

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN REALTY CAPITAL HEALTHCARE TR INC	NEW YORK, NY	9/9/2010	00587771-01	Pending
AMERICAN BAPTIST EXTENSION CORP	KING OF PRUSSIA, PA	9/7/2010	00587723-02	Pending
AMERICAN BAPTIST EXTENSION CORP	KING OF PRUSSIA, PA	9/7/2010	00587722-02	Pending
BOARD OF CHURCH EXTENSION OF DISCIPLES OF CHRIST INC	INDIANAPOLIS, IN	9/7/2010	00587721-02	Pending
FLATWORLD ACQUISITION CORP	ROAD TOWN TOTOLA VG1110,	9/7/2010	00587707-01	Pending
HICKS ACQUISITION CO 2 INC	DALLAS, TX	9/7/2010	00587705-01	Pending
1ST BAPTIST CHURCH OF SOUTH FULTON TN INC	SOUTH FULTON, TN	9/2/2010	00587652-02	Pending
SUNSET BAY CHAPEL INC	LIGHIA, FL	9/2/2010	00587651-02	Pending
Number of Registration Filings: 8				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHINA CERAMICS CO LTD	JINJIANG CITY FUJUAN,	9/7/2010	00587730-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 329 BUILD AMERICA BONDS PORTFOLIO SERIES 18	WHEATON, IL	9/10/2010	00589657-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 715 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIO SERIES 2	LISLE, IL	9/10/2010	00589651-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 717 CLOSED END SENIOR LOAN & INCOME PORTFOLIO SERIES 14	LISLE, IL	9/10/2010	00589650-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 717 MUNLTIPLE ASSET PORTFOLIO PLUS 2 YEAR SERIES 35	LISLE, IL	9/10/2010	00589649-05	Pending-Cleared
CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 16	MONUMENT, CO	9/10/2010	00589647-05	Pending-Cleared
FT 2530 GLOBAL STRATEGIC SOLUTIONS SERIES ALL ETF MODEL PORTFOLIO 2010	WHEATON, IL	9/10/2010	00589656-05	Pending-Cleared
FT 2561 TECHNOLOGY SELECT PORTFOLIO SERIES 37	WHEATON, IL	9/10/2010	00589652-05	Pending-Cleared
FT 2614 INTEREST RATE HEDGE PORTFOLIO SERIES 15	WHEATON, IL	9/10/2010	00589655-05	Pending-Cleared
FT 2615 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	9/10/2010	00589653-05	Pending-Cleared
FT 2619 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	9/10/2010	00589654-05	Pending-Cleared
FT 2620 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	9/10/2010	00589658-05	Pending-Cleared
PERONI GROWTH PORTFOLIO AUTUMN 2010 SERIES	MONUMENT, CO	9/10/2010	00589648-05	Pending-Cleared
VAN ECK FUNDS CM COMMODITY INDEX FUND CLASS A	NEW YORK, NY	9/10/2010	00589644-03	Pending-Cleared
VAN ECK FUNDS CM COMMODITY INDEX FUND CLASS I	NEW YORK, NY	9/10/2010	00589645-03	Pending-Cleared
VAN ECK FUNDS CM COMMODITY INDEX FUND CLASS Y	NEW YORK, NY	9/10/2010	00589646-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 709 CLAYMORE GUGGENHEIM BUILD AMERICA BONDS TR SERIES 4	LISLE, IL	9/9/2010	00587773-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 739 CLAYMORE GUGGENHEIM LONG TERM NATIONAL MUNICIPAL TR SERIES 2	LISLE, IL	9/9/2010	00587772-05	Pending-Cleared
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL US TREASURY RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590721-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL LIQUID RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590720-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL AMT FREE MUNICIPAL MONEY MARKET FUND INVESTOR	NEW YORK, NY	9/9/2010	00590717-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL GOVERNMENT RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590716-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL TAX FREE RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590719-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL CASH RESERVES INVESTOR SHARES	NEW YORK, NY	9/9/2010	00590718-03	Registered
MUNICIPAL CLOSED END PORTFOLIO SERIES 14	MONUMENT, CO	9/9/2010	00587774-05	Pending-Cleared
SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO SERIES 17	MONUMENT, CO	9/9/2010	00587775-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 692 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	9/8/2010	00587759-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN ECK FUNDS LONG FLAT COMMODITY INDEX FUND CLASS A	NEW YORK, NY	9/8/2010	00587735-03	Pending-Cleared
VAN ECK FUNDS LONG FLAT COMMODITY INDEX FUND CLASS I	NEW YORK, NY	9/8/2010	00587736-03	Pending-Cleared
VAN ECK FUNDS LONG FLAT COMMODITY INDEX FUND CLASS Y	NEW YORK, NY	9/8/2010	00587737-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 924 INSURED MUNICIPALS INCOME TR SERIES 618	OAKBROOK, IL	9/8/2010	00587738-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 925 INSURED MUNICIPALS INCOME TR SERIES 619	OAKBROOK, IL	9/8/2010	00587739-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587748-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 EAFE SELECT 20 PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587747-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587752-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 MULTI STRATEGY SERIES EAFE EDVY 2010 4	OAKBROOK, IL	9/8/2010	00587753-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 SELECT 5 INDUSTRIAL PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587749-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 SELECT S&P CORE PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587751-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1028 SELECT S&P INDUSTRIAL PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587750-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1029 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587744-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1029 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2010 4	OAKBROOK, IL	9/8/2010	00587745-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1031 S&P DIVIDEND GROWTH OPPORTUNITIES TR SERIES 22	OAKBROOK, IL	9/8/2010	00587746-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 280 BUILD AMERICA BONDS INCOME TR SERIES 51	OAKBROOK, IL	9/8/2010	00587743-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 281 BUILD AMERICA BONDS INCOME TR SERIES 52	OAKBROOK, IL	9/8/2010	00587740-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 282 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 20	OAKBROOK, IL	9/8/2010	00587741-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 283 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 21	OAKBROOK, IL	9/8/2010	00587742-05	Pending-Cleared
1ST TR COMBINED SERIES 328 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 8	WHEATON, IL	9/7/2010	00587719-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 695 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 23	LISLE, IL	9/7/2010	00587711-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 712 CLOSE END INCOME & TREASURY LTD DURATION PORTFOLIO SERIES 9	LISLE, IL	9/7/2010	00587712-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 713 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 16	LISLE, IL	9/7/2010	00587710-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 713 STRATEGIC INCOME PORTFOLIO SERIES 47	LISLE, IL	9/7/2010	00587709-05	Pending-Cleared
FT 2551 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 4	WHEATON, IL	9/7/2010	00587718-05	Pending-Cleared
FT 2575 SMARTPHONE PORTFOLIO SERIES 3	WHEATON, IL	9/7/2010	00587714-05	Pending-Cleared
FT 2609 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	9/7/2010	00587713-05	Pending-Cleared
FT 2610 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 12	WHEATON, IL	9/7/2010	00587716-05	Pending-Cleared
FT 2612 INTEREST RATE HEDGE PORTFOLIO SERIES 14	WHEATON, IL	9/7/2010	00587720-05	Pending-Cleared
