

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/21/2010 through 7/2/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORPORATE CAPITAL TR INC	ORLANDO, FL	6/30/2010	00585473-01	Pending
CENTURY NET FINANCIAL CORP	RUSTON, LA	6/21/2010	00584673-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PROUROCARE MEDICAL INC	EDEN PRAIRIE, MN	6/25/2010	00584793-08	Not Disallowed
DEL GLOBAL TECHNOLOGIES CORP	BAY SHORE, NY	6/24/2010	00585249-08	Not Disallowed
MOUNT PLEASANT CHURCH & MINISTRIES INC	BALTIMORE, MD	6/23/2010	00584733-08	Pending
INGEN TECHNOLOGIES INC	YUCIAPA, CA	6/21/2010	00584675-08	Pending
Number of Exemption Filings: 4				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND CLASS A SHARES	BOSTON, MA	7/1/2010	00585480-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND CLASS C SHARES	BOSTON, MA	7/1/2010	00585481-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND RS CLASS SHARES	BOSTON, MA	7/1/2010	00585482-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN ALTERNATIVE STRATEGIES FUND SELECT CLASS SHARES	BOSTON, MA	7/1/2010	00585483-03	Pending-Cleared
UBS FUNDS UBS MARKET NEUTRAL MULTI STRATEGY FUND CLASS A	BOSTON, MA	7/1/2010	00585489-03	Pending-Cleared
UBS FUNDS UBS MARKET NEUTRAL MULTI STRATEGY FUND CLASS C	BOSTON, MA	7/1/2010	00585490-03	Pending-Cleared

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UBS FUNDS UBS MARKET NEUTRAL MULTI STRATEGY FUND CLASS Y	BOSTON, MA	7/1/2010	00585491-03	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1003 DIVIDEND INCOME & VALUE PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585484-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1005 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585487-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1006 PRECIOUS METALS & MINING PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585485-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1007 EMERGING MARKETS PORTFOLIO 2010 3	OAKBROOK, IL	7/1/2010	00585486-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 992 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 36	OAKBROOK, IL	7/1/2010	00585488-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 658 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	6/30/2010	00585468-05	Pending-Cleared
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585402-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585404-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585401-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585399-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2025 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585400-03	Registered

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Firm Name	Location	Date Received	File Number	Status
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585406-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585413-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585409-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585405-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2035 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585403-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585415-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585407-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585408-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585411-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2045 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585417-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO INSTITUTIONAL SHARES	SAN FRANCISCO, CA	6/29/2010	00585414-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO CLASS K SHARES	SAN FRANCISCO, CA	6/29/2010	00585416-03	Registered

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Firm Name	Location	Date Received	File Number	Status
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	6/29/2010	00585418-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	6/29/2010	00585412-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH 2055 PORTFOLIO INVESTOR A SHARES	SAN FRANCISCO, CA	6/29/2010	00585410-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 694 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY SERIES 8	LISLE, IL	6/29/2010	00585322-05	Pending-Cleared
DREYFUS LAUREL FUNDS TR DREYFUS INSTITUTIONAL INCOME ADVANTAGE FUND INVESTOR SHARES	NEW YORK, NY	6/29/2010	00585425-03	Registered
DREYFUS LAUREL FUNDS TR DREYFUS INSTITUTIONAL INCOME ADVANTAGE FUND INSTITUTIONAL SHARES	NEW YORK, NY	6/29/2010	00585428-03	Registered
FINANCIAL INVESTORS TR JEFFERIES ASSET MANAGEMENT COMMODITY STRATEGY ALLOCATION FUND CLASS A	DENVER, CO	6/29/2010	00585439-03	Pending-Cleared
FINANCIAL INVESTORS TR JEFFERIES ASSET MANAGEMENT COMMODITY STRATEGY ALLOCATION FUND CLASS C	DENVER, CO	6/29/2010	00585440-03	Pending-Cleared
FINANCIAL INVESTORS TR JEFFERIES ASSET MANAGEMENT COMMODITY STRATEGY ALLOCATION FUND CLASS I	DENVER, CO	6/29/2010	00585441-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	CHICAGO, IL	6/29/2010	00585431-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS IR SHARES	CHICAGO, IL	6/29/2010	00585434-03	Registered

