

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/17/2010 through 5/28/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BORALEX INC	MONTREAL QUEBEC H3A 1G1,	5/21/2010	00581790-01	Pending
UNITED METHODIST DEVELOPMENT FUND	NEW YORK, NY	5/21/2010	00581835-02	Pending
GLADSTONE LENDING CORP	MCLEAN, VA	5/17/2010	00581631-01	Pending-Cleared
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ST LUKE MISSIONARY BAPTIST CHURCH OF HUMBLE	HUMBLE, TX	5/19/2010	00581690-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 313 TX EX MUNICIPAL INCOME TR SERIES 255	WHEATON, IL	5/26/2010	00581981-05	Pending-Cleared
CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 15	MONUMENT, CO	5/26/2010	00581982-05	Pending-Cleared
FT 2429 MEGA CAP PORTFOLIO SERIES 15	WHEATON, IL	5/26/2010	00581980-05	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK LARGE CAP GROWTH FUND INVESTOR CLASS	MILWAUKEE, WI	5/26/2010	00581978-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/26/2010	00581979-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK MID CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/26/2010	00581976-03	Pending-Cleared

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TR FOR PROFESSIONAL MANAGERS BRIGHT ROCK MID CAP GROWTH FUND INVESTOR CLASS	MILWAUKEE, WI	5/26/2010	00581977-03	Pending-Cleared
CATALYST FUNDS SHM REPRESENTATION TR	WILLOW GROVE, AP	5/25/2010	00581834-03	Pending
SMART TR ARCHITECTS DISCIPLINED CORE PORTFOLIO TR 2010 SERIES C	PARSIPPANY, NJ	5/25/2010	00581958-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 254 BUILD AMERICA BONDS INCOME TR SERIES 38	OAKBROOK, IL	5/25/2010	00581957-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 255 BUILD AMERICA BONDS INCOME TR SERIES 39	OAKBROOK, IL	5/25/2010	00581956-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 257 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 10	OAKBROOK, IL	5/25/2010	00581954-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 258 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 11	OAKBROOK, IL	5/25/2010	00581955-05	Pending-Cleared
BUILD AMERICA BOND LTD MATURITIY PORTFOLIO SERIES 2 AN SMC FIM PORTFOLIO	MONUMENT, CO	5/24/2010	00581823-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 13 AN SMC FIM PORTFOLIO	MONUMENT, CO	5/24/2010	00581822-05	Pending-Cleared
CALVERT FUND CALVERT UPTRA SHORT INCOME FUND CLASS Y	BETHESDA, MD	5/24/2010	00581821-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 667 GLOBAL WATER EQUITIES PORTFOLIO SERIES 18	LISLE, IL	5/24/2010	00581825-05	Pending-Cleared
FT 2379 INCOME ALLOCATION CLOSED END JUNE 10	WHEATON, IL	5/24/2010	00581827-05	Pending-Cleared
FT 2380 DOW TARGET 10 JUNE 10 TERM 6 30 11	WHEATON, IL	5/24/2010	00581826-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2380 DOW TARGET DVD JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581831-05	Pending-Cleared
FT 2380 TARGET FOCUS 4 JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581829-05	Pending-Cleared
FT 2380 TARGET TRIAD JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581828-05	Pending-Cleared
FT 2380 TARGET VIP JUNE 10 TERM 8 31 11	WHEATON, IL	5/24/2010	00581830-05	Pending-Cleared
FT 2427 ETF GROWTH & INCOME FUND JUNE 10	WHEATON, IL	5/24/2010	00581833-05	Pending-Cleared
FT 2474 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	5/24/2010	00581832-05	Pending-Cleared
HARDING LOEVNER FUNDS INC GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS	BOSTON, MA	5/24/2010	00581820-03	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 14 AN SMC FIM PORTFOLIO	MONUMENT, CO	5/24/2010	00581824-05	Pending-Cleared
CHOU AMERICA MUTUAL FUNDS CHOU EQUITY OPPORTUNITY FUND	PORTLAND, ME	5/21/2010	00581949-03	Pending-Cleared