FT 2613 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	9/7/2010	00587715-05	Pending-Cleared
FT 3552 BALANCED INCOME SELECT PORTFOLIO SERIES 17	WHEATON, IL	9/7/2010	00587717-05	Pending-Cleared
MANAGED MUNICIPAL FUND INC MMF CLASS I	NEW YORK, NY	9/7/2010	00587706-03	Pending-Cleared
NORTH AMERICAN GOVERNMENT BOND FUND INC ISI NAGBF CLASS I	NEW YORK, NY	9/7/2010	00587708-03	Pending-Cleared
NORTHERN LIGHTS FUND TR POWER INCOME FUND CLASS A	HAUPPAUGE, NY	9/7/2010	00587703-03	Pending-Cleared
NORTHERN LIGHTS FUND TR POWER INCOME FUND CLASS I	HAUPPAUGE, NY	9/7/2010	00587704-03	Pending-Cleared
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 400 GROWTH INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587647-03	Pending-Cleared
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 400 INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587648-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 400 VALUE INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587646-03	Pending-Cleared
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 500 GROWTH INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587650-03	Pending-Cleared
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 500 VALUE INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587649-03	Pending-Cleared
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 600 GROWTH INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587643-03	Pending-Cleared
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 600 INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587644-03	Pending-Cleared
VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 600 VALUE INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587645-03	Pending-Cleared
VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 1000 GROWTH INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587641-03	Pending-Cleared
VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 1000 INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587640-03	Pending-Cleared
VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 1000 VALUE INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587642-03	Pending-Cleared
VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 2000 GROWTH INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587638-03	Pending-Cleared
VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 2000 INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587639-03	Pending-Cleared
VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 2000 VALUE INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587637-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 3000 INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/2/2010	00587636-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON INTERNATIONAL EQUITY INDEX FUND INSTITUTIONAL CLASS	FORT WORTH, TX	9/1/2010	00587686-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	FORT WORTH, TX	9/1/2010	00587687-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SMALL CAP INDEX FUND INSTITUTIONAL CLASS	FORT WORTH, TX	9/1/2010	00587698-03	Registered
FORUM FUNDS DIVIDEND PLUS INCOME FUND INSTITUTIONAL CLASS	PORTLAND, ME	9/1/2010	00587635-03	Pending-Cleared
