

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2010 through 9/3/2010**

| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|--|------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| 1ST BAPTIST CHURCH OF SOUTH FULTON TN INC | SOUTH FULTON, TN | 9/2/2010 | 00587652-02 | Pending |
| SUNSET BAY CHAPEL INC | LIGHIA, FL | 9/2/2010 | 00587651-02 | Pending |
| UNITED CHURCH OF CHRIST CORNERSTONE FUND INC | CLEVELAND, OH | 8/27/2010 | 00587243-02 | Pending |
| UNITED CHURCH OF CHRIST CORNERSTONE FUND INC | CLEVELAND, OH | 8/27/2010 | 00587242-02 | Pending |
| APPLE REIT 10 INC | RICHMOND, VA | 8/25/2010 | 00587113-01 | Pending |
| Number of Registration Filings: 5 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| UNITED ETHANOL LLC | MILTON, WI | 8/27/2010 | 00587246-08 | Pending |
| VINEYARD CHRISTIAN FELLOWSHIP DAYTON | DAYTON, OH | 8/23/2010 | 00587068-08 | Pending |
| Number of Exemption Filings: 2 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|------------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 400 GROWTH INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587647-03 | Pending-Cleared |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 400 INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587648-03 | Pending-Cleared |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 400 VALUE INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587646-03 | Pending-Cleared |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 500 GROWTH INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587650-03 | Pending-Cleared |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|---------------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 500 VALUE INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587649-03 | Pending-Cleared |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 600 GROWTH INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587643-03 | Pending-Cleared |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 600 INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587644-03 | Pending-Cleared |
| VANGUARD ADMIRAL FUNDS VANGUARD S&P SMALL CAP 600 VALUE INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587645-03 | Pending-Cleared |
| VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 1000 GROWTH INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587641-03 | Pending-Cleared |
| VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 1000 INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587640-03 | Pending-Cleared |
| VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 1000 VALUE INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587642-03 | Pending-Cleared |
| VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 2000 GROWTH INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587638-03 | Pending-Cleared |
| VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 2000 INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587639-03 | Pending-Cleared |
| VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 2000 VALUE INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587637-03 | Pending-Cleared |
| VANGUARD SCOTTSDALE FUNDS VANGUARD RUSSELL 3000 INDEX FUND INSTITUTIONAL SHARES | VALLEY FORGE, PA | 9/2/2010 | 00587636-03 | Pending-Cleared |
| FORUM FUNDS DIVIDEND PLUS INCOME FUND INSTITUTIONAL CLASS | PORTLAND, ME | 9/1/2010 | 00587635-03 | Pending-Cleared |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| MARSHALL FUNDS INC MARSHALL LARGE CAP FOCUS FUND INSTITUTIONAL CLASS | MILWAUKEE, WI | 9/1/2010 | 00587624-03 | Registered |
| MARSHALL FUNDS INC MARSHALL LARGE CAP FOCUS FUND INVESTOR CLASS | MILWAUKEE, WI | 9/1/2010 | 00587625-03 | Registered |
| TR FOR PROFESSIONAL MANAGERS PERFORMANCE TR TOTAL RETURN BOND FUND | MILWAUKEE, WI | 9/1/2010 | 00587626-03 | Registered |
| VAN KAMPEN UNIT TR MUNICIPAL SERIES 922 INSURED MUNICIPALS INCOME TR SERIES 616 | OAKBROOK, IL | 9/1/2010 | 00587628-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR MUNICIPAL SERIES 923 INSURED MUNICIPALS INCOME TR SERIES 617 | OAKBROOK, IL | 9/1/2010 | 00587627-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 278 BUILD AMERICA BONDS INCOME TR SERIES 49 | OAKBROOK, IL | 9/1/2010 | 00587630-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 279 BUILD AMERICA BONDS INCOME TR SERIES 50 | OAKBROOK, IL | 9/1/2010 | 00587629-05 | Pending-Cleared |
| FT 2006 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 16 | WHEATON, IL | 8/31/2010 | 00587287-05 | Pending-Cleared |
| FT 2550 EQUITY INCOME PORTFOLIO SERIES 27 | WHEATON, IL | 8/31/2010 | 00587286-05 | Pending-Cleared |
| FT 2570 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 12 | WHEATON, IL | 8/31/2010 | 00587289-05 | Pending-Cleared |
| FT 2573 GLOBAL HARD ASSETS PORTFOLIO SERIES 5 | WHEATON, IL | 8/31/2010 | 00587288-05 | Pending-Cleared |
| HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 5 | MONUMENT, CO | 8/31/2010 | 00587285-05 | Pending-Cleared |
| HUSSMAN INVESTMENT TR HUSSMAN STRATEGIC INTERNATIONAL EQUITY FUND | CINCINNATI, OH | 8/31/2010 | 00587291-05 | Registered |