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GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS A SHARES	CHICAGO, IL	6/29/2010	00585430-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS C SHARES	CHICAGO, IL	6/29/2010	00585432-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS STRATEGIC INCOME FUND CLASS R SHARES	CHICAGO, IL	6/29/2010	00585433-03	Registered
MANNING & NAPIER FUND INC PRO BLEND B CONSERVATIVE TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585435-03	Pending-Cleared
MANNING & NAPIER FUND INC PRO BLEND B EXTENDED TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585436-03	Pending-Cleared
MANNING & NAPIER FUND INC PRO BLEND B MAXIMUM TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585437-03	Pending-Cleared
MANNING & NAPIER FUND INC PRO BLEND B MODERATE TERM SERIES CLASS R SHARES	FAIRPORT, NY	6/29/2010	00585438-03	Pending-Cleared
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS C SHARES	TACOMA, WA	6/29/2010	00585420-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS S SHARES	TACOMA, WA	6/29/2010	00585421-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS E SHARES	TACOMA, WA	6/29/2010	00585422-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS Y SHARES	TACOMA, WA	6/29/2010	00585423-03	Registered
RUSSELL INVESTMENT CO RUSSELL COMMODITY STRATEGIES FUND CLASS A SHARES	TACOMA, WA	6/29/2010	00585419-03	Registered

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Firm Name	Location	Date Received	File Number	Status
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585449-03	Registered
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585457-03	Registered
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585451-03	Registered
RYDEX SERIES FUNDS ALTERNATIVE STRATEGIES FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585455-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585444-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585445-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585446-03	Registered
RYDEX SERIES FUNDS EVENT DRIVEN & DISTRESSED STRATEGIES FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585447-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585450-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585453-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585448-03	Registered
RYDEX SERIES FUNDS LONG SHORT EQUITY STRATEGY FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585443-03	Registered
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND INSTITUTIONAL CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585458-03	Registered

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Firm Name	Location	Date Received	File Number	Status
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND A CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585452-03	Registered
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND C CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585454-03	Registered
RYDEX SERIES FUNDS LONG SHORT INTEREST RATE STRATEGY FUND H CLASS SHARES	ROCKVILLE, MD	6/29/2010	00585456-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS LARGE CAP GROWTH FUND CLASS A	NEW YORK, NY	6/29/2010	00585424-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS LARGE CAP GROWTH FUND CLASS I	NEW YORK, NY	6/29/2010	00585429-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS LARGE CAP GROWTH FUND CLASS C	NEW YORK, NY	6/29/2010	00585426-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND CLASS A	NEW YORK, NY	6/29/2010	00585427-03	Registered
STRATEGIC FUNDS INC DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND CLASS I	NEW YORK, NY	6/29/2010	00585442-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS PREMIUM ALPHASECTOR FUND CLASS C	GREENFIELD, MA	6/29/2010	00585460-03	Pending-Cleared
VIRTUS OPPORTUNITIES TR VIRTUS PREMIUM ALPHASECTOR FUND CLASS I	GREENFIELD, MA	6/29/2010	00585461-03	Pending-Cleared
VIRTUS OPPORTUNITIES TR VIRTUS PREMIUM ALPHASECTORSM FUND CLASS A	GREENFIELD, MA	6/29/2010	00585459-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON BALANCED FUND A CLASS	FORT WORTH, TX	6/28/2010	00585253-03	Registered