FORWARD FUNDS FORWARD LONG SHORT CREDIT ANALYSIS FUND CLASS A SHARES	SAN FRANCISCO, CA	9/1/2010	00587697-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS BALANCED FUND CLASS IR SHARES	CHICAGO, IL	9/1/2010	00587689-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS BRIC FUND CLASS IR SHARES	CHICAGO, IL	9/1/2010	00587688-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS CONCENTRATED INTERNATIONAL EQUITY FUND CLASS IR SHARES	CHICAGO, IL	9/1/2010	00587692-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS EMERGING MARKETS EQUITY FUND CLASS IR SHARES	CHICAGO, IL	9/1/2010	00587690-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS INTERNATIONAL SMALL CAP FUND CLASS IR SHARES	CHICAGO, IL	9/1/2010	00587693-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRUCTURED EMERGING MARKETS EQUITY FUND CLASS IR SHARES	CHICAGO, IL	9/1/2010	00587694-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR GOLDMAN SACHS STRUCTURED INTERNATIONAL SMALL CAP FUND CLASS IR SHARES	CHICAGO, IL	9/1/2010	00587691-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET CA TAX FREE MONEY MARKET FUND CLASS A	NEW YORK, NY	9/1/2010	00587695-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET NY TAX FREE MONEY MARKET FUND CLASS A	NEW YORK, NY	9/1/2010	00587696-03	Registered
MARSHALL FUNDS INC MARSHALL LARGE CAP FOCUS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/1/2010	00587624-03	Registered
MARSHALL FUNDS INC MARSHALL LARGE CAP FOCUS FUND INVESTOR CLASS	MILWAUKEE, WI	9/1/2010	00587625-03	Registered
TR FOR PROFESSIONAL MANAGERS PERFORMANCE TR TOTAL RETURN BOND FUND	MILWAUKEE, WI	9/1/2010	00587626-03	Registered
VAN KAMPEN UNIT TR MUNICIPAL SERIES 922 INSURED MUNICIPALS INCOME TR SERIES 616	OAKBROOK, IL	9/1/2010	00587628-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 923 INSURED MUNICIPALS INCOME TR SERIES 617	OAKBROOK, IL	9/1/2010	00587627-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 278 BUILD AMERICA BONDS INCOME TR SERIES 49	OAKBROOK, IL	9/1/2010	00587630-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 279 BUILD AMERICA BONDS INCOME TR SERIES 50	OAKBROOK, IL	9/1/2010	00587629-05	Pending-Cleared
FT 2006 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	8/31/2010	00587287-05	Pending-Cleared
FT 2550 EQUITY INCOME PORTFOLIO SERIES 27	WHEATON, IL	8/31/2010	00587286-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2570 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 12	WHEATON, IL	8/31/2010	00587289-05	Pending-Cleared
FT 2573 GLOBAL HARD ASSETS PORTFOLIO SERIES 5	WHEATON, IL	8/31/2010	00587288-05	Pending-Cleared
HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 5	MONUMENT, CO	8/31/2010	00587285-05	Pending-Cleared
HUSSMAN INVESTMENT TR HUSSMAN STRATEGIC INTERNATIONAL EQUITY FUND	CINCINNATI, OH	8/31/2010	00587291-05	Registered
PROFESSIONAL MANAGED PORTFOLIOS OSTEREIS STRATEGIC INVESTMENT FUND	MILWAUKEE, WI	8/31/2010	00587290-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON BALANCED FUND C CLASS	FORT WORTH, TX	8/30/2010	00587572-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON EMERGING MARKETS FUND C CLASS	FORT WORTH, TX	8/30/2010	00587573-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND INVESTOR CLASS	FORT WORTH, TX	8/30/2010	00587589-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND A CLASS	FORT WORTH, TX	8/30/2010	00587584-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND Y CLASS	FORT WORTH, TX	8/30/2010	00587592-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND C CLASS	FORT WORTH, TX	8/30/2010	00587586-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND INSTITUTIONAL CLASS	FORT WORTH, TX	8/30/2010	00587587-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLOBAL REAL ESTATE FUND C CLASS	FORT WORTH, TX	8/30/2010	00587585-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN BEACON FUNDS AMERICAN BEACON GLOBAL REAL ESTATE FUND INSTITUTIONAL CLASS	FORT WORTH, TX	8/30/2010	00587591-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON HIGH YIELD BOND FUND C CLASS	FORT WORTH, TX	8/30/2010	00587579-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON INTERMEDIATE BOND FUND C CLASS	FORT WORTH, TX	8/30/2010	