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|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| PROFESSIONAL MANAGED PORTFOLIOS OSTEREIS STRATEGIC INVESTMENT FUND | MILWAUKEE, WI | 8/31/2010 | 00587290-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON BALANCED FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587572-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON EMERGING MARKETS FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587573-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND INVESTOR CLASS | FORT WORTH, TX | 8/30/2010 | 00587589-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND A CLASS | FORT WORTH, TX | 8/30/2010 | 00587584-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND Y CLASS | FORT WORTH, TX | 8/30/2010 | 00587592-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587586-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON EVERCORE SMALL CAP EQUITY FUND INSTITUTIONAL CLASS | FORT WORTH, TX | 8/30/2010 | 00587587-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON GLOBAL REAL ESTATE FUND INSTITUTIONAL CLASS | FORT WORTH, TX | 8/30/2010 | 00587591-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON GLOBAL REAL ESTATE FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587585-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON HIGH YIELD BOND FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587579-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON INTERMEDIATE BOND FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587577-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| AMERICAN BEACON FUNDS AMERICAN BEACON INTERNATIONAL EQUITY FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587575-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP GROWTH FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587588-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP VALUE FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587578-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON MID CAP VALUE FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587580-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON RETIREMENT INCOME & APPRECIATION FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587574-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON SHORT TERM BOND FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587583-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON SMALL CAP VALUE FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587581-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON TREASURY INFLATION PROTECTED SECURITIES FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587576-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA LARGE CAP EQUITY FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587590-03 | Registered |
| AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND C CLASS | FORT WORTH, TX | 8/30/2010 | 00587582-03 | Registered |
| CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 711 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 3 | LISLE, IL | 8/30/2010 | 00587258-05 | Pending-Cleared |
| CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 711 GUGGENHEIM GLOBAL TELECOM STRATEGY PORTFOLIO SEIRES 3 | LISLE, IL | 8/30/2010 | 00587256-05 | Pending-Cleared |

