

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/24/2010 through 6/4/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
2ND BAPTIST CHURCH OF ELGIN	ELGIN, IL	6/3/2010	00582096-02	Pending
AUDIENCE PRODUCTIONS INC	SEATTLE, WA	6/1/2010	00582075-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 315 BUILD AMERICA BONDS PORTFOLIO SERIES 12	WHEATON, IL	6/4/2010	00582111-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 661 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 25	LISLE, IL	6/4/2010	00582108-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 661 CLOSED END PREFERRED & INCOME PORTFOLIO SERIES 14	LISLE, IL	6/4/2010	00582109-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 661 STRATEGIC INCOME PORTFOLIO SERIES 44	LISLE, IL	6/4/2010	00582110-05	Pending-Cleared
FT 2436 NATURAL GAS PORTFOLIO SERIES 6	WHEATON, IL	6/4/2010	00582113-05	Pending-Cleared
FT 2462 DYNAMIC GROWTH & INCOME PORTFOLIO	WHEATON, IL	6/4/2010	00582112-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 657 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	6/3/2010	00582097-05	Pending-Cleared
FT 2432 GREATER CHINA PORTFOLIO SERIES 22	WHEATON, IL	6/3/2010	00582099-05	Pending-Cleared
FT 2435 GLOBAL COMMODITIES PORTFOLIO SERIES 12	WHEATON, IL	6/3/2010	00582100-05	Pending-Cleared
FT 2468 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 11	LISLE, IL	6/3/2010	00582098-05	Pending-Cleared
REAL ESTATE INCOME TR PORTFOLIO SERIES 9	MONUMENT, CO	6/2/2010	00582081-05	Pending-Cleared
1ST TR COMBINED SERIES 314 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 3	WHEATON, IL	6/1/2010	00582062-05	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS P	NEW YORK, NY	6/1/2010	00582045-03	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS C	NEW YORK, NY	6/1/2010	00582044-03	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/1/2010	00582043-03	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS D	NEW YORK, NY	6/1/2010	00582041-03	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM CHINA EQUITY FUND CLASS A	NEW YORK, NY	6/1/2010	00582042-03	Pending-Cleared
AMERICAN INDEPENDENCE FUNDS TR AMERICAN INDEPENDENCE ACTIVE TREASURY MANAGEMENT BULL BEAR FUND INSTITUTIONAL CLASS	CINCINNATI, OH	6/1/2010	00582017-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
AMERICAN INDEPENDENCE FUNDS TR AMERICAN INDEPENDENCE ACTIVE TREASURY MANAGEMENT BULL BEAR FUND CLASS A	CINCINNATI, OH	6/1/2010	00582018-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS A	MILWAUKEE, WI	6/1/2010	00582052-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS B	MILWAUKEE, WI	6/1/2010	00582051-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS C	MILWAUKEE, WI	6/1/2010	00582050-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS I	MILWAUKEE, WI	6/1/2010	00582049-03	Pending-Cleared
CALAMOS INVESTMENT TR CALAMOS DISCOVERY GROWTH FUND CLASS R	MILWAUKEE, WI	6/1/2010	00582048-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 660 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 2	LISLE, IL	6/1/2010	00582055-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 660 GUGGENHEIM GLOBAL TELECOM STRATEGY PORTFOLIO SERIES 2	LISLE, IL	6/1/2010	00582056-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 660 GUGGENHEIM BMAC COMMODITY PRODUCERS STRATEGY PORTFOLIO SERIES 2	LISLE, IL	6/1/2010	00582057-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 687 CLOSED END INCOME & TREASURY LTD DURATION PORTFOLIO SERIES 8	LISLE, IL	6/1/2010	00582058-05	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE CORE FIXED INCOME FUND CLASS I	MILWAUKEE, WI	6/1/2010	00582053-03	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE CORE FIXED INCOME FUND CLASS N	MILWAUKEE, WI	6/1/2010	00582054-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EIPAHPNY FUNDS EIPAHPANY FFV FOCUSED FUND CLASS N	HAUPPAUGE, NY	6/1/2010	00582023-03	Pending-Cleared
