL SERIES INC COLUMBIA ASIA PACIFIC EX JAPAN FUND CLASS A	MINNEAPOLIS, MN	9/23/2010	00593380-03	Registered

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Firm Name	Location	Date Received	File Number	Status
RIVERSOURCE INTERNATIONAL SERIES INC COLUMBIA ASIA PACIFIC EX JAPAN FUND CLASS I	MINNEAPOLIS, MN	9/23/2010	00593413-03	Registered
RIVERSOURCE INTERNATIONAL SERIES INC COLUMBIA EUROPEAN EQUITY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593378-03	Registered
RIVERSOURCE INVESTMENT SERIES INC COLUMBIA DIVERSIFIED EQUITY INCOME FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593389-03	Registered
RIVERSOURCE INVESTMENT SERIES INC COLUMBIA LARGE GROWTH QUANTITATIVE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593391-03	Registered
RIVERSOURCE INVESTMENT SERIES INC COLUMBIA LARGE VALUE QUANTITATIVE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593407-03	Registered
RIVERSOURCE INVESTMENT SERIES INC COLUMBIA MID CAP VALUE OPPORTUNITY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593401-03	Registered
RIVERSOURCE INVESTMENT SERIES INC RIVERSOURCE BALANCED FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593409-03	Registered
RIVERSOURCE INVESTMENT SERIES INC RIVERSOURCE BALANCED FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593387-03	Registered
RIVERSOURCE LARGE CAP SERIES INC COLUMBIA LARGE CORE QUANTITATIVE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593395-03	Registered
RIVERSOURCE MANAGERS SERIES INC COLUMBIA MULTI ADVISOR SMALL CAP VALUE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593412-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER CONSERVATIVE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593396-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER MODERATE CONSERVATIVE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593404-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER CONSERVATIVE FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593394-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER MODERATE CONSERVATIVE FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593402-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER AGGRESSIVE FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593390-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER MODERATE AGGRESSIVE FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593398-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER MODERATE FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593406-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER AGGRESSIVE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593392-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER MODERATE AGGRESSIVE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593400-03	Registered
RIVERSOURCE MARKET ADVANTAGE SERIES INC COLUMBIA PORTFOLIO BUILDER MODERATE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593408-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RIVERSOURCE MONEY MARKET SERIES INC COLUMBIA MONEY MARKET FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593410-03	Registered
RIVERSOURCE SECTOR SERIES INC COLUMBIA DIVIDEND OPPORTUNITY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593338-03	Registered
RIVERSOURCE SECTOR SERIES INC RIVERSOURCE REAL ESTATE FUND CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593340-03	Registered
RIVERSOURCE SERIES TR COLUMBIA 120 20 CONTRARIAN EQUITY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593347-03	Pending-Cleared
RIVERSOURCE SERIES TR COLUMBIA MARSICO FLEXIBLE CAPITAL FUND CLASS A	MINNEAPOLIS, MN	9/23/2010	00593326-03	Registered
RIVERSOURCE SERIES TR COLUMBIA MARSICO FLEXIBLE CAPITAL FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593328-03	Registered
RIVERSOURCE SERIES TR COLUMBIA MARSICO FLEXIBLE CAPITAL FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593327-03	Registered