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| CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 711 GUGGENHEIM BMAC COMMODITY PRODUCERS STRATEGY PORTFOLIO SERIES 3 | LISLE, IL | 8/30/2010 | 00587257-05 | Pending-Cleared |
| LEGG MASON PARTNERS EQUITY TR LEGG MASON CLEARBRIDGE MID CAP GROWTH FUND CLASS I | NEW YORK, NY | 8/30/2010 | 00587603-03 | Pending-Cleared |
| LEGG MASON PARTNERS EQUITY TR LEGG MASON CLEARBRIDGE MID CAP GROWTH FUND CLASS C | NEW YORK, NY | 8/30/2010 | 00587602-03 | Pending-Cleared |
| LEGG MASON PARTNERS EQUITY TR LEGG MASON CLEARBRIDGE MID CAP GROWTH FUND CLASS A | NEW YORK, NY | 8/30/2010 | 00587601-03 | Pending-Cleared |
| MFS SERIES TR 9 MFS MUNICIPAL LTD MATURITY FUND CLASS I SHARES | BOSTON, MA | 8/30/2010 | 00587571-03 | Registered |
| PRICE T ROWE INSTITUTIONAL INCOME FUNDS INC PTRI CORE PLUS FUND F CLASS | BALTIMORE, MD | 8/30/2010 | 00587259-03 | Pending-Cleared |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND PREMIER CLASS | NEW YORK, NY | 8/30/2010 | 00587593-03 | Registered |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND RETIREMENT CLASS | NEW YORK, NY | 8/30/2010 | 00587596-03 | Registered |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND RETAIL CLASS | NEW YORK, NY | 8/30/2010 | 00587594-03 | Registered |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS | NEW YORK, NY | 8/30/2010 | 00587599-03 | Registered |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND INSTITUTIONAL CLASS | NEW YORK, NY | 8/30/2010 | 00587595-03 | Registered |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND PREMIER CLASS | NEW YORK, NY | 8/30/2010 | 00587598-03 | Registered |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND RETIREMENT CLASS | NEW YORK, NY | 8/30/2010 | 00587600-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| TIAA CREF FUNDS TIAA CREF EMERGING MARKETS EQUITY INDEX FUND RETAIL CLASS | NEW YORK, NY | 8/30/2010 | 00587597-03 | Registered |
| RS INVESTMENT TR RS CAPITAL APPRECIATION FUND CLASS A | SAN FRAN CISCO, CA | 8/27/2010 | 00587241-03 | Pending-Cleared |
| RS INVESTMENT TR RS CAPITAL APPRECIATION FUND CLASS C | SAN FRAN CISCO, CA | 8/27/2010 | 00587240-03 | Pending-Cleared |
| RS INVESTMENT TR RS CAPITAL APPRECIATION FUND CLASS K | SAN FRAN CISCO, CA | 8/27/2010 | 00587239-03 | Pending-Cleared |
| RS INVESTMENT TR RS CAPITAL APPRECIATION FUND CLASS Y | SAN FRAN CISCO, CA | 8/27/2010 | 00587238-03 | Pending-Cleared |
| VAN KAMPEN UNIT TR MUNICIPAL SERIES 920 INVESTMENT GRADE MUNICIPALS TR SERIES 111 | OAKBROOK, IL | 8/27/2010 | 00587237-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR MUNICIPAL SERIES 921 INVESTMENT GRADE MUNICIPALS TR SERIES 112 | OAKBROOK, IL | 8/27/2010 | 00587236-05 | Pending-Cleared |
| ADVISORS TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 23 | MONUMENT, CO | 8/26/2010 | 00587130-05 | Pending-Cleared |
| 1ST TR COMBINED SERIES 327 BUILD AMERICA BONDS PORTFOLIO SERIES 17 | WHEATON, IL | 8/25/2010 | 00587121-05 | Pending-Cleared |
| FT 2519 INCOME ALLOCATION CLOSED END SEPT 10 | WHEATON, IL | 8/25/2010 | 00587120-05 | Pending-Cleared |
| FT 2520 DOW TARGET 10 SEPT 10 TERM 9 30 11 | WHEATON, IL | 8/25/2010 | 00587116-05 | Pending-Cleared |
| FT 2520 DOW TARGET DVD SEPT 10 TERM 9 30 11 | WHEATON, IL | 8/25/2010 | 00587117-05 | Pending-Cleared |
| FT 2520 TARGET VIP SEPT 10 TERM 9 30 11 | WHEATON, IL | 8/25/2010 | 00587118-05 | Pending-Cleared |
| FT 2548 ETF GROWTH & INCOME SEPT 10 | WHEATON, IL | 8/25/2010 | 00587115-05 | Pending-Cleared |
| FT 2571 GLOBAL AGRICULTURE PORTFOLIO SERIES 14 | WHEATON, IL | 8/25/2010 | 00587122-05 | Pending-Cleared |
| FT 2578 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 24 | WHEATON, IL | 8/25/2010 | 00587114-05 | Pending-Cleared |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| FT 2593 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 25 | WHEATON, IL | 8/25/2010 | 00587119-05 | Pending-Cleared |
| BUILD AMERICA BOND PORTFOLIO SERIES 16 AN SMC FIM PORTFOLIO | MONUMENT, CO | 8/24/2010 | 00587078-05 | Pending-Cleared |
| FT 2579 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 37 | WHEATON, IL | 8/24/2010 | 00587075-05 | Pending-Cleared |
| FT 2588 GLOBAL BOND INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES | WHEATON, IL | 8/24/2010 | 00587076-05 | Pending-Cleared |
| FT 2591 INSURED MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES | WHEATON, IL | 8/24/2010 | 00587077-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR MUNICIPAL SERIES 918 INVESTORS QUALITY TX EX TR SERIES 130 | OAKBROOK, IL | 8/24/2010 | 00587083-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR MUNICIPAL SERIES 919 INVESTORS QUALITY TX EX TR SERIES 131 | OAKBROOK, IL | 8/24/2010 | 00587084-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1024 ARDOUR GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2010 4 | OAKBROOK, IL | 8/24/2010 | 00587082-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1024 BRIC OPPORTUNITY PORTFOLIO 2010 4 | OAKBROOK, IL | 8/24/2010 | 00587081-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1025 TECHNOLOGY PORTFOLIO 2010 4 | OAKBROOK, IL | 8/24/2010 | 00587085-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1026 GREAT INTERNATIONAL FRANCHISE PORTFOLIO SERIES 41 | OAKBROOK, IL | 8/24/2010 | 00587079-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1026 US MULTINATIONAL 50 PORTFOLIO SERIES 33 | OAKBROOK, IL | 8/24/2010 | 00587080-05 | Pending-Cleared |
| ADVISORS CORPORATE TR CUTWATER INCOME OPPORTUNITIES PORTFOLIO SERIES 4 | MONUMENT, CO | 8/23/2010 | 00587062-05 | Pending-Cleared |

