

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/19/2010 through 4/30/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SOUTHEASTERN CONFERENCE ASSOC OF 7TH DAY ADVENTISTS INC	MT DORA, FL	4/30/2010	00580873-02	Pending
SALIENT ABSOLUTE RETURN FUND	COLUMBUS, OH	4/19/2010	00580536-01	Registered
SALIENT ABSOLUTE RETURN INSTITUTIONAL FUND	COLUMBUS, OH	4/19/2010	00580537-01	Registered
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
METROPOLITAN BAPTIST CHURCH	TULSA, OK	4/19/2010	00580512-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PROFESSIONAL MANAGED PORTFOLIOS CONGRESS LARGE CAP GROWTH FUND CLASS I	MILWAUKEE, WI	4/30/2010	00580872-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS GENEVA ADVISORS EQUITY INCOME FUND CLASS R	MILWAUKEE, WI	4/30/2010	00580870-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS GENEVA ADVISORS EQUITY INCOME FUND CLASS I	MILWAUKEE, WI	4/30/2010	00580871-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH 2020 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	4/29/2010	00580826-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH 2020 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	4/29/2010	00580827-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH 2030 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	4/29/2010	00580828-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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BLACKROCK FUNDS 3 LIFEPATH 2030 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	4/29/2010	00580829-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH 2040 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	4/29/2010	00580834-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH 2040 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	4/29/2010	00580832-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH 2050 PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	4/29/2010	00580830-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH 2050 PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	4/29/2010	00580831-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH RETIREMENT PORTFOLIO CLASS R SHARES	SAN FRANCISCO, CA	4/29/2010	00580833-03	Pending-Cleared
BLACKROCK FUNDS 3 LIFEPATH RETIREMENT PORTFOLIO INVESTOR C SHARES	SAN FRANCISCO, CA	4/29/2010	00580835-03	Pending-Cleared
BLAIR WILLIAM FUNDS EMERGING LEADERS GROWTH FUND CLASS N	CHICAGO, IL	4/29/2010	00580823-03	Pending-Cleared
BLAIR WILLIAM FUNDS MID CAP VALUE FUND CLASS I	CHICAGO, IL	4/29/2010	00580824-03	Pending-Cleared
BLAIR WILLIAM FUNDS MID CAP VALUE FUND CLASS N	CHICAGO, IL	4/29/2010	00580825-03	Pending-Cleared
COHEN & STEERS PREFERRED SECURITIES INCOME FUND INC CLASS A	NEW YORK, NY	4/29/2010	00580786-03	Pending-Cleared
COHEN & STEERS PREFERRED SECURITIES INCOME FUND INC CLASS C	NEW YORK, NY	4/29/2010	00580787-03	Pending-Cleared
COHEN & STEERS PREFERRED SECURITIES INCOME FUND INC CLASS I	NEW YORK, NY	4/29/2010	00580788-03	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS R1	BOSTON, MA	4/29/2010	00580819-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS R3	BOSTON, MA	4/29/2010	00580820-03	Registered

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Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS R4	BOSTON, MA	4/29/2010	00580821-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS R5	BOSTON, MA	4/29/2010	00580822-03	Registered
RIVERSOURCE MONEY MARKET SERIES INC RIVERSOURCE CASH MANAGEMENT FUND CLASS Z	MINNEAPOLIS, MN	4/29/2010	00580818-03	Registered
RYDEX SERIES FUNDS GLOBAL MARKET NEUTRAL FUND I CLASS SHARES	ROCKVILLE, MD	4/29/2010	00580837-03	Registered
