

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/28/2010 through 7/9/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORPORATE CAPITAL TR INC	ORLANDO, FL	6/30/2010	00585473-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
OGLETHORPE POWER CORP	,	7/6/2010	00585524-09	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 319 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 5	WHEATON, IL	7/9/2010	00585610-05	Pending-Cleared
FT 2481 CANADIAN ENERGY & INCOME PORTFOLIO SERIES 18	WHEATON, IL	7/9/2010	00585613-05	Pending-Cleared
FT 2483 GLOBAL SHIPPING PORTFOLIO SERIES 12	WHEATON, IL	7/9/2010	00585612-05	Pending-Cleared
FT 2485 BRAZIL PORTFOLIO SERIES 6	WHEATON, IL	7/9/2010	00585611-05	Pending-Cleared
FT 2501 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	7/9/2010	00585609-05	Pending-Cleared
FT 2502 INTEREST RATE HEDGE PORTFOLIO SERIES 10	WHEATON, IL	7/9/2010	00585608-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 904 QUALITY MUNICIAPLS INCOME TR 25TH LTD MATURITY SERIES	OAKBROOK, IL	7/9/2010	00585620-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 905 INSURED MUNICIPALS INCOME TR SERIES 609	OAKBROOK, IL	7/9/2010	00585618-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 906 INSURED MUNICIPALS INCOME TR SERIES 610	OAKBROOK, IL	7/9/2010	00585619-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 265 BUILD AMERICA BONDS INCOME TR SERIES 42	OAKBROOK, IL	7/9/2010	00585617-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 266 BUILD AMERICA BONDS INCOME TR SERIES 43	OAKBROOK, IL	7/9/2010	00585616-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 267 BUILD AMERICA BONDS INCOME TR SERIES 44	OAKBROOK, IL	7/9/2010	00585615-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 268 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 15	OAKBROOK, IL	7/9/2010	00585614-05	Pending-Cleared
1ST TR COMBINED SERIES 320 BUILD AMERICA BONDS PORTFOLIO SERIES 14	WHEATON, IL	7/8/2010	00585570-05	Pending-Cleared
BLACKROCK FUNDS 2 BLACKROCK FLOATING RATE INCOME PORTFOLIO INVESTOR A SHARES	WILMINGTON, DE	7/8/2010	00585599-03	Registered
BLACKROCK FUNDS 2 BLACKROCK FLOATING RATE INCOME PORTFOLIO INVESTOR C SHARES	WILMINGTON, DE	7/8/2010	00585600-03	Registered
BLACKROCK FUNDS 2 BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	7/8/2010	00585601-03	Registered
FT 2480 UNCOMMON VALUES AGGRESIVE GROWTH SERIES 2010	WHEATON, IL	7/8/2010	00585571-05	Pending-Cleared
FT 2480 UNCOMMON VALUES GROWTH & INCOME SERIES 2010	WHEATON, IL	7/8/2010	00585572-05	Pending-Cleared
FT 2480 UNCOMMON VALUES TR 2010 SERIES	WHEATON, IL	7/8/2010	00585569-05	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA LARGE CAP EQUITY FUND INVESTOR CLASS	FORT WORTH, TX	7/7/2010	00585553-03	Registered

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Firm Name	Location	Date Received	File Number	Status
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA LARGE CAP EQUITY FUND Y CLASS	FORT WORTH, TX	7/7/2010	00585554-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA LARGE CAP EQUITY FUND A CLASS	FORT WORTH, TX	7/7/2010	00585557-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND Y CLASS	FORT WORTH, TX	7/7/2010	00585558-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND A CLASS	FORT WORTH, TX	7/7/2010	00585555-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND INVESTOR CLASS	FORT WORTH, TX	7/7/2010	00585556-03	Registered
FT 2482 60 40 STRATEGIC ALLOCATION PORT 3Q 10 TERM 10 12 11	WHEATON, IL	7/7/2010	00585546-05	Pending-Cleared
FT 2482 75 25 STRATEGIC ALLOCATION PORT 3Q 10 TERM 10 12 11	WHEATON, IL	7/7/2010	00585545-05	Pending-Cleared
FT 2484 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 4	WHEATON, IL	7/7/2010	00585544-05	Pending-Cleared
FT 2484 SMID CAPITAL STRENGTH PORTFOLIO SERIES 4	WHEATON, IL	7/7/2010	00585543-05	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN DISCIPLINED VALUE MID CAP FUND CLASS ADV	BOSTON, MA	7/7/2010	00585559-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN DISCIPLINED VALUE MID CAP FUND CLASS A	BOSTON, MA	7/7/2010	00585560-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN DISCIPLINED VALUE MID CAP FUND CLASS I	BOSTON, MA	7/7/2010	00585561-03	Registered
COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 2 YR SERIES 11	MONUMENT, CO	7/6/2010	00585510-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
EQUITY DIVIDEND & INCOME CLOSED END PORTFOLIO SERIES 9	MONUMENT, CO	7/6/2010	00585512-05	Pending-Cleared
FT 2476 MUNICIPAL INCOME SLOED END PORTFOLIO SERIES 36	WHEATON, IL	7/6/2010	00585515-05	Pending-Cleared
FT 2477 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 3Q 10 TERM 10 11 11	WHEATON, IL	7/6/2010	00585514-05	Pending-Cleared