EIPAHPNY FUNDS EIPAHPANY FFV STRATEGIC INCOME FUND CLASS N SHARES	HAUPPAUGE, NY	6/1/2010	00582022-03	Pending-Cleared
EIPAHPNY FUNDS EIPAHPANY LARGE CAP CORE EQUITY FUND CLASS N	HAUPPAUGE, NY	6/1/2010	00582024-03	Pending-Cleared
FBR FUNDS FBR TECHNOLOGY FUND CLASS I	BOSTON, MA	6/1/2010	00582019-03	Pending-Cleared
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS GROWTH FUND	BOSTON, MA	6/1/2010	00582046-03	Registered
FT 2430 GLOBAL DIVIDEND PORTFOLIO SERIES 21	WHEATON, IL	6/1/2010	00582059-05	Pending-Cleared
FT 2431 DIVIDEND STRANGTH PORTFOLIO SERIES 6	WHEATON, IL	6/1/2010	00582063-05	Pending-Cleared
FT 2433 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	6/1/2010	00582060-05	Pending-Cleared
FT 2469 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 12	WHEATON, IL	6/1/2010	00582061-05	Pending-Cleared
LAZARD FUNDS INC LAZARD EMERGING MARKETS EQUITY BLEND PORTFOLIO OPEN SHARES	OAKBROOK, IL	6/1/2010	00582067-03	Pending-Cleared
LAZARD FUNDS INC LAZARD EMERGING MARKETS EQUITY BLEND PORTFOLIO INSTITUTIONAL SHARES	OAKBROOK, IL	6/1/2010	00582066-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BTS BOND ASSET ALLOCATION FUND CLASS C	HAUPPAUGE, NY	6/1/2010	00582021-03	Pending-Cleared
NORTHERN LIGHTS FUND TR RADY CONTRARIAN LONG SHORT FUND CLASS I	HAUPPAUGE, NY	6/1/2010	00582020-03	Pending-Cleared
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS A	DENVER, CO	6/1/2010	00582028-03	Pending-Cleared
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS C	DENVER, CO	6/1/2010	00582027-03	Pending-Cleared
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS N	DENVER, CO	6/1/2010	00582026-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
OPPENHEIMER CURRENCY OPPORTUNITIES FUND CLASS Y CLASS I	DENVER, CO	6/1/2010	00582025-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS A	DENVER, CO	6/1/2010	00582032-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS C	DENVER, CO	6/1/2010	00582031-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS N	DENVER, CO	6/1/2010	00582030-03	Pending-Cleared
OPPENHEIMER EMERGING MARKETS DEBT FUND CLASS Y	DENVER, CO	6/1/2010	00582029-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 898 INSURED MUNICIPALS INCOME TR SERIES 606	OAKBROOK, IL	6/1/2010	00582065-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 898 INSURED MUNICIPALS INCOME TR SERIES 605	OAKBROOK, IL	6/1/2010	00582064-05	Pending-Cleared
FUNDVANTAGE TR PRIVATE CAPITAL MANAGEMENT VALUE FUND CLASS A SHARES	WILMINGTON, DE	5/28/2010	00582007-03	Registered
FUNDVANTAGE TR PRIVATE CAPITAL MANAGEMENT VALUE FUND CLASS I SHARES	WILMINGTON, DE	5/28/2010	00582008-03	Registered
1ST TR COMBINED SERIES 313 TX EX MUNICIPAL INCOME TR SERIES 255	WHEATON, IL	5/26/2010	00581981-05	Pending-Cleared
CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 15	MONUMENT, CO	5/26/2010	00581982-05	Pending-Cleared
FT 2429 MEGA CAP PORTFOLIO SERIES 15	WHEATON, IL	5/26/2010	00581980-05	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK LARGE CAP GROWTH FUND INVESTOR CLASS	MILWAUKEE, WI	5/26/2010	00581978-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/26/2010	00581979-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK MID CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/26/2010	00581976-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK MID CAP GROWTH FUND INVESTOR CLASS	MILWAUKEE, WI	5/26/2010	00581977-03	Pending-Cleared
