

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/31/2010 through 6/11/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BUSINESS DEVELOPMENT CORP OF AMERICA	NEW YORK, NY	6/7/2010	00582127-01	Pending
2ND BAPTIST CHURCH OF ELGIN	ELGIN, IL	6/3/2010	00582096-02	Pending
AUDIENCE PRODUCTIONS INC	SEATTLE, WA	6/1/2010	00582075-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NEW LIFE TABERNACLE UPC INC	SEFFNER, FL	6/10/2010	00582679-08	Pending
HINES REAL ESTATE INVESTMENT TR INC	HOUSTON, TX	6/8/2010	00582155-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR 1 JPMORGAN RESEARCH EQUITY LONG SHORT FUND SELECT CLASS	BOSTON, MA	6/10/2010	00582678-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN RESEARCH EQUITY LONG SHORT FUND CLASS A	BOSTON, MA	6/10/2010	00582675-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN RESEARCH EQUITY LONG SHORT FUND CLASS C	BOSTON, MA	6/10/2010	00582676-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN RESEARCH EQUITY LONG SHORT FUND R5 CLASS	BOSTON, MA	6/10/2010	00582677-03	Pending-Cleared
FT 2438 GLOBAL HARD ASSETS PORTFOLIO SERIES 4	WHEATON, IL	6/9/2010	00582164-05	Pending-Cleared
FT 2439 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 3	WHEATON, IL	6/9/2010	00582165-05	Pending-Cleared
FT 2493 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	6/9/2010	00582166-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HC CAPITAL TR COMMODITY RELATED SECURITIES PORTFOLIO HC STRATEGIC SHARES	COLUMBUS, OH	6/8/2010	00582160-03	Registered
PAPP INVESTMENT TR PAPP SMALL & MID CAP GROWTH FUND	CINCINNATI, OH	6/8/2010	00582149-03	Pending-Cleared
TX EX SECURITIES TR NATIONAL TR 464	MONUMENT, CO	6/8/2010	00582150-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1008 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 25	OAKBROOK, IL	6/8/2010	00582151-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 991 TECHNOLOGY PORTFOLIO 2010 3	OAKBROOK, IL	6/8/2010	00582154-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 993 GREAT INTERNATIONAL FRANCHISE PORTFOLIO SERIES 40	OAKBROOK, IL	6/8/2010	00582153-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 993 US MULTINATIONAL 50 PORTFOLIO SERIES 32	OAKBROOK, IL	6/8/2010	00582152-05	Pending-Cleared
FT 2434 GLOBAL AGRICULTURE PORTFOLIO SERIES 13	WHEATON, IL	6/7/2010	00582130-05	Pending-Cleared
FT 2437 EQUITY INCOME PORTFOLIO SERIES 26	WHEATON, IL	6/7/2010	00582129-05	Pending-Cleared
FT 2470 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 11	WHEATON, IL	6/7/2010	00582128-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR MILLER TABAK HEALTHCARE TRANSFORMATION FUND	MILWAUKEE, WI	6/7/2010	00582136-03	Pending-Cleared
QUAKER INVESTMENT TR QUAKER EVENT ARBITRAGE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	6/7/2010	00582126-03	Pending-Cleared
QUAKER INVESTMENT TR QUAKER EVENT ARBITRAGE FUND CLASS A	MILWAUKEE, WI	6/7/2010	00582125-03	Pending-Cleared
QUAKER INVESTMENT TR QUAKER EVENT ARBITRAGE FUND CLASS C	MILWAUKEE, WI	6/7/2010	00582124-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 315 BUILD AMERICA BONDS PORTFOLIO SERIES 12	WHEATON, IL	6/4/2010	00582111-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 661 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 25	LISLE, IL	6/4/2010	00582108-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 661 CLOSED END PREFERRED & INCOME PORTFOLIO SERIES 14	LISLE, IL	6/4/2010	00582109-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 661 STRATEGIC INCOME PORTFOLIO SERIES 44	LISLE, IL	6/4/2010	00582110-05	Pending-Cleared
