

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/6/2010 through 9/17/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALLIANCE DEVELOPMENT FUND INC	COLORADO SPRINGS, CO	9/14/2010	00590861-02	Pending
ALLIANCE DEVELOPMENT FUND INC	COLORADO SPRINGS, CO	9/14/2010	00590862-02	Pending
ALLIANCE DEVELOPMENT FUND INC	COLORADO SPRINGS, CO	9/14/2010	00590860-02	Pending
ALLIANCE DEVELOPMENT FUND INC	COLORADO SPRINGS, CO	9/14/2010	00590859-02	Pending
AMERICAN REALTY CAPITAL HEALTHCARE TR INC	NEW YORK, NY	9/9/2010	00587771-01	Pending
AMERICAN BAPTIST EXTENSION CORP	KING OF PRUSSIA, PA	9/7/2010	00587722-02	Pending
AMERICAN BAPTIST EXTENSION CORP	KING OF PRUSSIA, PA	9/7/2010	00587723-02	Pending
BOARD OF CHURCH EXTENSION OF DISCIPLES OF CHRIST INC	INDIANAPOLIS, IN	9/7/2010	00587721-02	Pending
FLATWORLD ACQUISITION CORP	ROAD TOWN TOTOLA VG1110,	9/7/2010	00587707-01	Pending
HICKS ACQUISITION CO 2 INC	DALLAS, TX	9/7/2010	00587705-01	Pending-Cleared
Number of Registration Filings: 10				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHINA CERAMICS CO LTD	JINJIANG CITY FUJUAN,	9/7/2010	00587730-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2595 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIOS SERIES 2	WHEATON, IL	9/17/2010	00591331-05	Pending-Cleared
FT 2622 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	9/17/2010	00591330-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2624 MULTI SECTOR INCOME PORTFOLIO SERIES	WHEATON, IL	9/17/2010	00591328-05	Pending-Cleared
FT 2630 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 3	WHEATON, IL	9/17/2010	00591329-05	Pending-Cleared
PREFERRED INCOME OPPORTUNITIES PORTFOLIO 2 YR SERIES 8	MONUMENT, CO	9/17/2010	00591327-05	Pending-Cleared
USAA MUTUAL FUNDS TR REAL RETURN FUND	SAN ANTONIO, TX	9/17/2010	00591333-03	Pending-Cleared
USAA MUTUAL FUNDS TR ULTRA SHORT TERM BOND FUND	SAN ANTONIO, TX	9/17/2010	00591332-03	Pending-Cleared
AMERICAN INDEPENDENCE FUNDS TR NESTEGG 2050 FUND INSTITUTIONAL CLASS	NEW YORK, NY	9/15/2010	00590894-03	Pending-Cleared
FUNDVANTAGE TR POLEN GROWTH FUND INSTITUTIONAL CLASS	WILMINGTON, DE	9/15/2010	00590900-03	Registered
ADVISORS CORPORATE TR NAVELLER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 24	MONUMENT, CO	9/14/2010	00590869-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 714 GUGGENHEIM GROWTH ASSET ALLOCATION PORTFOLIO OF ETFs SERIES 4	LISLE, IL	9/14/2010	00590872-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 714 GUGGENHEIM MODERATE ASSET ALLOCATION PORTFOLIO OF ETFs SERIES 4	LISLE, IL	9/14/2010	00590871-05	Pending-Cleared
GLOBAL BASIC MATERIALS PORTFOLIO SERIES 13	MONUMENT, CO	9/14/2010	00590870-05	Pending-Cleared
GLOBAL GOLD INCOME PORTFOLIO SERIES 7 AN SMC PORTFOLIO	MONUMENT, CO	9/14/2010	00590868-05	Pending-Cleared
PIMCO FUNDS PIMCO HIGH YIELD SPECTRUM FUND CLASS A	NEWPORT BEACH, CA	9/14/2010	00590886-03	Registered
PIMCO FUNDS PIMCO HIGH YIELD SPECTRUM FUND CLASS C	NEWPORT BEACH, CA	9/14/2010	00590888-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PIMCO FUNDS PIMCO HIGH YIELD SPECTRUM FUND CLASS D	NEWPORT BEACH, CA	9/14/2010	00590890-03	Registered
PIMCO FUNDS PIMCO HIGH YIELD SPECTRUM FUND CLASS P	NEWPORT BEACH, CA	9/14/2010	00590889-03	Registered
PIMCO FUNDS PIMCO HIGH YIELD SPECTRUM FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	9/14/2010	00590887-03	Registered