FT 2509 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	7/6/2010	00585513-05	Pending-Cleared
GLOBAL WATER PORTFOLIO SERIES 7	MONUMENT, CO	7/6/2010	00585511-05	Pending-Cleared
HARDING LOEVNER FUNDS INC FRONTIER EMERGING MARKETS PORTFOLIO INSTITUTIONAL CLASS	SOMMERVILLE, NJ	7/2/2010	00585564-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND CLASS C	NEW YORK, NY	7/2/2010	00585563-03	Registered
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND CLASS A SHARES	BOSTON, MA	7/1/2010	00585480-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND CLASS C SHARES	BOSTON, MA	7/1/2010	00585481-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND RS CLASS SHARES	BOSTON, MA	7/1/2010	00585482-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND SELECT CLASS SHARES	BOSTON, MA	7/1/2010	00585483-03	Pending-Cleared
UBS FUNDS UBS MARKET NEUTRAL MULTI STRATEGY FUND CLASS A	BOSTON, MA	7/1/2010	00585489-03	Pending-Cleared
UBS FUNDS UBS MARKET NEUTRAL MULTI STRATEGY FUND CLASS C	BOSTON, MA	7/1/2010	00585490-03	Pending-Cleared
UBS FUNDS UBS MARKET NEUTRAL MULTI STRATEGY FUND CLASS Y	BOSTON, MA	7/1/2010	00585491-03	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1003 DIVIDEND INCOME & VALUE PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585484-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1005 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585487-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1006 PRECIOUS METALS & MINING PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585485-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1007 EMERGING MARKETS PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585486-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 992 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 36	OAKBROOK, IL	7/1/2010	00585488-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 658 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	6/30/2010	00585468-05	Pending-Cleared
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585402-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585404-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585401-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585400-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585399-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585406-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585413-03	Registered

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BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585409-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585405-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585403-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585415-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585407-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585408-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585411-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585417-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585414-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585416-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585418-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585410-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585412-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 694 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY SERIES 8	LISLE, IL	6/29/2010	00585322-05	Pending-Cleared
DREYFUS LAUREL FUNDS TR DREYFUS INSTITUTIONAL INCOME ADVANTAGE FUND INVESTOR SHARES	NEW YORK, NY	6/29/2010	00585425-03	Registered
DREYFUS LAUREL FUNDS TR DREYFUS INSTITUTIONAL INCOME ADVANTAGE FUND INSTITUTIONAL SHARES	NEW YORK, NY	6/29/2010	00585428-03	Registered
FINANCIAL INVESTORS TR JEFFERIES ASSET MANAGEMENT COMMODITY STRATEGY ALLOCATION FUND CLASS A	DENVER, CO	6/29/2010	00585439-03	Registered
FINANCIAL INVESTORS TR JEFFERIES ASSET MANAGEMENT COMMODITY STRATEGY ALLOCATION FUND CLASS C	DENVER, CO	6/29/2010	00585440-03	Registered
FINANCIAL INVESTORS TR JEFFERIES ASSET MANAGEMENT COMMODITY STRATEGY ALLOCATION FUND CLASS I	DENVER, CO	6/29/2010	00585441-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	CHICAGO, IL	6/29/2010	00585431-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS IR SHARES	CHICAGO, IL	6/29/2010	00585434-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS A SHARES	CHICAGO, IL	6/29/2010	00585430-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS C SHARES	CHICAGO, IL	6/29/2010	00585432-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS R SHARES	CHICAGO, IL	6/29/2010	00585433-03	Registered