FT 2436 NATURAL GAS PORTFOLIO SERIES 6	WHEATON, IL	6/4/2010	00582113-05	Pending-Cleared
FT 2462 DYNAMIC GROWTH & INCOME PORTFOLIO	WHEATON, IL	6/4/2010	00582112-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 657 CLAYMORE GUGGENHEIM INTERMEDIATE INVESMENT GRADE CORPORATE TR SERIES	LISLE, IL	6/3/2010	00582097-05	Pending-Cleared
FT 2432 GREATER CHINA PORTFOLIO SERIES 22	WHEATON, IL	6/3/2010	00582099-05	Pending-Cleared
FT 2435 GLOBAL COMMODITIES PORTFOLIO SERIES 12	WHEATON, IL	6/3/2010	00582100-05	Pending-Cleared
FT 2468 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 11	LISLE, IL	6/3/2010	00582098-05	Pending-Cleared
REAL ESTATE INCOME TR PORTFOLIO SERIES 9	MONUMENT, CO	6/2/2010	00582081-05	Pending-Cleared
1ST TR COMBINED SERIES 314 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 3	WHEATON, IL	6/1/2010	00582062-05	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS P	NEW YORK, NY	6/1/2010	00582045-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS C	NEW YORK, NY	6/1/2010	00582044-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/1/2010	00582043-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS A	NEW YORK, NY	6/1/2010	00582042-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS D	NEW YORK, NY	6/1/2010	00582041-03	Registered
AMERICAN INDEPENDENCE FUNDS TR AMERICAN INDEPENDENCE ACTIVE TREASURY MANAGEMENT BULL BEAR FUND INSTITUTIONAL CLASS	CINCINNATI, OH	6/1/2010	00582017-03	Pending-Cleared
AMERICAN INDEPENDENCE FUNDS TR AMERICAN INDEPENDENCE ACTIVE TREASURY MANAGEMENT BULL BEAR FUND CLASS A	CINCINNATI, OH	6/1/2010	00582018-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS A	MILWAUKEE, WI	6/1/2010	00582052-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS B	MILWAUKEE, WI	6/1/2010	00582051-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS C	MILWAUKEE, WI	6/1/2010	00582050-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS I	MILWAUKEE, WI	6/1/2010	00582049-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS R	MILWAUKEE, WI	6/1/2010	00582048-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 660 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 2	LISLE, IL	6/1/2010	00582055-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 660 GUGGENHEIM GLOBAL TELECOM STRATEGY PORTFOLIO SERIES 2	LISLE, IL	6/1/2010	00582056-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 660 GUGGENHEIM BMAC COMMODITY PRODUCERS STRATEGY PORTFOLIO SERIES 2	LISLE, IL	6/1/2010	00582057-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 687 CLOSED END INCOME & TREASURY LTD DURATION PORTFOLIO SERIES 8	LISLE, IL	6/1/2010	00582058-05	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE CORE FIXED INCOME FUND CLASS I	MILWAUKEE, WI	6/1/2010	00582053-03	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE CORE FIXED INCOME FUND CLASS N	MILWAUKEE, WI	6/1/2010	00582054-03	Pending-Cleared
EPIPAHNY FUNDS EPIPAHANY FFV FOCUSED FUND CLASS N	HAUPPAUGE, NY	6/1/2010	00582023-03	Pending-Cleared
EPIPAHNY FUNDS EPIPAHANY FFV STRATEGIC INCOME FUND CLASS N SHARES	HAUPPAUGE, NY	6/1/2010	00582022-03	Pending-Cleared
EPIPAHNY FUNDS EPIPAHANY LARGE CAP CORE EQUITY FUND CLASS N	HAUPPAUGE, NY	6/1/2010	00582024-03	Pending-Cleared
FBR FUNDS FBR TECHNOLOGY FUND CLASS I	BOSTON, MA	6/1/2010	00582019-03	Pending-Cleared
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS GROWTH FUND	BOSTON, MA	6/1/2010	00582046-03	Registered
FT 2430 GLOBAL DIVIDEND PORTFOLIO SERIES 21	WHEATON, IL	6/1/2010	00582059-05	Pending-Cleared