VAN KAMPEN UNIT TR MUNICIPALS SERIES 926 QUALITY MUNICIPALS INCOME TR 28TH LTD MATURITY SERIES	OAKBROOK, IL	9/14/2010	00590867-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPALS SERIES 927 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 14	OAKBROOK, IL	9/14/2010	00590866-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1034 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 25	OAKBROOK, IL	9/14/2010	00590864-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1034 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 21	OAKBROOK, IL	9/14/2010	00590865-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1035 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 37	OAKBROOK, IL	9/14/2010	00590863-05	Pending-Cleared
ALLIANCEBERNSTEIN CORPORATE SHARES ALLIANCEBERNSTEIN TAXABLE MULTI SECTOR INCOME SHARES	NEW YORK, NY	9/13/2010	00590767-03	Registered
MFS SERIES TR 7 MFS ASIA PACIFIC EX JAPAN FUND CLASS A SHARES	BOSTON, MA	9/13/2010	00590760-03	Registered
MFS SERIES TR 7 MFS ASIA PACIFIC EX JAPAN FUND CLASS B SHARES	BOSTON, MA	9/13/2010	00590762-03	Registered
MFS SERIES TR 7 MFS ASIA PACIFIC EX JAPAN FUND CLASS C SHARES	BOSTON, MA	9/13/2010	00590764-03	Registered

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Firm Name	Location	Date Received	File Number	Status
MFS SERIES TR 7 MFS ASIA PACIFIC EX JAPAN FUND CLASS I SHARES	BOSTON, MA	9/13/2010	00590766-03	Registered
MFS SERIES TR 7 MFS EUROPEAN EQUITY FUND CLASS A SHARES	BOSTON, MA	9/13/2010	00590755-03	Registered
MFS SERIES TR 7 MFS EUROPEAN EQUITY FUND CLASS B SHARES	BOSTON, MA	9/13/2010	00590756-03	Registered
MFS SERIES TR 7 MFS EUROPEAN EQUITY FUND CLASS C SHARES	BOSTON, MA	9/13/2010	00590757-03	Registered
MFS SERIES TR 7 MFS EUROPEAN EQUITY FUND CLASS I SHARES	BOSTON, MA	9/13/2010	00590759-03	Registered
MFS SERIES TR 7 MFS LATIN AMERICAN EQUITY FUND CLASS A SHARES	BOSTON, MA	9/13/2010	00590763-03	Registered
MFS SERIES TR 7 MFS LATIN AMERICAN EQUITY FUND CLASS B SHARES	BOSTON, MA	9/13/2010	00590761-03	Registered
MFS SERIES TR 7 MFS LATIN AMERICAN EQUITY FUND CLASS C SHARES	BOSTON, MA	9/13/2010	00590765-03	Registered
MFS SERIES TR 7 MFS LATIN AMERICAN EQUITY FUND CLASS I SHARES	BOSTON, MA	9/13/2010	00590758-03	Registered
1ST TR COMBINED SERIES 329 BUILD AMERICA BONDS PORTFOLIO SERIES 18	WHEATON, IL	9/10/2010	00589657-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 715 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIO SERIES 2	LISLE, IL	9/10/2010	00589651-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 717 CLOSED END SENIOR LOAN & INCOME PORTFOLIO SERIES 14	LISLE, IL	9/10/2010	00589650-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 717 MUNLTIPLE ASSET PORTFOLIO PLUS 2 YEAR SERIES 35	LISLE, IL	9/10/2010	00589649-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 16	MONUMENT, CO	9/10/2010	00589647-05	Pending-Cleared
FT 2530 GLOBAL STRATEGIC SOLUTIONS SERIES ALL ETF MODEL PORTFOLIO 2010	WHEATON, IL	9/10/2010	00589656-05	Pending-Cleared
FT 2561 TECHNOLOGY SELECT PORTFOLIO SERIES 37	WHEATON, IL	9/10/2010	00589652-05	Pending-Cleared
FT 2614 INTEREST RATE HEDGE PORTFOLIO SERIES 15	WHEATON, IL	9/10/2010	00589655-05	Pending-Cleared
FT 2615 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	9/10/2010	00589653-05	Pending-Cleared
FT 2619 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	9/10/2010	00589654-05	Pending-Cleared
FT 2620 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	9/10/2010	00589658-05	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS A SHARES	BOSTON, MA	9/10/2010	00590739-03	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS B SHARES	BOSTON, MA	9/10/2010	00590737-03	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS C SHARES	BOSTON, MA	9/10/2010	00590734-03	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS I SHARES	BOSTON, MA	9/10/2010	00590735-03	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS R1 SHARES	BOSTON, MA	9/10/2010	00590736-03	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS R2 SHARES	BOSTON, MA	9/10/2010	00590738-03	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS R3 SHARES	BOSTON, MA	9/10/2010	00590740-03	Pending-Cleared