CHOU AMERICA MUTUAL FUNDS CHOU INCOME OPPORTUNITY FUND	PORTLAND, ME	5/21/2010	00581950-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 689 DOW JONES GROWTH RBP FOCUS PORTFOLIO SERIES 1	LISLE, IL	5/21/2010	00581788-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 689 DOW JONES TOP CAP RBP FOCUS PORTFOLIO SERIES 1	LISLE, IL	5/21/2010	00581789-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 689 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIO SERIES 1	LISLE, IL	5/21/2010	00581787-05	Pending-Cleared
FBR FUNDS FBR BALANCED FUND CLASS C SHARES	BOSTON, MA	5/21/2010	00581785-03	Pending-Cleared
FT 2423 DEFENSE EQUITY PROTFOLO SERIES 2	WHEATON, IL	5/21/2010	00581786-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
HARTFORD MUTAUL FUNDS INC HARTFORD FUNDAMENTAL GROWTH FUND CLASS R4 SHARES	SIMSBURY, CT	5/20/2010	00581766-03	Pending-Cleared
HARTFORD MUTUAL FUND HARTFORD INTERNATIONAL VALUE FUND CLASS I SHARES	SIMSBURY, CT	5/20/2010	00581737-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS R5 SHARES	SIMSBURY, CT	5/20/2010	00581758-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS R4 SHARES	SIMSBURY, CT	5/20/2010	00581759-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS R3 SHARES	SIMSBURY, CT	5/20/2010	00581760-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD FUNDAMENTAL GROWTH FUND CLASS I SHARES	SIMSBURY, CT	5/20/2010	00581764-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD FUNDAMENTAL GROWTH FUND CLASS R3 SHARES	SIMSBURY, WI	5/20/2010	00581765-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD FUNDAMENTAL GROWTH FUND CLASS R5 SHARES	SIMSBURY, CT	5/20/2010	00581767-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS C SHARES	SIMSBURY, CT	5/20/2010	00581752-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS I SHARES	SIMSBURY, CT	5/20/2010	00581753-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS R3 SHARES	SIMSBURY, CT	5/20/2010	00581754-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS A SHARES	SIMSBURY, CT	5/20/2010	00581751-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS R5 SHARES	SIMSBURY, CT	5/20/2010	00581756-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS Y SHARES	SIMSBURY, CT	5/20/2010	00581757-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS R5 SHARES	SIMSBURY, CT	5/20/2010	00581749-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS Y SHARES	SIMSBURY, CT	5/20/2010	00581750-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS A SHARES	SIMSBURY, CT	5/20/2010	00581744-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS C SHARES	SIMSBURY, CT	5/20/2010	00581745-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS I SHARES	SIMSBURY, CT	5/20/2010	00581746-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS R3 SHARES	SIMSBURY, CT	5/20/2010	00581747-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS R4 SHARES	SIMSBURY, CT	5/20/2010	00581748-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD GOLBAL ALL ASSET FUND CLASS R4 SHARES	SIMSBURY, CT	5/20/2010	00581755-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL SMALL CO FUND CLASS R5 SHARES	SIMSBURY, CT	5/20/2010	00581761-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL SMALL CO FUND CLASS R4 SHARES	SIMSBURY, CT	5/20/2010	00581762-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL SMALL CO FUND CLASS R3 SHARES	SIMSBURY, CT	5/20/2010	00581763-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL VALUE FUND CLASS C SHARES	SIMSBURY, CT	5/20/2010	00581739-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL VALUE FUND CLASS Y SHARES	SIMSBURY, CT	5/20/2010	00581743-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL VALUE FUND CLASS A SHARES	SIMSBURY, CT	5/20/2010	00581738-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL VALUE FUND CLASS R5 SHARES	SIMSBURY, CT	