

**WI Securities Law and Franchise Law Filings  
for 9/13/2010 through 9/24/2010**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MSB BANCORP INC	MARION, WI	9/20/2010	00592274-08	Pending
Number of Exemption Filings: 1				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR GAVEKAL PLATFORM CO FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/20/2010	00592271-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR GAVEKAL PLATFORM CO FUND ADVISOR CLASS	MILWAUKEE, WI	9/20/2010	00592270-03	Pending-Cleared
Number of Investment Company Filings: 2				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Regulation D Notice Filings: 0				