CATALYST FUNDS SHM REPRESENTATION TR	WILLOW GROVE, AP	5/25/2010	00581834-03	Pending
SMART TR ARCHITECTS DISCIPLINED CORE PORTFOLIO TR 2010 SERIES C	PARSIPPANY, NJ	5/25/2010	00581958-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 254 BUILD AMERICA BONDS INCOME TR SERIES 38	OAKBROOK, IL	5/25/2010	00581957-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 255 BUILD AMERICA BONDS INCOME TR SERIES 39	OAKBROOK, IL	5/25/2010	00581956-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 257 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 10	OAKBROOK, IL	5/25/2010	00581954-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 258 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 11	OAKBROOK, IL	5/25/2010	00581955-05	Pending-Cleared
BUILD AMERICA BOND LTD MATURITIY PORTFOLIO SERIES 2 AN SMC FIM PORTFOLIO	MONUMENT, CO	5/24/2010	00581823-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 13 AN SMC FIM PORTFOLIO	MONUMENT, CO	5/24/2010	00581822-05	Pending-Cleared
CALVERT FUND CALVERT UPTRA SHORT INCOME FUND CLASS Y	BETHESDA, MD	5/24/2010	00581821-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 667 GLOBAL WATER EQUITIES PORTFOLIO SERIES 18	LISLE, IL	5/24/2010	00581825-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2379 INCOME ALLOCATION CLOSED END JUNE 10	WHEATON, IL	5/24/2010	00581827-05	Pending-Cleared
FT 2380 DOW TARGET 10 JUNE 10 TERM 6 30 11	WHEATON, IL	5/24/2010	00581826-05	Pending-Cleared
FT 2380 DOW TARGET DVD JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581831-05	Pending-Cleared
FT 2380 TARGET FOCUS 4 JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581829-05	Pending-Cleared
FT 2380 TARGET TRIAD JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581828-05	Pending-Cleared
FT 2380 TARGET VIP JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581830-05	Pending-Cleared
FT 2427 ETF GROWTH & INCOME FUND JUNE 10	WHEATON, IL	5/24/2010	00581833-05	Pending-Cleared
FT 2474 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	5/24/2010	00581832-05	Pending-Cleared
HARDING LOEVNER FUNDS INC GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS	BOSTON, MA	5/24/2010	00581820-03	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 14 AN SMC FIM PORTFOLIO	MONUMENT, CO	5/24/2010	00581824-05	Pending-Cleared
Number of Investment Company Filings: 82				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAY RESOURCES PARTNERS OFFSHORE FUND LTD	GRAND CAYMAN,	6/4/2010	00582116-07	Filed
CRAWFORD CAPITAL PARTNERS LP	BOSTON, MA	6/4/2010	00582114-07	Filed
MEWBOURNE ENERGY PARTNERS 10 A LP	TYLER, TX	6/4/2010	00582115-07	Filed
ARA SAFETY INC	VANCOUVER BC V6H 3R9,	6/3/2010	00582101-07	Filed
FREENLIGHT MASTERS AFFSHORE 1 LTD	NEW YORK, NY	6/3/2010	00582102-07	Filed
JHL CAPITAL GROUP FUND LLC	CHICAGO, IL	6/2/2010	00582068-07	Filed
HY DRIVE TECHNOLOGIES LTD	MISSISSAUGA ON L5N 5M4,	6/1/2010	00582069-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MERIDIAN REAL ESTATE OPPORTUNITY FUND 2	SEATTLE, WA	6/1/2010	00582014-07	Filed
MURVIN & MEIER OIL CO	BARRINGTON, IL	6/1/2010	00582013-07	Filed
PIONEER POWER SOLUTIONS INC	FORT LEE, NJ	6/1/2010	00582072-07	Filed
TELEDYNE TECHNOLOGIES INC	THOUSAND OAKS, CA	6/1/2010	00582071-07	Filed
ULRICH RANCH JOINT VENTURE	SAN ANTONIO, TX	6/1/2010	00582070-07	Filed
PARTNERS GROUP PRIVATE EQUITY TEI LLC	NEW YORK, NY	5/27/2010	00581988-07	Filed
NGAS PARTNERS 2010 A LTD	LEXINGTON, KY	5/26/2010	00581983-07	Filed
ARC PROPERTY TR INC	CLIFTON, NJ	5/25/2010	00581959-07	Filed