MANNING & NAPIER FUND INC PRO BLEND B CONSERVATIVE TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585435-03	Registered
MANNING & NAPIER FUND INC PRO BLEND B EXTENDED TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585436-03	Registered
MANNING & NAPIER FUND INC PRO BLEND B MAXIMUM TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585437-03	Registered
MANNING & NAPIER FUND INC PRO BLEND B MODERATE TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585438-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS Y SHARES	TACOMA, WA	6/29/2010	00585423-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS E SHARES	TACOMA, WA	6/29/2010	00585422-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS S SHARES	TACOMA, WA	6/29/2010	00585421-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS C SHARES	TACOMA, WA	6/29/2010	00585420-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS A SHARES	TACOMA, WA	6/29/2010	00585419-03	Registered
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585449-03	Registered
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585457-03	Registered
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585451-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585455-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585444-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585446-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585447-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585445-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585450-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585453-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585448-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585443-03	Registered
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585458-03	Registered
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585452-03	Registered
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585454-03	Registered

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Firm Name	Location	Date Received	File Number	Status
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585456-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS LARGE CAP GROWTH FUND CLASS I	NEW YORK, NY	6/29/2010	00585429-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS LARGE CAP GROWTH FUND CLASS C	NEW YORK, NY	6/29/2010	00585426-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS LARGE CAP GROWTH FUND CLASS A	NEW YORK, NY	6/29/2010	00585424-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND CLASS A	NEW YORK, NY	6/29/2010	00585427-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND CLASS I	NEW YORK, NY	6/29/2010	00585442-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS PREMIUM ALPHASECTOR FUND CLASS C	GREENFIELD, MA	6/29/2010	00585460-03	Pending-Cleared
VIRTUS OPPORTUNITIES TR VIRTUS PREMIUM ALPHASECTOR FUND CLASS I	GREENFIELD, MA	6/29/2010	00585461-03	Pending-Cleared
VIRTUS OPPORTUNITIES TR VIRTUS PREMIUM ALPHASECTORSM FUND CLASS A	GREENFIELD, MA	6/29/2010	00585459-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON BALANCED FUND A CLASS	FORT WORTH, TX	6/28/2010	00585253-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON EMERGING MARKETS FUND A CLASS	FORT WORTH, TX	6/28/2010	00585254-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLOBAL REAL ESTATE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585264-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON HIGH YIELD BOND FUND A CLASS	FORT WORTH, TX	6/28/2010	00585257-03	Registered

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AMERICAN BEACON FUNDS AMERICAN BEACON INTERMEDIATE BOND FUND A CLASS	FORT WORTH, TX	6/28/2010	00585256-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON INTERNATIONAL EQUITY FUND A CLASS	FORT WORTH, TX	6/28/2010	00585258-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP GROWTH FUND A CLASS	FORT WORTH, TX	6/28/2010	00585262-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP VALUE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585261-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON MID CAP VALUE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585265-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON RETIREMENT INCOME & APPRECIATION FUND A CLASS	FORT WORTH, TX	6/28/2010	00585255-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SHORT TERM BOND FUND A CLASS	FORT WORTH, TX	6/28/2010	00585260-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SMALL CAP VALUE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585263-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON TREASURY INFLATION PROTECTED SECURITIES FUND A CLASS	FORT WORTH, TX	6/28/2010	00585259-03	Registered