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|---|------------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| BUILD AMERICA BOND LTD MATURITY PORTFOLIO SERIES 5 AN SMC FIM PORTFOLIO | MONUMENT, CI | 8/23/2010 | 00587063-05 | Pending-Cleared |
| BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES I | MONUMENT, CO | 8/23/2010 | 00586513-05 | Pending-Cleared |
| CATALYST FUNDS AMERICAS 1ST ABSOLUE RETURN FUND CLASS I | WILLOW GROVE, PA | 8/23/2010 | 00587060-03 | Registered |
| CATALYST FUNDS AMERICAS 1ST INCOME TRENDS FUND CLASS A | WILLOW GROVE, PA | 8/23/2010 | 00587059-03 | Registered |
| CATALYST FUNDS AMERICAS 1ST INCOME TRENDS FUND CLASS I | WILLOW GROVE, PA | 8/23/2010 | 00587058-03 | Registered |
| CATALYST FUNDS AMERICAS 1ST INCOME TRENDS FUND CLASS U | WILLOW GROVE, PA | 8/23/2010 | 00587061-03 | Registered |
| TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 17 AN SMC FIM PORTFOLIO | MONUMENT, CO | 8/23/2010 | 00587064-05 | Pending-Cleared |
| Number of Investment Company Filings: 102 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|---------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| FORT DEARBORN HOLDING CO INC | DENVER, CO | 9/2/2010 | 00587654-07 | Filed |
| HYPERACTIVE TECHNOLOGIES INC | PITTSBURGH, PA | 9/2/2010 | 00587653-07 | Filed |
| 400 INVESTORS LLC | NORTHBOOK, IL | 9/1/2010 | 00587633-07 | Filed |
| IVP PERC SYSTEMS LLC | NEW YORK, NY | 9/1/2010 | 00587631-07 | Filed |
| OLYMPIC PACIFIC MINERALS INC | TORONTO ON M5C 1C3, | 9/1/2010 | 00587632-07 | Filed |
| COLCHESTER INTERNATIONAL BOND FUND | NEW YORK, NY | 8/31/2010 | 00587292-07 | Filed |
| AEI SERIOUS MATERIALS INVESTMENTS 1 LLC | CHICAGO, IL | 8/30/2010 | 00587280-07 | Filed |
| GLOBAL BUSINESS MARKETING INC | LAS VEGAS, NV | 8/30/2010 | 00587261-07 | Filed |
| PEHAM LONG SHORT FUND LTD | HAMILTON HM11, | 8/30/2010 | 00587262-07 | Filed |