RIVERSOURCE SERIES TR COLUMBIA MARSICO FLEXIBLE CAPITAL FUND CLASS R	MINNEAPOLIS, MN	9/23/2010	00593329-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RECOVERY & INFRASTRUCTURE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593357-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2010 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593339-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2015 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593355-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2020 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593341-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2025 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593351-03	Registered

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Firm Name	Location	Date Received	File Number	Status
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2030 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593343-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2035 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593359-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2040 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593345-03	Registered
RIVERSOURCE SERIES TR COLUMBIA RETIREMENT PLUS 2045 FUND CLASS C	MINNEAPOLIS, MN	9/23/2010	00593353-03	Registered
RIVERSOURCE SPECIAL TX EX SERIES TR COLUMBIA MN TX EX FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593342-03	Registered
RIVERSOURCE STRATEGIC ALLOCATION SERIES INC COLUMBIA STRATEGIC ALLOCATION FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593349-03	Registered
RIVERSOURCE STRATEGY SERIES INC COLUMBIA EQUITY VALUE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593344-03	Registered
RIVERSOURCE TX EX SERIES INC COLUMBIA AMT FREE TX EX BOND FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593346-03	Registered
SELIGMAN CAPITAL FUND INC CLASS R3	MINNEAPOLIS, MN	9/23/2010	00593308-03	Registered
SELIGMAN CAPITAL FUND INC CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593324-03	Registered
SELIGMAN CAPITAL FUND INC CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593310-03	Registered
SELIGMAN GLOBAL FUND SERIES INC COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593319-03	Registered
SELIGMAN GLOBAL FUND SERIES INC COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593321-03	Registered
SELIGMAN GLOBAL FUND SERIES INC COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593317-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SELIGMAN GROWTH FUND INC CLASS R3	MINNEAPOLIS, MN	9/23/2010	00593365-03	Registered
SELIGMAN GROWTH FUND INC CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593381-03	Registered
SELIGMAN GROWTH FUND INC CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593367-03	Registered
SELIGMAN LASALLE REAL ESTATE FUND SERIES INC RIVERSOURCE LASALLE MONTHLY DIVIDEND REAL ESTATE FUND CLASS R3	MINNEAPOLIS, MN	9/23/2010	00593399-03	Registered
SELIGMAN LASALLE REAL ESTATE FUND SERIES INC RIVERSOURCE LASALLE MONTHLY DIVIDEND REAL ESTATE FUND CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593386-03	Registered
SELIGMAN LASALLE REAL ESTATE FUND SERIES INC RIVERSOURCE LASALLE GLOBAL REAL ESTATE FUND CLASS R3	MINNEAPOLIS, MN	9/23/2010	00593411-03	Registered
SELIGMAN LASALLE REAL ESTATE FUND SERIES INC RIVERSOURCE LASALLE MONTHLY DIVIDEND REAL ESTATE FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593388-03	Registered
SELIGMAN LASALLE REAL ESTATE FUND SERIES INC RIVERSOURCE LASALLE GLOBAL REAL ESTATE FUND CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593397-03	Registered
SELIGMAN LASALLE REAL ESTATE FUND SERIES INC RIVERSOURCE LASALLE GLOBAL REAL ESTATE FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593405-03	Registered
SELIGMAN VALUE FUND SERIES INC COLUMBIA SELECT LARGE CAP VALUE FUND CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593348-03	Registered