FT 2431 DIVIDEND STRANGTH PORTFOLIO SERIES 6	WHEATON, IL	6/1/2010	00582063-05	Pending-Cleared
FT 2433 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	6/1/2010	00582060-05	Pending-Cleared
FT 2469 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 12	WHEATON, IL	6/1/2010	00582061-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LAZARD FUNDS INC LAZARD EMERGING MARKETS EQUITY BLEND PORTFOLIO OPEN SHARES	OAKBROOK, IL	6/1/2010	00582067-03	Pending-Cleared
LAZARD FUNDS INC LAZARD EMERGING MARKETS EQUITY BLEND PORTFOLIO INSTITUTIONAL SHARES	OAKBROOK, IL	6/1/2010	00582066-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BTS BOND ASSET ALLOCATION FUND CLASS C	HAUPPAUGE, NY	6/1/2010	00582021-03	Pending-Cleared
NORTHERN LIGHTS FUND TR RADY CONTRARIAN LONG SHORT FUND CLASS I	HAUPPAUGE, NY	6/1/2010	00582020-03	Pending-Cleared
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS A	DENVER, CO	6/1/2010	00582028-03	Pending-Cleared
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS C	DENVER, CO	6/1/2010	00582027-03	Pending-Cleared
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS N	DENVER, CO	6/1/2010	00582026-03	Pending-Cleared
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS Y CLASS I	DENVER, CO	6/1/2010	00582025-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS A	DENVER, CO	6/1/2010	00582032-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS C	DENVER, CO	6/1/2010	00582031-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS N	DENVER, CO	6/1/2010	00582030-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS Y	DENVER, CO	6/1/2010	00582029-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 898 INSURED MUNICIPALS INCOME TR SERIES 606	OAKBROOK, IL	6/1/2010	00582065-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 898 INSURED MUNICIPALS INCOME TR SERIES 605	OAKBROOK, IL	6/1/2010	00582064-05	Pending-Cleared
Number of Investment Company Filings: 74				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORONADO BIOSCIENCES INC	SEATTLE, WA	6/10/2010	00582681-07	Filed
FANTA Z HOLDINGS LLC	LAS VEGAS, NV	6/10/2010	00582680-07	Filed
LTN STAFFING LLC	WAUKEGAN, IL	6/9/2010	00582167-07	Filed
WELLS FARGO MULTI STRATEGY 50 HEDGE FUND LLC	SAN FRANCISCO, CA	6/9/2010	00582168-07	Filed
ASH ACCESS TECHNOLOGY INC	LAFAYETTE, IN	6/8/2010	00582157-07	Filed
DW BBX GUNTER 2 LP	IRVING, TX	6/8/2010	00582156-07	Filed
EMERGENT PROPERTY CAPITAL PARTNERS LP	HANOVER, MA	6/8/2010	00582158-07	Filed
GROWTH CAPITAL TEI PORTFOLIO LLC	PALM BEACH GARDENS, FL	6/8/2010	00582159-07	Filed
BAM OPPORTUNITY OFFSHORE FUND LTD	NEW YORK, NY	6/7/2010	00582133-07	Filed
CASTLE AT THE BAY LLC	ARKDALE, WI	6/7/2010	00582132-07	Filed
IBG LLC	GREENWICH, CT	6/7/2010	00582139-07	Filed
RESOURCE LAND FUND 4 LLC	COLORADO SPRINGS, CO	6/7/2010	00582134-07	Filed
TAYLOR CAPITAL GROUP INC	ROSEMONT, IL	6/7/2010	00582131-07	Filed
BAY RESOURCES PARTNERS OFFSHORE FUND LTD	GRAND CAYMAN,	6/4/2010	00582116-07	Filed
CRAWFORD CAPITAL PARTNERS LP	BOSTON, MA	6/4/2010	00582114-07	Filed
MEWBOURNE ENERGY PARTNERS 10 A LP	TYLER, TX	6/4/2010	00582115-07	Filed
ARA SAFETY INC	VANCOUVER BC V6H 3R9,	6/3/2010	00582101-07	Filed
FREENLIGHT MASTERS AFFSHORE 1 LTD	NEW YORK, NY	6/3/2010	00582102-07	Filed
JHL CAPITAL GROUP FUND LLC	CHICAGO, IL	6/2/2010	00582068-07	Filed
HY DRIVE TECHNOLOGIES LTD	MISSISSAUGA ON L5N 5M4,	6/1/2010	00582069-07	Filed
MERIDIAN REAL ESTATE OPPORTUNITY FUND 2	SEATTLE, WA	6/1/2010	00582014-07	Filed
MURVIN & MEIER OIL CO	BARRINGTON, IL	6/1/2010	00582013-07	Filed