00587577-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON INTERNATIONAL EQUITY FUND C CLASS	FORT WORTH, TX	8/30/2010	00587575-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP GROWTH FUND C CLASS	FORT WORTH, TX	8/30/2010	00587588-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP VALUE FUND C CLASS	FORT WORTH, TX	8/30/2010	00587578-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON MID CAP VALUE FUND C CLASS	FORT WORTH, TX	8/30/2010	00587580-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON RETIREMENT INCOME & APPRECIATION FUND C CLASS	FORT WORTH, TX	8/30/2010	00587574-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SHORT TERM BOND FUND C CLASS	FORT WORTH, TX	8/30/2010	00587583-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SMALL CAP VALUE FUND C CLASS	FORT WORTH, TX	8/30/2010	00587581-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON TREASURY INFLATION PROTECTED SECURITIES FUND C CLASS	FORT WORTH, TX	8/30/2010	00587576-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA LARGE CAP EQUITY FUND C CLASS	FORT WORTH, TX	8/30/2010	00587590-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND C CLASS	FORT WORTH, TX	8/30/2010	00587582-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 711 GUGGENHEIM BMAC COMMODITY PRODUCERS STRATEGY PORTFOLIO SERIES 3	LISLE, IL	8/30/2010	00587257-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 711 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 3	LISLE, IL	8/30/2010	00587258-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 711 GUGGENHEIM GLOBAL TELECOM STRATEGY PORTFOLIO SEIRES 3	LISLE, IL	8/30/2010	00587256-05	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR LEGG MASON CLEARBRIDGE MID CAP GROWTH FUND CLASS C	NEW YORK, NY	8/30/2010	00587602-03	Registered
LEGG MASON PARTNERS EQUITY TR LEGG MASON CLEARBRIDGE MID CAP GROWTH FUND CLASS I	NEW YORK, NY	8/30/2010	00587603-03	Registered
LEGG MASON PARTNERS EQUITY TR LEGG MASON CLEARBRIDGE MID CAP GROWTH FUND CLASS A	NEW YORK, NY	8/30/2010	00587601-03	Registered
MFS SERIES TR 9 MFS MUNICIPAL LTD MATURITY FUND CLASS I SHARES	BOSTON, MA	8/30/2010	00587571-03	Registered
PRICE T ROWE INSTITUTIONAL INCOME FUNDS INC PTRI CORE PLUS FUND F CLASS	BALTIMORE, MD	8/30/2010	00587259-03	Pending-Cleared
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND RETAIL CLASS	NEW YORK, NY	8/30/2010	00587594-03	Registered
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND PREMIER CLASS	NEW YORK, NY	8/30/2010	00587593-03	Registered
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND RETIREMENT CLASS	NEW YORK, NY	8/30/2010	00587596-03	Registered
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	8/30/2010	00587599-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND RETAIL CLASS	NEW YORK, NY	8/30/2010	00587597-03	Registered
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND RETIREMENT CLASS	NEW YORK, NY	8/30/2010	00587600-03	Registered
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND PREMIER CLASS	NEW YORK, NY	8/30/2010	00587598-03	Registered
TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND INSTITUTIONAL CLASS	NEW YORK, NY	8/30/2010	00587595-03	Registered
Number of Investment Company Filings: 141				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MORGAN STANLEY GLOBAL DIVERSIFIED MARKETS FUND LP	NEW YORK, NY	9/10/2010	00589661-07	Filed
PACIFIC ENTERTAINMENT CORP	SAN DIEGO, CA	9/10/2010	00589659-07	Filed
TIGER CONSUMER PARTNERS LP	NEW YORK, NY	9/10/2010	00589660-07	Filed
TROW GLOBAL HOLDINGS INC	BRAMPTON ON L6V 4M8,	9/10/2010	00589662-07	Filed
BEAVER RUN INTERIM CENTER ACQUISITION LLC	BRECKENRIDGE, CO	9/9/2010	00587776-07	Filed
SEI OFFSHORE OPPORTUNITY FUND LTD	OAKS, PA	9/9/2010	00587777-07	Filed