MFS SERIES TR 12 MFS LIFETIME 2050 FUND CLASS R4 SHARES	BOSTON, MA	9/10/2010	00590741-03	Pending-Cleared
PERONI GROWTH PORTFOLIO AUTUMN 2010 SERIES	MONUMENT, CO	9/10/2010	00589648-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
ROYCE FUND ROYCE MID CAP FUND SERVICE CLASS SHARES	NEW YORK, NY	9/10/2010	00590745-03	Registered
VAN ECK FUNDS CM COMMODITY INDEX FUND CLASS A	NEW YORK, NY	9/10/2010	00589644-03	Pending-Cleared
VAN ECK FUNDS CM COMMODITY INDEX FUND CLASS I	NEW YORK, NY	9/10/2010	00589645-03	Pending-Cleared
VAN ECK FUNDS CM COMMODITY INDEX FUND CLASS Y	NEW YORK, NY	9/10/2010	00589646-03	Pending-Cleared
VIRTUS OPPORTUNITIES TR VIRTUS INTERNATIONAL EQUITY FUND CLASS A	GREENFIELD, MA	9/10/2010	00590742-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS INTERNATIONAL EQUITY FUND CLASS C	GREENFIELD, MA	9/10/2010	00590743-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS INTERNATIONAL EQUITY FUND CLASS I	GREENFIELD, MA	9/10/2010	00590744-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 709 CLAYMORE GUGGENHEIM BUILD AMERICA BONDS TR SERIES 4	LISLE, IL	9/9/2010	00587773-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 739 CLAYMORE GUGGENHEIM LONG TERM NATIONAL MUNICIPAL TR SERIES 2	LISLE, IL	9/9/2010	00587772-05	Pending-Cleared
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL TAX FREE RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590719-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL CASH RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590718-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL US TREASURY RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590721-03	Registered

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LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL LIQUID RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590720-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL GOVERNMENT RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590716-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL AMT FREE MUNICIPAL MONEY MARKET FUND INVESTOR	NEW YORK, NY	9/9/2010	00590717-03	Registered
MUNICIPAL CLOSED END PORTFOLIO SERIES 14	MONUMENT, CO	9/9/2010	00587774-05	Pending-Cleared
SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO SERIES 17	MONUMENT, CO	9/9/2010	00587775-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 692 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	9/8/2010	00587759-05	Pending-Cleared
VAN ECK FUNDS LONG FLAT COMMODITY INDEX FUND CLASS A	NEW YORK, NY	9/8/2010	00587735-03	Pending-Cleared
VAN ECK FUNDS LONG FLAT COMMODITY INDEX FUND CLASS I	NEW YORK, NY	9/8/2010	00587736-03	Pending-Cleared
VAN ECK FUNDS LONG FLAT COMMODITY INDEX FUND CLASS Y	NEW YORK, NY	9/8/2010	00587737-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 924 INSURED MUNICIPALS INCOME TR SERIES 618	OAKBROOK, IL	9/8/2010	00587738-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 925 INSURED MUNICIPALS INCOME TR SERIES 619	OAKBROOK, IL	9/8/2010	00587739-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587748-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1028 EAFE SELECT 20 PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587747-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587752-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 MULTI STRATEGY SERIES EAFE EDVY 2010 4	OAKBROOK, IL	9/8/2010	00587753-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 