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Firm Name	Location	Date Received	File Number	Status
AMERICAN BEACON FUNDS AMERICAN BEACON EMERGING MARKETS FUND A CLASS	FORT WORTH, TX	6/28/2010	00585254-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLOBAL REAL ESTATE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585264-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON HIGH YIELD BOND FUND A CLASS	FORT WORTH, TX	6/28/2010	00585257-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON INTERMEDIATE BOND FUND A CLASS	FORT WORTH, TX	6/28/2010	00585256-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON INTERNATIONAL EQUITY FUND A CLASS	FORT WORTH, TX	6/28/2010	00585258-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP GROWTH FUND A CLASS	FORT WORTH, TX	6/28/2010	00585262-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LARGE CAP VALUE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585261-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON MID CAP VALUE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585265-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON RETIREMENT INCOME & APPRECIATION FUND A CLASS	FORT WORTH, TX	6/28/2010	00585255-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SHORT TERM BOND FUND A CLASS	FORT WORTH, TX	6/28/2010	00585260-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SMALL CAP VALUE FUND A CLASS	FORT WORTH, TX	6/28/2010	00585263-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON TREASURY INFLATION PROTECTED SECURITIES FUND A CLASS	FORT WORTH, TX	6/28/2010	00585259-03	Registered
BUILD AMERICA BOND PORTFOLIO SERIES 14 AN SMC FIM PORTFOLIO	MONUMENT, CO	6/28/2010	00585239-05	Pending-Cleared

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LORD ASSET MANAGEMENT TR WHITE THOMAS EMERGING MARKETS FUND	MILWAUKEE, WI	6/28/2010	00585244-03	Pending-Cleared
PNC FUNDS PNC MULTI FACTOR SMALL CAP GROWTH FUND CLASS I SHARES	KING OF PRUSSIA, PA	6/28/2010	00585267-03	Registered
PNC FUNDS PNC TX EX LTD MATURITY BOND FUND CLASS I SHARES	KING OF PRUSSIA, PA	6/28/2010	00585266-03	Registered
PRICE T ROWE INSTITUTIONAL INTERNATIONAL FUND INC PTRI CONCENTRATED INTERNATIONAL EQUITY FUND	BALTIMORE, MD	6/28/2010	00585240-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 903 INSURED MUNICIPALS INCOME TR SERIES 608	OAKBROOK, IL	6/28/2010	00585243-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 262 BUILD AMERICA BONDS INCOME SERIES 41	OAKBROOK, IL	6/28/2010	00585242-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 263 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 14	OAKBROOK, IL	6/28/2010	00585241-05	Pending-Cleared
PREFERRED INCOME PORTFOLIO SERIES 8	MONUMENT, CO	6/25/2010	00584791-05	Pending-Cleared
PRINCIPAL FUNDS INC SHORT TERM INCOME FUND CLASS J	DES MOINES, IA	6/25/2010	00584808-03	Pending-Cleared
PRINCIPAL FUNDS INC SHORT TERM INCOME FUND CLASS R 1	DES MOINES, IA	6/25/2010	00584803-03	Pending-Cleared
PRINCIPAL FUNDS INC SHORT TERM INCOME FUND CLASS R 2	DES MOINES, IA	6/25/2010	00584804-03	Pending-Cleared
PRINCIPAL FUNDS INC SHORT TERM INCOME FUND CLASS R 4	DES MOINES, IA	6/25/2010	00584806-03	Pending-Cleared
PRINCIPAL FUNDS INC SHORT TERM INCOME FUND CLASS R 5	DES MOINES, IA	6/25/2010	00584807-03	Pending-Cleared
PRINCIPAL FUNDS INC SHORT TERM INCOME FUNDE CLASS R 3	DES MOINES, IA	6/25/2010	00584805-03	Pending-Cleared

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FT 2415 TARGET DVD MULTI STRAT PLUS 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584774-05	Pending-Cleared
FT 2418 INCOME ALLOCATION CLOSED END JULY 10	WHEATON, IL	6/24/2010	00584770-05	Pending-Cleared
FT 2419 DOW TARGET 10 JULY 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584769-05	Pending-Cleared
FT 2419 DOW TARGET DVD JULY 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584768-05	Pending-Cleared
FT 2419 TARGET FOCUS 4 JULY 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584767-05	Pending-Cleared
FT 2419 TARGET TRIAD JULY 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584766-05	Pending-Cleared
FT 2419 TARGET VIP JULY 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584765-05	Pending-Cleared
FT 2420 DOW TARGET 5 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584764-05	Pending-Cleared
FT 2420 GLOBAL TARGET 15 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584759-05	Pending-Cleared
FT 2420 MSCI EAFE TARGET 20 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584760-05	Pending-Cleared
FT 2420 NASDAQ TARGET 15 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584762-05	Pending-Cleared
FT 2420 NYSE INTL TARGET 25 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584763-05	Pending-Cleared
FT 2420 S&P TARGET 24 3Q TERM 9 30 11	WHEATON, IL	6/24/2010	00584761-05	Pending-Cleared
FT 2420 S&P TARGET SMIDE 60 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584752-05	Pending-Cleared
FT 2420 TARGET 50 50 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584775-05	Pending-Cleared
FT 2420 TARGET DBL PLAY 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584776-05	Pending-Cleared
FT 2420 TARGET DVD MULTI STRAT 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584777-05	Pending-Cleared
FT 2420 TARGET DVSD DVD 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584778-05	Pending-Cleared
FT 2420 TARGET FOCUS 5 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584751-05	Pending-Cleared
FT 2420 TARGET GROWTH 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584758-05	Pending-Cleared
FT 2420 TARGET MEGA CAP 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584756-05	Pending-Cleared
FT 2420 TARGET SMALL CAP 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584757-05	Pending-Cleared