ACT 2 OFFSHORE LTD	GRAND CAYMAN KY1-1205,	9/8/2010	00587756-07	Filed
EXCELSIOR MULTI STRATEGY HEDGE FUND OF FUNDS TI 2 LLC	STAMFORD, CT	9/8/2010	00587758-07	Filed
IBG LLC	GREENWICH, CT	9/8/2010	00587754-07	Filed
IRONSHIELD SPECIAL SITUATIONS FUND LP	GRAND CAYMAN KY1-9007,	9/8/2010	00587757-07	Filed
PINNACLE NATURAL RESOURCES OFFSHORE ERISA FUND LTD	GRAND CAYMAN KY1-1003,	9/8/2010	00587755-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AEI GREENTECH INVESTMENT 7 LLC	CHICAGO, IL	9/7/2010	00587724-07	Filed
ALPHADYNE INTERNATIONAL PARTNERS LP	NEW YORK, NY	9/7/2010	00587726-07	Filed
CONTRAFECT CORP	NEW YORK, NY	9/7/2010	00587727-07	Filed
DB PRIVATE EQUITY & CREDIT OPPORTUNITIES SELECT FUND US LP	NEW YORK, NY	9/7/2010	00587669-07	Filed
FRESG COAST LITHOTRIPSY LP	AUSTIN, TX	9/7/2010	00587725-07	Filed
FORT DEARBORN HOLDING CO INC	DENVER, CO	9/2/2010	00587654-07	Filed
HYPERACTIVE TECHNOLOGIES INC	PITTSBURGH, PA	9/2/2010	00587653-07	Filed
400 INVESTORS LLC	NORTHBOOK, IL	9/1/2010	00587633-07	Filed
IVP PERC SYSTEMS LLC	NEW YORK, NY	9/1/2010	00587631-07	Filed
OLYMPIC PACIFIC MINERALS INC	TORONTO ON M5C 1C3,	9/1/2010	00587632-07	Filed
COLCHESTER INTERNATIONAL BOND FUND	NEW YORK, NY	8/31/2010	00587292-07	Filed
AEI SERIOUS MATERIALS INVESTMENTS 1 LLC	CHICAGO, IL	8/30/2010	00587280-07	Filed
GLOBAL BUSINESS MARKETING INC	LAS VEGAS, NV	8/30/2010	00587261-07	Filed
PEHAM LONG SHORT FUND LTD	HAMILTON HM11,	8/30/2010	00587262-07	Filed
ROBECO COLLECTIVE INVESTMENT TR	BOSTON, MA	8/30/2010	00587260-07	Filed
Number of Regulation D Notice Filings: 26				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TMC FRANCHISE CORP / On the Run	TEMPE, AZ	9/10/2010	601376	Registered
AdvantaClean Systems, Inc.	Huntersville, NC	9/9/2010	601377	Registered
Fannie Mae Franchise	North Canton, OH	9/9/2010	601372	Registered
FIXRIM MOBILE WHEEL REPAIR LLC	DALLAS, TX	9/9/2010	601374	Registered
HOSS'S FRANCHISE CORP INC	DUCANSVILLE, PA	9/9/2010	601373	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2010 through 9/10/2010**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ROOSTERS MGC INTERNATIONAL LLC	WARREN, MI	9/8/2010 2	601367	Registered
SRA International, Inc. / Sanford Rose Associates	Buford, GA	9/8/2010 2	601366	Registered
RUTHS CHRIS STEAK HOUSE FRANCHISE INC	HEATHROW, FL	9/8/2010	601379	Registered
ANY TEST FRANCHISING INC	ALPHARETTA, GA	9/7/2010	601371	Registered
Fairway Divorce Franchising, Inc.	Orem, UT	9/7/2010	601378	Registered
MANCHU WOK FRANCHISING USA INC	MARKHAM ON L6G 1A8, ON	9/7/2010	601369	Registered
OMEX INTERNATIONAL INC	CAMP HILL, PA	9/7/2010	601368	Registered
Aerus Franchising, LLC / Aerus	Dallas, TX	9/2/2010 1	601362	Registered
ATL INTERNATIONAL INC	MILLERSVILLE, MD	9/1/2010	601348	Registered
ATL INTERNATIONAL INC / All Tune Transmissions	MILLERSVILLE, MD	9/1/2010	601349	Registered
ATL INTERNATIONAL INC / All Tune and Lube	MILLERSVILLE, MD	9/1/2010	601350	Registered
yoasis Franchising Systems, LLC	Salt Lake City, UT	9/1/2010	601346	Registered
ZIEBART CORP	TROY, MI	9/1/2010	601347	Registered
Patrice & Associates Franchising, Inc. / Patrice & Associates	Dunkirk, MD	8/31/2010	601345	Registered
Sark Services, LLC / Jack of All Trades Handyman - Area Developer	Rhineland, WI	8/31/2010	601344	Registered
CHHJ Franchising, LLC / College Hunks Hauling Junk	Tampa, FL	8/30/2010	601342	Registered
DIXIE CREAM DONUTS FRANCHISE SYSTEMS LLC	TULSA, OK	8/30/2010	601341	Registered
Gamin Ride Franchise Systems, Inc.	Toms River, NJ	8/30/2010	601339	Registered
UNIGLOBE TRAVEL USA LLC / Corporate Agency Program	Irvine, CA	8/30/2010	601340	Registered
Number of Franchise Filings: 24				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	