RYDEX SERIES FUNDS LONG SHORT COMMODITIES STRATEGY FUND I CLASS SHARES	ROCKVILLE, MD	4/29/2010	00580839-03	Registered
RYDEX SERIES FUNDS MANAGED FUTURES STRATEGY FUND I CLASS SHARES	ROCKVILLE, MD	4/29/2010	00580838-03	Registered
RYDEX SERIES FUNDS MULTI HEDGE STRATEGIES FUND I CLASS SHARES	ROCKVILLE, MD	4/29/2010	00580836-03	Registered
LAZZRD FUNDS INC LAZARD EMERGING MARKETS EQUITY SELECT PORTFOLIO INSTITUTIONAL SHARES	NEW YORK, NY	4/28/2010	00580776-03	Pending-Cleared
LAZZRD FUNDS INC LAZARD EMERGING MARKETS EQUITY SELECT PORTFOLIO OPEN SHARES	NEW YORK, NY	4/28/2010	00580777-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 682 S&P FOUNDATION STRATEGY PORTFOLIO SERIES 7	LISLE, IL	4/27/2010	00580761-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 682 ZACKS SMID SELECT PORTFOLIO SERIES 19	LISLE, IL	4/27/2010	00580760-05	Pending-Cleared
FT 2359 INCOME ALLOCATION CLOSED END MAY 10	WHEATON, IL	4/27/2010	00580763-05	Pending-Cleared
FT 2360 DOW TARGET 10 MAY 10 TERM 7 29 11	WHEATON, IL	4/27/2010	00580768-05	Pending-Cleared
FT 2360 DOW TARGET DVD MAY 10 TERM 7 29 11	WHEATON, IL	4/27/2010	00580765-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2360 TARGET FOCUS 4 MAY 10 TERM 7 29 11	WHEATON, IL	4/27/2010	00580766-05	Pending-Cleared
FT 2360 TARGET TRIAD MAY 10 TERM 7 29 11	WHEATON, IL	4/27/2010	00580767-05	Pending-Cleared
FT 2360 TARGET VIP MAY 10 TERM 7 29 11	WHEATON, IL	4/27/2010	00580764-05	Pending-Cleared
FT 2395 HEALTH CARE SELECT PORTFOLIO SERIES 16	WHEATON, IL	4/27/2010	00580762-05	Pending-Cleared
MMA PRAXIS MUTUAL FUNDS MMA PRAXIS BALANCED ALLOCATION PORTFOLIO	CINCINNATI, OH	4/26/2010	00580720-03	Registered
1ST TR COMBINED SERIES 307 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES	WHEATON, IL	4/23/2010	00580704-05	Pending-Cleared
ADVISORS CORPROATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 19	MONUMENT, CO	4/23/2010	00580700-05	Pending-Cleared
BUILD AMERICA BOND LIMITED MATURITY SERIES 1 AN SMC FIM PORTFOLIO	MONUMENT, CO	4/23/2010	00580702-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 12 AN SMC FIM PORTFOLIO	MONUMENT, CO	4/23/2010	00580701-05	Pending-Cleared
FT 2365 BASIC MATERIALS SELECT PORTFOLIO SERIES 7	WHEATON, IL	4/23/2010	00580691-05	Pending-Cleared
FT 2384 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 10	WHEATON, IL	4/23/2010	00580705-05	Pending-Cleared
FT 2386 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES	WHEATON, IL	4/23/2010	00580692-05	Pending-Cleared
FT 2448 INTEREST RATE HEDGE PORTFOLIO SERIES 3	WHEATON, IL	4/23/2010	00580690-05	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 13 AN SMC FIM PORTFOLIO	MONUMENT, CO	4/23/2010	00580703-05	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL OPPORTUNITY PORTFOLIO CLASS P	BOSTON, MA	4/22/2010	00580675-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL OPPORTUNITY PORTFOLIO CLASS H	BOSTON, MA	4/22/2010	00580672-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL OPPORTUNITY PORTFOLIO CLASS I	BOSTON, MA	4/22/2010	00580673-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL OPPORTUNITY PORTFOLIO CLASS L	BOSTON, MA	4/22/2010	00580674-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR CAPITAL APPRECIATION FUND CLASS A	CINCINNATI, OH	4/21/2010	00580610-03	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 891 INVESTORS QUALITY TX EX TR SERIES 127	OAKBROOK, IL	4/21/2010	00580617-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 892 INVESTORS QUALITY TX EX TR SERIES 128	OAKBROOK, IL	4/21/2010	00580616-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 