BUILD AMERICA BOND PORTFOLIO SERIES 14 AN SMC FIM PORTFOLIO	MONUMENT, CO	6/28/2010	00585239-05	Pending-Cleared
LORD ASSET MANAGEMENT TR WHITE THOMAS EMERGING MARKETS FUND	MILWAUKEE, WI	6/28/2010	00585244-03	Pending-Cleared
PNC FUNDS PNC MULTI FACTOR SMALL CAP GROWTH FUND CLASS I SHARES	KING OF PRUSSIA, PA	6/28/2010	00585267-03	Registered
PNC FUNDS PNC TX EX LTD MATURITY BOND FUND CLASS I SHARES	KING OF PRUSSIA, PA	6/28/2010	00585266-03	Registered

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PRICE T ROWE INSTITUTIONAL INTERNATIONAL FUND INC PTRI CONCENTRATED INTERNATIONAL EQUITY FUND	BALTIMORE, MD	6/28/2010	00585240-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 903 INSURED MUNICIPALS INCOME TR SERIES 608	OAKBROOK, IL	6/28/2010	00585243-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 262 BUILD AMERICA BONDS INCOME SERIES 41	OAKBROOK, IL	6/28/2010	00585242-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 263 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 14	OAKBROOK, IL	6/28/2010	00585241-05	Pending-Cleared
Number of Investment Company Filings: 139				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREEN BAY PACKAGING INC	GREEN BAY, WI	7/9/2010	00585621-07	Filed
IMI EQUITY FUND 7 LP	BALTIMORE, MD	7/9/2010	00585622-07	Filed
AQUAVENTURE HOLDINGS LLC	TAMPA, FL	7/8/2010	00585574-07	Filed
COLCHESTER LOCAL MARKETS DEBT FUND	NEW YORK, NY	7/8/2010	00585573-07	Filed
KLD ENERGY TECHNOLOGIES INC	AUSTIN, TX	7/8/2010	00585575-07	Filed
DEL PARMA ORTHODEDICS LLC	COLUMBIA CITY, IN	7/7/2010	00585547-07	Filed
ROYALE ENERGY INC	SAN DIEGO, CA	7/7/2010	00585548-07	Filed
SALIENT SOLUTIONS LLC	FAIRFAX, VA	7/7/2010	00585549-07	Filed
CN INVESTORS LLC	LAS VEGAS, NV	7/6/2010	00585522-07	Filed
DENALI 3 D PROSPECT JOINT VENTURE	SAN ANTONIO, TX	7/6/2010	00585519-07	Filed
EDWARDS BENJAMIN INC	CLAYTON, MO	7/6/2010	00585517-07	Filed
EFFRX PHARACEUTICALS SA	LUASANNE 1005,	7/6/2010	00585523-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/28/2010 through 7/9/2010**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREAT LAKES LITHOTRIPSY LP	AUSTIN, TX	7/6/2010	00585531-07	Filed
IBG LLC	GREENWICH, CT	7/6/2010	00585530-07	Filed
INVESTPROPERTY GROUP LLC	NEW YORK, NY	7/6/2010	00585520-07	Filed
IVP NEW MEDIA LLC	NEW YORK, NY	7/6/2010	00585521-07	Filed
PLUSCIOS OFFSHORE FUND SPC	GRAND CAYMAN KY1-1108,	7/6/2010	00585516-07	Filed
SOLIGENIX INC	PRINCETON, NJ	7/6/2010	00585518-07	Filed
AEI MAGNUM INVESTMENTS 4 LLC	CHICAGO, IL	6/29/2010	00585325-07	Filed
DR TATTOFF INC	BEVERLY HILLS, CA	6/29/2010	00585324-07	Filed
QCR HOLDINGS INC	MOLINE, IL	6/29/2010	00585327-07	Filed
SONGWHALE LLC	PITTSBURGH, PA	6/29/2010	00585469-07	Filed
SPROTT POWER CORP	TORONTO ON M5J 2J2,	6/29/2010	00585323-07	Filed
WORLD POINT HOLDINGS INC	CLAYTON, MO	6/29/2010	00585326-07	Filed
BLUE RIDGE OPPORTUNITY FUND 3 LLC	BOWLING GREEN, KY	6/28/2010	00585245-07	Filed
GEMINI INVESTORS 5 LP	WELLESLEY, MA	6/28/2010	00585247-07	Filed
JAVALUTION COFFEE CO	FORT LAUDERDALE, FL	6/28/2010	00585246-07	Filed
Number of Regulation D Notice Filings: 27				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
CAPTAIN DS LLC	NASHVILLE, TN	7/8/2010	601171	Registered
HOOTERS OF AMERICA INC	ATLANTA, GA	7/8/2010	601168	Registered
MESSAGE HEIGHTS CORPORATE LLC	SAN ANTONIO, TX	7/8/2010	601169	Registered
ROMA FRANCHISE CORP	PLANO, TX	7/8/2010	601170	Registered
DEALER SPECIALTIES INTERNATIONAL INC	MONROE, OH	7/7/2010	601178	Registered
HOMEKEEPERS INTERNATIONAL FRANCHISING LLC	ROCHESTER, MN	7/7/2010	601177	Registered
AMERICAN LEAK DETECTION INC	PALM SPRINGS, CA	7/6/2010	601165	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/28/2010 through 7/9/2010**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
NATURAL AWAKENINGS PUBLISHING CORP	NAPLES, FL	7/6/2010	601166	Registered
SHRED IT AMERICA INC	Glendale Heights, IL	7/6/2010	601167	Registered
DEL TACO LLC	LAKE FOREST, CA	7/1/2010	601161	Registered
DIAMOND FRANCHISING INC / JANI KING OF MADISON	MADISON, WI	7/1/2010	601160	Registered
LA TAN ENTERPRISES INC	LINCOLNWOOD, IL	7/1/2010	601162	Registered
OTM FRANCHISING INC	HAVERSTRAW, NY	7/1/2010	601163	Registered
CARSOUP FRANCHISING LLC / Branding	BLOOMINGTON, MN	6/30/2010	601158	Registered
MELTING POT RESTAURANTS INC	TAMPA, FL	6/30/2010	601159	Registered
PEOPLES ORGANIC COFFEE FRANCHISING CO LLC	ST PETERSBURG, FL	6/30/2010	601157	Registered
Nature's Pathways Franchise Company, LLC	Appleton, WI	6/29/2010	601152	Registered
CHRISTMAS DECOR INC	LUBBOCK, TX	6/29/2010	601153	Registered
Fava World Franchising, Inc.	Appleton, WI	6/28/2010	601146	Registered
Number of Franchise Filings: 19				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	