5/20/2010	00581734-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL VALUE FUND CLASS R4 SHARES	SIMSBURY, CT	5/20/2010	00581735-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL VALUE FUND CLASS R3 SHARES	SIMSBURY, CT	5/20/2010	00581736-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS R5 SHARES	SIMSBURY, CT	5/20/2010	00581771-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS R3 SHARES	SIMSBURY, CT	5/20/2010	00581768-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS I SHARES	SIMSBURY, CT	5/20/2010	00581769-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS R4 SHARES	SIMSBURY, CT	5/20/2010	00581770-03	Pending-Cleared
NEUBERG BERMAN EQUITY FUNDS NEUBERGER BERMAN FOCUS FUND CLASS A SHARES	NEW YORK, NY	5/20/2010	00581740-03	Pending-Cleared

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NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN EMERGING MARKETS EQUITY FUND CLASS R3 SHARES	NEW YORK, NY	5/20/2010	00581723-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN EQUITY INCOME FUND CLASS R3 SHARES	NEW YORK, NY	5/20/2010	00581724-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN FOCUS FUND CLASS C SHARES	NEW YORK, NY	5/20/2010	00581741-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN FOCUS FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	5/20/2010	00581742-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN PARTNERS FUND CLASS C SHARES	NEW YORK, NY	5/20/2010	00581732-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN PARTNERS FUND CLASS R3 SHARES	NEW YORK, NY	5/20/2010	00581731-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN PARTNERS FUND CLASS A SHARES	NEW YORK, NY	5/20/2010	00581733-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN REAL ESTATE FUND CLASS A SHARES	NEW YORK, NY	5/20/2010	00581729-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN REAL ESTATE FUND CLASS R3 SHARES	NEW YORK, NY	5/20/2010	00581725-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN REAL ESTATE FUND CLASS C SHARES	NEW YORK, NY	5/20/2010	00581726-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN REGENCY FUND CLASS C SHARES	NEW YORK, NY	5/20/2010	00581727-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN REGENCY FUND CLASS R3 SHARES	NEW YORK, NY	5/20/2010	00581728-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN REGENCY FUND CLASS A SHARES	NEW YORK, NY	5/20/2010	00581730-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL INTERMEDIATE BOND FUND INSTITUTIONAL CLASS SHARE	NEW YORK, NY	5/20/2010	00581720-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL INTERMEDIATE BOND FUND CLASS C SHARES	NEW YORK, NY	5/20/2010	00581721-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL INTERMEDIATE BOND FUND CLASS A SHARES	NEW YORK, NY	5/20/2010	00581722-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN SHORT DURATION BOND FUND CLASS C SHARES	NEW YORK, NY	5/20/2010	00581717-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN SHORT DURATION BOND FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	5/20/2010	00581718-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN SHORT DURATION BOND FUND CLASS A SHARES	NEW YORK, NY	5/20/2010	00581719-03	Pending-Cleared
ADVISORS CORPORATE TR CUTWATER INCOME OPPORTUNITIES PORTFOLIO SERIES 1	MONUMENT, CO	5/18/2010	00581657-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 656 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	5/18/2010	00581668-05	Pending-Cleared
FT 2400 EQUITY INCOME PLUS PORTFOLIO SERIES 23	WHEATON, IL	5/18/2010	00581663-05	Pending-Cleared
FT 2426 REIT GROWTH & INCOME PLUS 5 YEAR SERIES 4	WHEATON, IL	5/18/2010	00581662-05	Pending-Cleared

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FT 2428 HIGHT YIELD INCOME CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	5/18/2010	00581664-05	Pending-Cleared