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FT 2420 TARGET VIP CONS EQTY 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584755-05	Pending-Cleared
FT 2420 VALUE LINE DIVSD TARGET 40 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584753-05	Pending-Cleared
FT 2420 VALUE LINE TARGET 25 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584754-05	Pending-Cleared
FT 2475 CAPITAL STRENGTH PORTFOLIO SERIES 8	WHEATON, IL	6/24/2010	00584771-05	Pending-Cleared
FT 2506 TARGET GLOBAL DVD LEADERS 3Q 10 TERM 9 30 11	WHEATON, IL	6/24/2010	00584773-05	Pending-Cleared
FT 2507 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES	WHEATON, IL	6/24/2010	00584772-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 693 CLAYMORE GUGGENHEIM HIGH YIELD TR SERIES 2	LISLE, IL	6/23/2010	00584725-05	Pending-Cleared
FT 2444 MULTI SECTOR DIVIDEND PORTFOLIO SERIES 2	WHEATON, IL	6/23/2010	00584727-05	Pending-Cleared
FT 2494 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	6/23/2010	00584731-05	Pending-Cleared
FT 2495 INTEREST RATE HEDGE PORTFOLIO SERIES 9	WHEATON, IL	6/23/2010	00584729-05	Pending-Cleared
FT 2499 PHARMACEUTICAL SELECT PORTFOLIO SERIES 34	WHEATON, IL	6/23/2010	00584726-05	Pending-Cleared
FT 2500 BIOTECHNOLOGY PORTFOLIO SERIES 20	WHEATON, IL	6/23/2010	00584728-05	Pending-Cleared
FT 2508 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 13	WHEATON, IL	6/23/2010	00584730-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR RAMIOUS REPLICATION FUND CLASS I	MILWAUKEE, WI	6/23/2010	00584724-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR RAMIOUS REPLICATION FUND CLASS A	MILWAUKEE, WI	6/23/2010	00584723-03	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1000 S&P POWERPICKS PORTFOLIO 2010 3	OAKBROOK, IL	6/22/2010	00584693-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/21/2010 through 7/2/2010**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 996 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2010 3	OAKBROOK, IL	6/22/2010	00584691-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 996 EAFE SELECT 20 PORTFOLIO 2010 3	OAKBROOK, IL	6/22/2010	00584692-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 996 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2010 3	OAKBROOK, IL	6/22/2010	00584688-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 996 MULTI STRATEGY SERIES EAFE EDVY 2010 3	OAKBROOK, IL	6/22/2010	00584686-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 996 SELECT 10 INDUSTRIAL PORTFOLIO 2010 4	OAKBROOK, IL	6/22/2010	00584685-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 996 SELECT 5 INDUSTRIAL PORTFOLIO 2010 3	OAKBROOK, IL	6/22/2010	00584690-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 996 SELECT S&P CORE PORTFOLIO 2010 3	OAKBROOK, IL	6/22/2010	00584687-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 996 SELECT S&P INDUSTRIAL PORTFOLIO 2010 3	OAKBROOK, IL	6/22/2010	00584689-05	Pending-Cleared
SMART TR TAX FREE INCOME TR 2010 SERIES D	PARSIPANNY, NJ	6/21/2010	00584672-05	Pending-Cleared