AEI 2010 CLEAN TECH VENTURES 2 LLC	CHICAGO, IL	5/24/2010	00581882-07	Filed
EXPRESSUME INC	DELAFIELD, WI	5/24/2010	00581836-07	Filed
FRED CROWN POINT LLC	MILWAUKEE, WI	5/24/2010	00581837-07	Filed
HEC OPPORTUNITY FUND LLC	ARLINGTON, VA	5/24/2010	00581881-07	Filed
SKYE PETROLEUM	HOUSTON, TX	5/24/2010	00581838-07	Filed
Number of Regulation D Notice Filings: 20				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Jet America, Inc.	Sterling Heights, MI	6/4/2010	601032	Registered
QUAKER STEAK & LUBE FRANCHISING CORP	SHARON, PA	6/4/2010	601031	Registered
TEAGSCHWENDER FRANCHISE INC	BUFFALO GROVE, IL	6/4/2010	601038	Registered
CAJUN OPERATING CO	ATLANTA, GA	6/3/2010	601042	Registered
GOIN POSTAL FRANCHISE CORP	ZEPHYRHILLS, FL	6/3/2010	601041	Registered
HARDEES FOOD SYSTEMS INC / St. Louis	ST LOUIS, MO	6/3/2010	601043	Registered
HARDEES FOOD SYSTEMS INC / Hardee's/Red Burrito	ST LOUIS, MO	6/3/2010	601044	Registered
HARDEES FOOD SYSTEMS INC / Multi Unit	ST LOUIS, MO	6/3/2010	601035	Registered
HARDEES FOOD SYSTEMS INC / Non Traditional	ST LOUIS, MO	6/3/2010	601037	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
KARCHER CARL ENTERPRISES INC / Non Traditional	CARPINTERIA, CA	6/3/2010	601036	Registered
THRIFTY RENT A CAR SYSTEM INC	TULSA, OK	6/3/2010	601033	Registered
TONI&GUY HAIRDRESSING ACADEMY FRANCHISE LP	CARROLTON, TX	6/3/2010	601034	Registered
TOTAL HOCKEY WORLDWIDE LLC	EAGAN, MN	6/3/2010	601040	Registered
BLOCKBUSTER INC	DALLAS, TX	6/2/2010	601049	Registered
HEALTHSOURCE CHIROPRACTIC INC / Regional Developer	VERMILLION, OH	6/2/2010	601048	Registered
KARCHER CARL ENTERPRISES INC / Carl's Jr./Green Burrito	CARPINTERIA, CA	6/2/2010	601047	Registered
CITY PUBLICATIONS FRANCHISE GROUP INC	ATLANTA, GA	6/1/2010	601045	Registered
COPELANDS OF NEW ORLEANS INC	METARIRIE, LA	6/1/2010	601021	Registered
COVERALL NORTH AMERICA INC	BOCA RATON, FL	6/1/2010	601024	Registered
FRESHBERRY FRANCHISE SYSTEM LLC	TULSA, OK	6/1/2010	601030	Registered
PRESSED 4 TIME INC	MAYNARD, MA	6/1/2010	601022	Registered
Racetime RV Resorts, LLC	Saratoga Springs, NY	6/1/2010	601027	Registered
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	BROOKFIELD, WI	6/1/2010	601023	Registered
STANLEY STEEMER INTERNATIONAL INC	DUBLIN, OH	6/1/2010	601028	Registered
SUPER WASH INC	MORRISON, IL	6/1/2010	601026	Registered
THRIFTY CAR SALES INC	TULSA, OK	6/1/2010	601025	Registered
TRC STAFFING SERVICES INC	ATLANTA, GA	6/1/2010	601029	Registered
Grade Results Franchising, LLC	Lewisville, TX	5/27/2010	601020	Registered
Phoenix USA Corp / BBQ Chicken	Englewood Cliffs, NJ	5/27/2010	601019	Registered
ACG PIZZA PARTNERS LLC	MARIETTA, GA	5/26/2010	601015	Registered
MCCOLLA ENTERPRISES LTD	TOPEKA, KS	5/26/2010	601016	Registered
PACIUGO FRANCHISING LP	DALLAS, TX	5/26/2010	601013	Registered
ULTIMATE FRANCHISES INC	Laguna Niguel, CA	5/26/2010	601014	Registered
CAR X ASSOC CORP	TOLEDO, OH	5/25/2010	601012	Registered

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INTEGRA REALTY RESOURCES INC	NEW YORK, NY	5/25/2010	601010	Registered
TUFFY ASSOC CORP	Toledo, OH	5/25/2010	601011	Registered
TWI Franchising, Inc.	LaVista, NE	5/24/2010	600988	Registered
3 DOG BAKERY INC	KANSAS CITY, MO	5/24/2010	600990	Registered
GOSH ENTERPRISES INC	COLUMBUS, OH	5/24/2010	600997	Registered
KRISPY KREME DOUGHNUT CORP	WINSTON SALEM, NC	5/24/2010	600991	Registered
PITA PIT INC	Coeur d Alene, ID	5/24/2010	600989	Registered
WORLD GYM INTERNATIONAL INC A CALIFORNIA CORP	LOS ANGELES, CA	5/24/2010	600992	Registered
Number of Franchise Filings: 42				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	