FT 2455 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	5/18/2010	00581665-05	Pending-Cleared
FT 2459 INTEREST RATE HEDGE PORTFOLIO SERIES 7	WHEATON, IL	5/18/2010	00581661-05	Pending-Cleared
FT 2463 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	5/18/2010	00581667-05	Pending-Cleared
FT 2473 SENIOR LOAN & LIMITED DURATION PLUS CLOSED END PORTFOLIO SERIES 13	WHEATON, IL	5/18/2010	00581666-05	Pending-Cleared
GLOBAL GOLD INCOME PORTFOLIO SERIES 3 AN SMC PORTFOLIO	MONUMENT, CO	5/18/2010	00581660-05	Pending-Cleared
INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 22	MONUMENT, CO	5/18/2010	00581658-05	Pending-Cleared
NATURAL RESOURCES PROTFOLO SERIES 5	MONUMENT, CO	5/18/2010	00581659-05	Pending-Cleared
SCHWARTZ INVESTMENT TR AVE MARIA WORLD EQUITY FUND	CINCINNATI, OH	5/18/2010	00581681-03	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 986 PREFERRED SECURITIES PORFOLIO SERIES 28	OAKBROOK, IL	5/18/2010	00581675-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 987 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 4	OAKBROOK, IL	5/18/2010	00581673-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 988 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2010 3	OAKBROOK, IL	5/18/2010	00581671-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 988 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2010 3	OAKBROOK, IL	5/18/2010	00581672-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 989 ETF ALLOCATION PORTFOLIO SERIES 3	OAKBROOK, IL	5/18/2010	00581674-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 990 ARDOUR GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2010 3	OAKBROOK, IL	5/18/2010	00581670-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 990 BRIC OPPORTUNITY PORTFOLIO 2010 3	OAKBROOK, IL	5/18/2010	00581669-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 686 CLAYMORE GUGGENHEIM HIGHT YIELD TR SERIES 1	LISLE, IL	5/17/2010	00581632-05	Pending-Cleared
FINANCIAL INSTITUTIONS PORTFOLIO SERIES 2	MONUMENT, CO	5/17/2010	00581633-05	Registered
LEUTHOLD FUNDS INC LEUTHOLD GLOBAL INDUSTRIES FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	5/17/2010	00581636-03	Pending-Cleared
LEUTHOLD FUNDS INC LEUTHOLD GLOBAL INDUSTRIES FUND RETAIL CLASS SHARES	MILWAUKEE, WI	5/17/2010	00581637-03	Pending-Cleared
STRATEGIC CORE ALL EQUITY PORTFOLIO SERIES 16	MONUMENT, CO	5/17/2010	00581634-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 11	MONUMENT, CO	5/17/2010	00581635-05	Pending-Cleared
Number of Investment Company Filings: 115				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PARTNERS GROUP PRIVATE EQUITY TEI LLC	NEW YORK, NY	5/27/2010	00581988-07	Filed
NGAS PARTNERS 2010 A LTD	LEXINGTON, KY	5/26/2010	00581983-07	Filed
ARC PROPERTY TR INC	CLIFTON, NJ	5/25/2010	00581959-07	Filed
AEI 2010 CLEAN TECH VENTURES 2 LLC	CHICAGO, IL	5/24/2010	00581882-07	Filed
EXPRESSUME INC	DELAFIELD, WI	5/24/2010	00581836-07	Filed
FRED CROWN POINT LLC	MILWAUKEE, WI	5/24/2010	00581837-07	Filed
HEC OPPORTUNITY FUND LLC	ARLINGTON, VA	5/24/2010	00581881-07	Filed
SKYE PETROLEUM	HOUSTON, TX	5/24/2010	00581838-07	Filed

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CITY FINANCE CO LLC	NEW YORK, NY	5/21/2010	00581792-07	Filed
EUROPAC ENERGY 2 LLC	DENVER, CO	5/21/2010	00581793-07	Filed
WESTON SOLUTIONS HOLDINGS INC	BERWYN, PA	5/21/2010	00581791-07	Filed
MORGAN STANLEY PRIVATE MARKETS FUND EMPLOYEE INVESTORS 5 LP	CONSHOHOCKE N, PA	5/20/2010	00581777-07	Filed
SAB EQUITY PARTNERSHIP 2 LP	SAN ANTONIO, TX	5/20/2010	00581776-07	Filed
SAB EQUITY PARTNERSHIP 3 LLP	SAN ANTONIO, TX	5/20/2010	00581775-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	5/20/2010	00581773-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	5/20/2010	00581774-07	Filed