SELIGMAN VALUE FUND SERIES INC COLUMBIA SELECT LARGE CAP VALUE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593354-03	Registered
SELIGMAN VALUE FUND SERIES INC COLUMBIA SELECT LARGE CAP VALUE FUND CLASS W	MINNEAPOLIS, MN	9/23/2010	00593352-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SELIGMAN VALUE FUND SERIES INC COLUMBIA SELECT LARGE CAP VALUE FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593350-03	Registered
SELIGMAN VALUE FUND SERIES INC COLUMBIA SELECT SMALLER CAP VALUE FUND CLASS R5	MINNEAPOLIS, MN	9/23/2010	00593358-03	Registered
SELIGMAN VALUE FUND SERIES INC COLUMBIA SELECT SMALLER CAP VALUE FUND CLASS Z	MINNEAPOLIS, MN	9/23/2010	00593360-03	Registered
SELIGMAN VALUE FUND SERIES INC COLUMBIA SELECT SMALLER CAP VALUE FUND CLASS R4	MINNEAPOLIS, MN	9/23/2010	00593356-03	Registered
FT 2576 PHARMACEUTICAL SELECT PORTFOLIO SERIES 35	WHEATON, IL	9/22/2010	00592292-05	Pending-Cleared
FT 2621 GLOBAL BOND INCOME PLUS CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	9/22/2010	00592293-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SEIRES 17 AN SMC FIM PORTFOLIO	MONUMENT, CO	9/21/2010	00592285-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 705 CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 5	LISLE, IL	9/21/2010	00592284-05	Pending-Cleared
NASDAQ Q 50 INDEX PORTFOLIO SERIES 3	MONUMENT, CO	9/21/2010	00592286-05	Pending-Cleared
1ST TR COMBINED SERIES 330 EX EX MUNICIPAL INCOME TR SERIES 259	WHEATON, IL	9/20/2010	00592258-05	Pending-Cleared
BUILD AMERICA BOND LTD MATURITY PORTFOLIO SERIES 6 AN AMC FIM PORTFOLIO	MONUMENT, CO	9/20/2010	00592255-05	Pending-Cleared
CLAYMORE SECURITIES DEFEINED PORTFOLIO SERIES 716 DIVERSIFIED DIVIDEND & INCOME PORTFOLIO SERIES 12	LISLE, IL	9/20/2010	00592251-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EATON VANCE SPECIAL INVESTMENT TR EATON VANCE OPTION ABSOLUTE RETURN STRATEGY FUND CLASS C SHARES	BOSTON, MA	9/20/2010	00593256-03	Registered
EATON VANCE SPECIAL INVESTMENT TR EATON VANCE OPTION ABSOLUTE RETURN STRATEGY FUND CLASS A SHARES	BOSTON, MA	9/20/2010	00593255-03	Registered
EATON VANCE SPECIAL INVESTMENT TR EATON VANCE OPTION ABSOLUTE RETURN STRATEGY FUND CLASS I SHARES	BOSTON, MA	9/20/2010	00593257-03	Registered
FT 2623 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 25	WHEATON, IL	9/20/2010	00592257-05	Pending-Cleared
HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 30	MONUMENT, CO	9/20/2010	00592254-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR GAVEKAL PLATFORM CO FUND ADVISOR CLASS	MILWAUKEE, WI	9/20/2010	00592270-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR GAVEKAL PLATFORM CO FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/20/2010	00592271-05	Pending-Cleared
NAVELLIER TRADEWINDS EMERGING MARKETS EQUITY PORTFOLIO SERIES 1	MONUMENT, CO	9/20/2010	00592253-05	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 18 AN AMC FIM PORTOLIO	MONUMENT, CO	9/20/2010	00592256-05	Pending-Cleared
TX EX MUNICIPAL PORTFOLIOS SERIES 4 INSURED AN SMC FIM PORTFOLIO	LISLE, IL	9/20/2010	00592252-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1036 DIVIDEND INCOME & VALUE PORTFOLIO 2010 4	OAKBROOK, IL	9/20/2010	00592262-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1038 PRECIOUS METALS & MINING PORTFOLIO 2010 4	OAKBROOK, IL	9/20/2010	00592261-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1040 ECONOMIC EXPANSION PORTFOLIO SERIES 2	OAKBROOK, IL	9/20/2010	00592260-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1041 ARGUS TURNAROUND STRATEGY PORTFOLIO 2010A	OAKBROOK, IL	9/20/2010	00592259-05	Pending-Cleared
Number of Investment Company Filings: 223				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLUEROCK REAL ESTATE SPECIAL OPPORTTUNITY PLUS INCOME FUND 2 LLC	NEW YORK, NY	10/1/2010	00593670-07	Filed
MOBI LLC	MINNEAPOLIS, MN	10/1/2010	00593671-07	Filed