SELECT 5 INDUSTRIAL PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587749-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 SELECT S&P CORE PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587751-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 SELECT S&P INDUSTRIAL PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587750-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1029 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587744-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1029 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587745-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1031 S&P DIVIDEND GROWTH OPPORTUNITIES TR SERIES 22	OAKBROOK, IL	9/8/2010	00587746-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 280 BUILD AMERICA BONDS INCOME TR SERIES 51	OAKBROOK, IL	9/8/2010	00587743-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 281 BUILD AMERICA BONDS INCOME TR SERIES 52	OAKBROOK, IL	9/8/2010	00587740-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 282 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 20	OAKBROOK, IL	9/8/2010	00587741-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 283 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 21	OAKBROOK, IL	9/8/2010	00587742-05	Pending-Cleared
1ST TR COMBINED SERIES 328 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 8	WHEATON, IL	9/7/2010	00587719-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 695 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 23	LISLE, IL	9/7/2010	00587711-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 712 CLOSE END INCOME & TREASURY LTD DURATION PORTFOLIO SERIES 9	LISLE, IL	9/7/2010	00587712-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 713 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 16	LISLE, IL	9/7/2010	00587710-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 713 STRATEGIC INCOME PORTFOLIO SERIES 47	LISLE, IL	9/7/2010	00587709-05	Pending-Cleared
FT 2551 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 4	WHEATON, IL	9/7/2010	00587718-05	Pending-Cleared
FT 2575 SMARTPHONE PORTFOLIO SERIES 3	WHEATON, IL	9/7/2010	00587714-05	Pending-Cleared
FT 2609 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	9/7/2010	00587713-05	Pending-Cleared
FT 2610 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 12	WHEATON, IL	9/7/2010	00587716-05	Pending-Cleared
FT 2612 INTEREST RATE HEDGE PORTFOLIO SERIES 14	WHEATON, IL	9/7/2010	00587720-05	Pending-Cleared
FT 2613 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	9/7/2010	00587715-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 3552 BALANCED INCOME SELECT PORTFOLIO SERIES 17	WHEATON, IL	9/7/2010	00587717-05	Pending-Cleared
MANAGED MUNICIPAL FUND INC MMF CLASS I	NEW YORK, NY	9/7/2010	00587706-03	Pending-Cleared
NORTH AMERICAN GOVERNMENT BOND FUND INC ISI NAGBF CLASS I	NEW YORK, NY	9/7/2010	00587708-03	Pending-Cleared
NORTHERN LIGHTS FUND TR POWER INCOME FUND CLASS A	HAUPPAUGE, NY	9/7/2010	00587703-03	Pending-Cleared
NORTHERN LIGHTS FUND TR POWER INCOME FUND CLASS I	HAUPPAUGE, NY	9/7/2010	00587704-03	Pending-Cleared
Number of Investment Company Filings: 110				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ATEL GROWTH CAPITAL FUND 6 LLC	SAN FRANCISCO, CA	9/17/2010	00591335-07	Filed
TEXLARK EXPLORATION CO INC	PLANO, TX	9/17/2010	00591334-07	Filed
VISTEON CORP	VAN BUREN TOWNSHIP, MI	9/17/2010	00591336-07	Filed
ASGI AGILITY INCOME FUND	CHARLOTTE, NC	9/16/2010	00590939-07	Filed
EPINEX DIAGNOSTICS INC	TUSTIN, CA	9/16/2010	00590937-07	Filed
SE FT WAYNE LLC	CHICAGO, IL	9/16/2010	00590938-07	Filed