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| Firm Name | Location | Date Received | File Number | Status |
| ROBECO COLLECTIVE INVESTMENT TR | BOSTON, MA | 8/30/2010 | 00587260-07 | Filed |
| GRESHAM GLOBAL OPPORTUNITIES LP | CHICAGO, IL | 8/27/2010 | 00587245-07 | Filed |
| SOUTHPORT FINANCIAL CORP | KENOSHA, WI | 8/27/2010 | 00587244-07 | Filed |
| BUYONATE INC | LU AN CITY ANHUI 237000, | 8/26/2010 | 00587131-07 | Filed |
| NORTHSTAR INCOME OPPORTUNITY REIT 1 INC | NEW YORK, NY | 8/25/2010 | 00587123-07 | Filed |
| BLUE RIDGE OPPORTUNITY FUND 4 LLC | BOWLING GREEN, KY | 8/24/2010 | 00587087-07 | Filed |
| EVOORGANIC INC | LEXINGTON, KY | 8/24/2010 | 00587088-07 | Filed |
| HACIENDA HEIGHTS LLC | WESTPORT, CT | 8/24/2010 | 00587086-07 | Filed |
| MORGAN STANLEY HEDGEPREMIER MARINER TRICADA CREDIT STRATEGIES FUND 2 LP | NEW YORK, NY | 8/24/2010 | 00587092-07 | Filed |
| MORGAN STANLEY HEDGEPREMIER MAVERICK FUND LP | NEW YORK, NY | 8/24/2010 | 00587089-07 | Filed |
| MORGAN STANLEY HEDGEPREMIER PAULSON ADVANTAGE PLUS FUND LP | NEW YORK, NY | 8/24/2010 | 00587090-07 | Filed |
| MORGAN STANLEY HEDGEPREMIER PAULSON ADVANTAGE PLUS OFFSHORE FUND LTD | NEW YORK, NY | 8/24/2010 | 00587091-07 | Filed |
| POST TOTAL RETURN OFFSHORE FUND 2 LTD | GEORGETOWN GRAND CAYMAN, | 8/23/2010 | 00587066-07 | Filed |
| ST LOUIS EQUITY FUND 2010 LLC | ST LOUIS, MO | 8/23/2010 | 00587067-07 | Filed |
| Number of Regulation D Notice Filings: 23 | | | | |

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--|------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Aerus Franchising, LLC / Aerus | Dallas, TX | 9/2/2010 1 | 601362 | Registered |
| ATL INTERNATIONAL INC | MILLERSVILLE, MD | 9/1/2010 | 601348 | Registered |
| ATL INTERNATIONAL INC / All Tune Transmissions | MILLERSVILLE, MD | 9/1/2010 | 601349 | Registered |

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| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| ATL INTERNATIONAL INC / All Tune and Lube | MILLERSVILLE, MD | 9/1/2010 | 601350 | Registered |
| yoasis Franchising Systems, LLC | Salt Lake City, UT | 9/1/2010 | 601346 | Registered |
| ZIEBART CORP | TROY, MI | 9/1/2010 | 601347 | Registered |
| Patrice & Associates Franchising, Inc. / Patrice & Associates | Dunkirk, MD | 8/31/2010 | 601345 | Registered |
| Sark Services, LLC / Jack of All Trades Handyman - Area Developer | Rhineland, WI | 8/31/2010 | 601344 | Registered |
| CHHJ Franchising, LLC / College Hunks Hauling Junk | Tampa, FL | 8/30/2010 | 601342 | Registered |
| DIXIE CREAM DONUTS FRANCHISE SYSTEMS LLC | TULSA, OK | 8/30/2010 | 601341 | Registered |
| Gamin Ride Franchise Systems, Inc. | Toms River, NJ | 8/30/2010 | 601339 | Registered |
| UNIGLOBE TRAVEL USA LLC / Corporate Agency Program | Irvine, CA | 8/30/2010 | 601340 | Registered |
| REALTY WORLD INC | COSTA MESA, CA | 8/27/2010 | 601329 | Registered |
| SHARKEYS FRANCHISING CO LLC | WESTPORT, CT | 8/27/2010 | 601328 | Registered |
| First General Services Franchising, LLC | Orlando, FL | 8/26/2010 | 601343 | Registered |
| HUDDLE HOUSE INC | ATLANTA, GA | 8/25/2010 | 601336 | Registered |
| SMALLBIZPROS INC | ATHENS, GA | 8/25/2010 | 601337 | Registered |
| TUTOR DOCTOR SYSTEMS INC | WILMINGTON, DE | 8/24/2010 | 601338 | Registered |
| ALAMO DRAFTHOUSE CINEMAS LTD | AUSTIN, TX | 8/23/2010 | 601315 | Registered |
| MARCOS FRANCHISING LLC / AREA REPRESENTATIVE | TOLEDO, OH | 8/23/2010 | 601316 | Registered |
| MARCOS FRANCHISING LLC / Standard | TOLEDO, OH | 8/23/2010 | 601319 | Registered |
| MD PIZZA LLC | WILMINGTON, DE | 8/23/2010 | 601317 | Registered |
| MONGOLIAN MANAGMENT & INVESTMENT CO LLC | BURNSVILLE, MN | 8/23/2010 | 601318 | Registered |
| Number of Franchise Filings: 23 | | | | |

| Corporate Take-Over Law Filings Made with DFI's Securities Division | |
|--|----------------------|
| Takeover Filing Reference | Date Received |
| Number of Takeover Filings: 0 | |