ENERVEST ENERGY INSTITUTIONAL FUND 12 A LP	HOUSTON, TX	5/19/2010	00581692-07	Filed
HMLP MULTI STRATEGY PLUS PRIVATE INVESTORS LLC	NEW YORK, NY	5/19/2010	00581691-07	Filed
OURSTAGE INC	CHELMSFORD, MA	5/19/2010	00581709-07	Filed
RSF MEZZANINE FUND LP	SAN FRANCISCO, CA	5/19/2010	00581708-07	Filed
TAMARON RANCH 79 INVESTORS LLC	PHOENIX, AZ	5/19/2010	00581693-07	Filed
7 CANYONS RECAP LLC	SCOTTSDALE, AZ	5/18/2010	00581677-07	Filed
FRONTPOINT OFFSHORE QUANTITATIVE MACRO FUND LTD	GREENWICH, CT	5/18/2010	00581678-07	Filed
GROWTH CAPITAL DIVERSIFIED FUND LP	DALLAS, TX	5/18/2010	00581680-07	Filed
PETROQUEST GLOBAL INC	DALLAS, TX	5/18/2010	00581679-07	Filed
ROSETTACONJUGON 3 LLC	LAKE FOREST, IL	5/18/2010	00581676-07	Filed
BEHAVIORAL RECOGNITION SYSTEMS INC	HOUSTON, TX	5/17/2010	00581643-07	Filed
BLUEPAC DELILAH LP	DALLAS, TX	5/17/2010	00581641-07	Filed
GOLDENTREE CLO DEBT INVESTMENT FUND LP	NEW YORK, NY	5/17/2010	00581640-07	Filed
LEMKO CORP	SCHAUMBURG, IL	5/17/2010	00581638-07	Filed
LEVEL ONE BANCORP INC	FARMINGTON HILL, MI	5/17/2010	00581639-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/17/2010 through 5/28/2010**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
QUANTUM FUEL SYSTEMS TECHNOLOGIES WORLDWIDE INC	IRVINE, CA	5/17/2010	00581642-07	Filed
Number of Regulation D Notice Filings: 32				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ACG PIZZA PARTNERS LLC	MARIETTA, GA	5/26/2010	601015	Registered
MCCOLLA ENTERPRISES LTD	TOPEKA, KS	5/26/2010	601016	Registered
PACIUGO FRANCHISING LP	DALLAS, TX	5/26/2010	601013	Registered
ULTIMATE FRANCHISES INC	Laguna Niguel, CA	5/26/2010	601014	Registered
CAR X ASSOC CORP	TOLEDO, OH	5/25/2010	601012	Registered
INTEGRA REALTY RESOURCES INC	NEW YORK, NY	5/25/2010	601010	Registered
TUFFY ASSOC CORP	Toledo, OH	5/25/2010	601011	Registered
TWI Franchising, Inc.	LaVista, NE	5/24/2010	600988	Registered
3 DOG BAKERY INC	KANSAS CITY, MO	5/24/2010	600990	Registered
GOSH ENTERPRISES INC	COLUMBUS, OH	5/24/2010	600997	Registered
KRISPY KREME DOUGHNUT CORP	WINSTON SALEM, NC	5/24/2010	600991	Registered
PITA PIT INC	Coeur d Alene, ID	5/24/2010	600989	Registered
WORLD GYM INTERNATIONAL INC A CALIFORNIA CORP	LOS ANGELES, CA	5/24/2010	600992	Registered
Falbo Bros Franchising, LLC / Falbo Bros Pizzeria	Madison, WI	5/21/2010	600978	Registered
MINT CONDITION FRANCHISING INC	FORT MILL, SC	5/21/2010	600994	Registered
SIT MEANS SIT FRANCHISE INC	LAS VEGAS, NV	5/21/2010	600995	Registered
PACIFIC PRIDE SERVICES LLC	SALME, OR	5/20/2010	600980	Registered
SEARS HOME APPLIANCE SHOWROOMS, LLC / Sears Home Appliance Showrooms	HOFFMAN ESTATES, IL	5/20/2010	600993	Registered
AMERICAN FRANCHISE SYSTEMS LLC / Dryer Vent Wizard	WARREN, MI	5/19/2010	600976	Registered
Dr. Energy Saver, LLC	Seymour, CT	5/19/2010	600986	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/17/2010 through 5/28/2010**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
JIFFY LUBE INTERNATIONAL INC	HOUSTON, TX	5/19/2010	600985	Registered
PET SUPPLIES PLUS USA INC	FARMINGTON HILLS, MI	5/19/2010	600987	Registered
QMEDEX LLC	MAITLAND, FL	5/19/2010	600996	Registered
TINDER BOX INTERNATIONAL LTD	BALA CYNWYD, PA	5/19/2010	600981	Registered
MEGADANCE USA CORP / FRED ASTAIRE FRANCHISED DANCE STUDIO	LONGMEADOW, MA	5/18/2010	600974	Registered
Conspire! Franchising, LLC	Colorado Springs, CO	5/18/2010	600984	Registered
INXPRESS LLC	SALT LAKE CITY, UT	5/18/2010	600982	Registered
PRIMROSE SCHOOL FRANCHISING CO	ACWORTH, GA	5/18/2010	600983	Registered
RAPID REFILL CORP	CHANHASSEN, MN	5/17/2010	600973	Registered
UNITED COUNTRY REAL ESTATE INC	KANSAS CITY, MO	5/17/2010	600966	Registered
Number of Franchise Filings: 30				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	