PIONEER POWER SOLUTIONS INC	FORT LEE, NJ	6/1/2010	00582072-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TELEDYNE TECHNOLOGIES INC	THOUSAND OAKS, CA	6/1/2010	00582071-07	Filed
ULRICH RANCH JOINT VENTURE	SAN ANTONIO, TX	6/1/2010	00582070-07	Filed
Number of Regulation D Notice Filings: 25				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Navis Logistics Management, Inc. / Navis Pack & Ship	Greenwood Village, CO	6/10/2010	601070	Registered
GOSH ENTERPRISES INC / Charley's	COLUMBUS, OH	6/10/2010	601075	Registered
HEALTH MART SYSTEMS INC	SAN FRANCISCO, CA	6/10/2010	601076	Registered
NLO Legal Services Management Co, Inc.	Greenwood illage, CO	6/10/2010	601077	Registered
SYLVAN LEARNING INC / Ace It!	BALTIMORE, MD	6/10/2010	601074	Registered
PASSPORT HEALTH INC	BALTIMORE, MD	6/9/2010	601069	Registered
GUESTHOUSE INTERNATIONAL LLC	HENDERSONVILLE, TN	6/8/2010	601061	Registered
TIM HORTONS USA INC	DUBLIN, OH	6/8/2010	601060	Registered
GALAXY INVESTORS INC / SPACE ALIENS GRILL & BAR	BISMARK, ND	6/7/2010 1	601052	Registered
DOLLAR RENT A CAR INC	TULSA, OK	6/7/2010	601063	Registered
GAMES 2 U FRANCHISING LLC	AUSTIN, TX	6/7/2010	601057	Registered
MooYah Franchise LLC	Plano, TX	6/7/2010	601065	Registered
Tire Pros Francorp	Huntersville, NC	6/7/2010	601064	Registered
TUTORING CENTER FRANCHISE CORP	LONG BEACH, CA	6/7/2010	601062	Registered
Jet America, Inc.	Sterling Heights, MI	6/4/2010	601032	Registered
QUAKER STEAK & LUBE FRANCHISING CORP	SHARON, PA	6/4/2010	601031	Registered
TEAGSCHWENDER FRANCHISE INC	BUFFALO GROVE, IL	6/4/2010	601038	Registered
CAJUN OPERATING CO	ATLANTA, GA	6/3/2010	601042	Registered
GOIN POSTAL FRANCHISE CORP	ZEPHYRHILLS, FL	6/3/2010	601041	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HARDEES FOOD SYSTEMS INC / St. Louis	ST LOUIS, MO	6/3/2010	601043	Registered
HARDEES FOOD SYSTEMS INC / Hardee's/Red Burrito	ST LOUIS, MO	6/3/2010	601044	Registered
HARDEES FOOD SYSTEMS INC / Multi Unit	ST LOUIS, MO	6/3/2010	601035	Registered
HARDEES FOOD SYSTEMS INC / Non Traditional	ST LOUIS, MO	6/3/2010	601037	Registered
KARCHER CARL ENTERPRISES INC / Non Traditional	CARPINTERIA, CA	6/3/2010	601036	Registered
THRIFTY RENT A CAR SYSTEM INC	TULSA, OK	6/3/2010	601033	Registered
TONI&GUY HAIRDRESSING ACADEMY FRANCHISE LP	CARROLTON, TX	6/3/2010	601034	Registered
TOTAL HOCKEY WORLDWIDE LLC	EAGAN, MN	6/3/2010	601040	Registered
BLOCKBUSTER INC	DALLAS, TX	6/2/2010	601049	Registered
HEALTHSOURCE CHIROPRACTIC INC / Regional Developer	VERMILLION, OH	6/2/2010	601048	Registered
KARCHER CARL ENTERPRISES INC / Carl's Jr./Green Burrito	CARPINTERIA, CA	6/2/2010	601047	Registered
CITY PUBLICATIONS FRANCHISE GROUP INC	ATLANTA, GA	6/1/2010	601045	Registered
COPELANDS OF NEW ORLEANS INC	METARIRIE, LA	6/1/2010	601021	Registered
COVERALL NORTH AMERICA INC	BOCA RATON, FL	6/1/2010	601024	Registered
FRESHBERRY FRANCHISE SYSTEM LLC	TULSA, OK	6/1/2010	601030	Registered
PRESSED 4 TIME INC	MAYNARD, MA	6/1/2010	601022	Registered
Racetime RV Resorts, LLC	Saratoga Springs, NY	6/1/2010	601027	Registered
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	BROOKFIELD, WI	6/1/2010	601023	Registered
STANLEY STEEMER INTERNATIONAL INC	DUBLIN, OH	6/1/2010	601028	Registered
SUPER WASH INC	MORRISON, IL	6/1/2010	601026	Registered
THRIFTY CAR SALES INC	TULSA, OK	6/1/2010	601025	Registered
TRC STAFFING SERVICES INC	ATLANTA, GA	6/1/2010	601029	Registered
Number of Franchise Filings: 41				

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Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	