Number of Investment Company Filings: 152				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AEI MAGNUM INVESTMENTS 4 LLC	CHICAGO, IL	6/29/2010	00585325-07	Filed
DR TATTOFF INC	BEVERLY HILLS, CA	6/29/2010	00585324-07	Filed
QCR HOLDINGS INC	MOLINE, IL	6/29/2010	00585327-07	Filed
SONGWHALE LLC	PITTSBURGH, PA	6/29/2010	00585469-07	Filed
SPROTT POWER CORP	TORONTO ON M5J 2J2,	6/29/2010	00585323-07	Filed
WORLD POINT HOLDINGS INC	CLAYTON, MO	6/29/2010	00585326-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/21/2010 through 7/2/2010**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLUE RIDGE OPPORTUNITY FUND 3 LLC	BOWLING GREEN, KY	6/28/2010	00585245-07	Filed
GEMINI INVESTORS 5 LP	WELLESLEY, MA	6/28/2010	00585247-07	Filed
JAVALUTION COFFEE CO	FORT LAUDERDALE, FL	6/28/2010	00585246-07	Filed
LV M VENTURE HOLDINGS DST	OAKBROOK, IL	6/25/2010	00584792-07	Filed
HAMMONDASSOCIATES ACCESS HEDGE FUND INVESTORS SPC	GEORGETOWN GRAND CAYMAN,	6/24/2010	00584779-07	Filed
FLATSIX LLC	NEW YORK, NY	6/23/2010	00584732-07	Filed
BRADY STREET PARTNERS LLC	CHICAGO, IL	6/22/2010	00584696-07	Filed
OZOFII PRIVATE INVESTORS OFFSHORE LTD	NEW YORK, NY	6/22/2010	00584695-07	Filed
FRONTPOINT OFFSHORE CURRENCY FUND LTD	GRAND CAYMAN KY1-1104,	6/21/2010	00584674-07	Filed
REPUBLIC RESOURCES LLC	SAN ANTONIO, TX	6/21/2010	00584676-07	Filed
Number of Regulation D Notice Filings: 16				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Nature's Pathways Franchise Company, LLC	Appleton, WI	6/29/2010	601152	Registered
CHRISTMAS DECOR INC	LUBBOCK, TX	6/29/2010	601153	Registered
Fava World Franchising, Inc.	Appleton, WI	6/28/2010	601146	Registered
Nutrilawn U.S., Inc.	Mississauga, ON	6/25/2010	601142	Registered
zoom room, Inc.	West Hollywood, CA	6/25/2010	601155	Registered
Next Step Franchising, Inc. / Lapels Dry Cleaning.	Hanover, MA	6/24/2010	601140	Registered
Bayshore Media Franchise, Inc.	Jericho, NY	6/24/2010	601143	Registered
CARSOUP FRANCHISING LLC / Content	BLOOMINGTON, MN	6/24/2010	601145	Registered
Chutney Joe's LLC	Chicago, IL	6/24/2010	601144	Registered
1-800 Radiator Franchise, Inc. / 1-800 Radiator	Benicia, CA	6/23/2010	601136	Registered
EAGLE FRANCHISE SYSTEMS INC	CYPRESS, TX	6/22/2010	601134	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/21/2010 through 7/2/2010**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ROCKY MOUNTAIN CHOCOLATE FACTORY INC	DURANGO, CO	6/22/2010	601133	Registered
ALLEGRA NETWORK LLC / Signs Now	NORTHVILLE, MI	6/21/2010	601125	Registered
ALLEGRA NETWORK LLC / Advantage Franchise Program	NORTHVILLE, MI	6/21/2010	601126	Registered
BILLBOARD CONNECTION INC	WEST PALM BEACH, FL	6/21/2010	601128	Registered
INTERSTATE BATTERY FRANCHISING & DEVELOPMENT INC	DES MOINES, IA	6/21/2010	601127	Registered
Roof to Deck International, Inc.	St. Paul, MN	6/21/2010	601129	Registered
Tailored Living, LLC	Orange, CA	6/21/2010	601124	Registered
Number of Franchise Filings: 18				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	