SUSTAINABLE SITES 1 LLC	WEST PALM BEACH, FL	9/30/2010	00593598-07	Filed
USNH LEASE FINANCE LLC	LITTLE ROCK, AR	9/30/2010	00593597-07	Filed
ACCELERATED I O INC	TULSA, OK	9/27/2010	00593467-07	Filed
MURVIN & MEIER OIL CO	BARRINGTON, IL	9/27/2010	00593468-07	Filed
HJSI PREFERRED FUNDING 2 LLC	SOUTHPORT, CT	9/24/2010	00593420-07	Filed
RREEF GLOBAL OPPORTUNITIES FUND 2 LLC	WILMINGTON, DE	9/24/2010	00593419-07	Filed
ARTIMAN VENTURES 3 LP	EAST PALO ALTO, CA	9/23/2010	00593262-07	Filed
CHINA AGRICORP INC	JIAOZUO HE'NAN,	9/23/2010	00593263-07	Filed
PENNECO DRILLING ASSOC 2010 1	DELMONT, PA	9/23/2010	00593264-07	Filed
RADIUS FINANCE LLC	STONEHAM, MA	9/22/2010	00592296-07	Filed
WEST JANICE SALT WATER DISPOSAL 1 JOINT VENTURE	HURST, TX	9/22/2010	00592297-07	Filed
2010 PDP OFFSET DEVELOPMENT LP	BOWLING GREEN, KY	9/21/2010	00592267-07	Filed
TDR CAPITAL ALGECO SCOTSMAN LP	LONDON WIK 1AF,	9/21/2010	00592288-07	Filed
TIDAL PETROLEUM INC	SHERTZ, TX	9/21/2010	00592287-07	Filed
HARD ROCK PARTNERS 2010 A LP	CHARLES, WV	9/20/2010	00592273-07	Filed
LA POSADA 3 D PROSPECT LP	SOUTHLAKE, TX	9/20/2010	00592266-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PLATINUM ENERGY PARTNERS 2007 C LP	GETZVILLE, NY	9/20/2010	00592265-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BARBERS HAIRSTYLING FOR MEN & WOMEN INC / Raze	MINNEAPOLIS, MN	10/1/2010	601423	Registered
BARBERS HAIRSTYLING FOR MEN & WOMEN INC / Cost Cutters	MINNEAPOLIS, MN	10/1/2010	601424	Registered
BARBERS HAIRSTYLING FOR MEN & WOMEN INC / Cool Cuts 4 Kids	MINNEAPOLIS, MN	10/1/2010	601421	Registered
BARBERS HAIRSTYLING FOR MEN & WOMEN INC / City Looks	MINNEAPOLIS, MN	10/1/2010	601422	Registered
Econo-Lube N Tune, Inc.	CHARLOTTE, NC	10/1/2010	601431	Registered
JUST BETWEEN FRIENDS FRANCHISE SYSTEM INC	BROKEN ARROW, OK	10/1/2010	601428	Registered
LINE X FRANCHISING LLC	SANTA ANA, CA	10/1/2010	601427	Registered
MAACO Franchising, Inc.	CHARLOTTE, NC	10/1/2010	601432	Registered
MEINEKE CAR CARE CENTERS INC / Econo-Lube N Tune, Inc.	CHARLOTTE, NC	10/1/2010	601430	Registered
MEINEKE CAR CARE CENTERS INC	CHARLOTTE, NC	10/1/2010	601429	Registered
RPC Acquisition Corp. / Pro Cuts	MINNEAPOLIS, MN	10/1/2010	601425	Registered
Supercuts, Inc.	MINNEAPOLIS, MN	10/1/2010	601426	Registered
Vein Clinics of America, Inc. / Vein Clinics of America	Downers Grove, IL	9/30/2010	601411	Registered
AlphaGraphics, Inc. / AlphaGraphics	Salt Lake City, UT	9/30/2010	601409	Registered
BRINKER INTERNATIONAL PAYROLL LP / Chili's Grill & Bar	DALLAS, TX	9/30/2010	601412	Registered
COFFEE BEANERY LTD	FLUSHING, MI	9/30/2010	601413	Registered
TSS PHOTOGRAPHY INC	DULUTH, GA	9/30/2010	601416	Registered
InShape MD Franchising, LLC. / InShape MD Weight Loss Clinic	Duluth, GA	9/29/2010	601408	Registered
Equitax, Inc.	NORCROSS, GA	9/29/2010	601418	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
PRECISION FRANCHISING LLC / Precision Tune, Precision Tune Auto Care	LEESBURG, VA	9/29/2010	601420	Registered
ConvaCare Services, Inc.	Clearwater, FL	9/28/2010	601419	Registered
MEDICAP PHARMACIES INC	EARTH CITY, MO	9/28/2010	601414	Registered
MEDICINE SHOPPE INTERNATIONAL INC	Dublin, OH	9/28/2010	601407	Registered
NITE TIME DECOR INC	LUBBOCK, TX	9/28/2010	601415	Registered
FANTASTIC SAMS SALONS CORP	BEVERLY, MA	9/27/2010	601401	Registered
Junk King	San Francisco, CA	9/27/2010	601402	Registered
PRICELESS RENT A CAR LLC	KENSINTON, MD	9/24/2010	601403	Registered
CLEANING AUTHORITY INC	COLUMBIA, MD	9/23/2010	601405	Registered
E & I Holdings, Inc.	Lone Tree, CO	9/23/2010	601406	Registered
ADVANCED FRESH CONCEPTS FRANCHISE CORP	RANCHO DOMINGUEZ, CA	9/21/2010	601399	Registered
BUNDY AMERICAN LLC	OWINGS MILLS, MD	9/21/2010	601400	Registered
TAF Mid-America Enterprises	Northbrook, IL	9/20/2010	601393	Registered
FRONTIER ADJUSTERS INC	PHOENIX, AZ	9/20/2010	601394	Registered
Number of Franchise Filings: 33				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	