YANKEE METRO PARTNERS LLC	BOSTON, MA	9/15/2010	00590751-07	Filed
BETTER ATM SERVICES INC	MESA, AZ	9/14/2010	00590873-07	Filed
SAB EQUITY PARTNERSHIP 2 LP	SAN ANTONIO, TX	9/14/2010	00590875-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	9/14/2010	00590876-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	9/14/2010	00590874-07	Filed
ALLIANCEBERSNTEIN CURRENCY ALPHA FUND LP	NEW YORK, NY	9/13/2010	00590747-07	Filed
COOL CITY ELECTRONICS INC	MINERAL WELLS, TX	9/13/2010	00590754-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CRITERION CAPITAL PARTNERS LTD	HAMILTON HM EX,	9/13/2010	00590748-07	Filed
EDUCATION DEVELOPMENT BOARD LLC	ST LOUIS, MO	9/13/2010	00590749-07	Filed
REEF 2010 DRILLING FUND LP	RICHARDSON, TX	9/13/2010	00590752-07	Filed
TIGERSHARK FUND LP	NEW YORK, NY	9/13/2010	00590750-07	Filed
MORGAN STANLEY GLOBAL DIVERSIFIED MARKETS FUND LP	NEW YORK, NY	9/10/2010	00589661-07	Filed
PACIFIC ENTERTAINMENT CORP	SAN DIEGO, CA	9/10/2010	00589659-07	Filed
TIGER CONSUMER PARTNERS LP	NEW YORK, NY	9/10/2010	00589660-07	Filed
TROW GLOBAL HOLDINGS INC	BRAMPTON ON L6V 4M8,	9/10/2010	00589662-07	Filed
BEAVER RUN INTERIM CENTER ACQUISITION LLC	BRECKENRIDGE, CO	9/9/2010	00587776-07	Filed
SEI OFFSHORE OPPORTUNITY FUND LTD	OAKS, PA	9/9/2010	00587777-07	Filed
ACT 2 OFFSHORE LTD	GRAND CAYMAN KY1-1205,	9/8/2010	00587756-07	Filed
EXCELSIOR MULTI STRATEGY HEDGE FUND OF FUNDS TI 2 LLC	STAMFORD, CT	9/8/2010	00587758-07	Filed
IBG LLC	GREENWICH, CT	9/8/2010	00587754-07	Filed
IRONSHIELD SPECIAL SITUATIONS FUND LP	GRAND CAYMAN KY1-9007,	9/8/2010	00587757-07	Filed
PINNACLE NATURAL RESOURCES OFFSHORE ERISA FUND LTD	GRAND CAYMAN KY1-1003,	9/8/2010	00587755-07	Filed
AEI GREENTECH INVESTMENT 7 LLC	CHICAGO, IL	9/7/2010	00587724-07	Filed
ALPHADYNE INTERNATIONAL PARTNERS LP	NEW YORK, NY	9/7/2010	00587726-07	Filed
CONTRAFECT CORP	NEW YORK, NY	9/7/2010	00587727-07	Filed
DB PRIVATE EQUITY & CREDIT OPPORTUNITIES SELECT FUND US LP	NEW YORK, NY	9/7/2010	00587669-07	Filed
FRESG COAST LITHOTRIPSY LP	AUSTIN, TX	9/7/2010	00587725-07	Filed
Number of Regulation D Notice Filings: 33				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/6/2010 through 9/17/2010**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
OnSight Solutions International, LLC	Albany, NY	9/16/2010	601388	Registered
SETTLE INN LLC	ABERDEEN, SD	9/16/2010	601386	Registered
Luby's Fuddruckers Restaurants, LLC / Fuddruckers	Houston, TX	9/14/2010	601387	Registered
Tailored Living, LLC / Standard	Orange, CA	9/14/2010	601389	Registered
Wings-Pizza-N-Things of America, Inc. / Wings-Pizza-N-Things	Dallas, TX	9/13/2010	601382	Registered
DICKEYS BARBECUE RESTAURANTS INC	DALLAS, TX	9/13/2010	601381	Registered
TMC FRANCHISE CORP / On the Run	TEMPE, AZ	9/10/2010	601376	Registered
AdvantaClean Systems, Inc.	Huntersville, NC	9/9/2010	601377	Registered
Fannie Mae Franchise	North Canton, OH	9/9/2010	601372	Registered
FIXRIM MOBILE WHEEL REPAIR LLC	DALLAS, TX	9/9/2010	601374	Registered
HOSS'S FRANCHISE CORP INC	DUCANSVILLE, PA	9/9/2010	601373	Registered
ROOSTERS MGC INTERNATIONAL LLC	WARREN, MI	9/8/2010 2	601367	Registered
SRA International, Inc. / Sanford Rose Associates	Buford, GA	9/8/2010 2	601366	Registered
RUTHS CHRIS STEAK HOUSE FRANCHISE INC	HEATHROW, FL	9/8/2010	601379	Registered
ANY TEST FRANCHISING INC	ALPHARETTA, GA	9/7/2010	601371	Registered
Fairway Divorce Franchising, Inc.	Orem, UT	9/7/2010	601378	Registered
MANCHU WOK FRANCHISING USA INC	MARKHAM ON L6G 1A8, ON	9/7/2010	601369	Registered
OMEX INTERNATIONAL INC	CAMP HILL, PA	9/7/2010	601368	Registered
Number of Franchise Filings: 18				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	