893 INVESTMENT GRADE MUNICIPAL TR SERIES 109	OAKBROOK, IL	4/21/2010	00580615-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 981 VAN KAMPEN PREFERRED OPPORTUNITY PORTFOLIO SERIES 10	OAKBROOK, IL	4/21/2010	00580614-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 982 VAN KAMPEN INSIDER BUY STRATEGY 2010 2	OAKBROOK, IL	4/21/2010	00580613-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 984 EFT DIVERSIFIED GROWTH PORTFOLIO SERIES 1	OAKBROOK, IL	4/21/2010	00580611-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 984 EFT DIVERSIFIED INCOME PORTFOLIO SERIES 1	OAKBROOK, IL	4/21/2010	00580612-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 250 BUILD AMERICA BONDS INCOME TR SERIES 36	OAKBROOK, IL	4/21/2010	00580619-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 251 BUILD AMERICA BONDS INCOME TR SERIES 37	OAKBROOK, IL	4/21/2010	00580618-05	Pending-Cleared
FT 2366 FINANCIALS SELECT PORTFOLIO SERIES 31	WHEATON, IL	4/20/2010	00580544-05	Pending-Cleared
FT 2366 PRECIOUS METALS SELECT PORTFOLIO SERIES 19	WHEATON, IL	4/20/2010	00580545-05	Pending-Cleared
FT 2391 SELECT DSIP PORTFOLIO 2ND QUARTER 2010 SERIES	WHEATON, IL	4/20/2010	00580547-05	Pending-Cleared
FT 2409 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 23	WHEATON, IL	4/20/2010	00580546-05	Pending-Cleared
VAN ECK FUNDS GLOBAL HARD ASSETS FUND CLASS Y	NEW YORK, NY	4/20/2010	00580556-03	Pending-Cleared
VAN ECK FUNDS INTERNATIONAL INVESTORS GOLD FUND CLASS Y	NEW YORK, NY	4/20/2010	00580557-03	Pending-Cleared
ANGELS PORTFOLIO SERIES 3	MONUMENT, CO	4/19/2010	00580500-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 680 CLOSED END INCOME & TREASURY LTD DURATION PORTFOLIO SEIRES 7	LISLE, IL	4/19/2010	00580502-05	Pending-Cleared
CROFT FUNDS CORP CROFT INCOME FUND	BALTIMORE, MD	4/19/2010	00580496-03	Pending-Cleared
DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 3	MONUMENT, CO	4/19/2010	00580499-05	Pending-Cleared
DIVIDEND RULER PORTFOLIO SEIRES 2010B	MONUMENT, CO	4/19/2010	00580498-05	Pending-Cleared
FT2364 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	4/19/2010	00580501-05	Pending-Cleared
GLOBAL GOLD INCOME PORTFOLIO SERIES 2 AN SMC PORTFOLIO	MONUMENT, CO	4/19/2010	00580497-05	Pending-Cleared
Number of Investment Company Filings: 76				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ENRON NY LLC	NEW YORK, NY	4/28/2010	00580782-07	Filed
GOLD BULLION INTERNATIONAL LLC	CHICAGO, IL	4/28/2010	00580781-07	Filed
GSO PRIVATE INVESTORS LLC	NEW YORK, NY	4/28/2010	00580778-07	Filed
MALONE RANCH JOINT VENTURE	SAN ANTONIO, TX	4/28/2010	00580779-07	Filed
NORTHCREEK MESSANINE FUND 1 LP	CINCINNATI, OH	4/28/2010	00580783-07	Filed
PHARMACY PORTFOLIO DST	OAKBROOK, IL	4/28/2010	00580780-07	Filed
BROWNSTONE VENTURES INC	TORONTO ON M5X 1A9,	4/27/2010	00580769-07	Filed
PROTEGE SPORTS INC	SCOTTSDALE, AZ	4/26/2010	00580721-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	4/26/2010	00580722-07	Filed
NEPHROCEUTICALS LLC	MIAMISBURG, OH	4/23/2010	00580693-07	Filed
CCM SMALL CAP VALUE FUND LP	CHICAGO, IL	4/22/2010	00580676-07	Filed
PERFORMANCE TR OPPORTUNITY FUND 3 LP	CHICAGO, IL	4/22/2010	00580677-07	Filed
ATLAS RESOURCES SERIES 28 2010 LP	MOON TOWNSHIP, PA	4/21/2010	00580623-07	Filed
CHAPPEL WOODS UNIT 1H LP	SOUTHLAKE, TX	4/21/2010	00580622-07	Filed
CORNERSTONE ICA TALF FUND LLC SERIES B	HINSDALE, IL	4/21/2010	00580620-07	Filed
OH RIVER CLEAN FUELS LLC	VANCOUVER, WA	4/21/2010	00580621-07	Filed
CHESTNUT MOSS BLUFF JOINT VENTURE	RICHARDSON, TX	4/20/2010	00580550-07	Filed
K2 LONG SHORT FUND LLC	STAMFORD, CT	4/20/2010	00580549-07	Filed
CENOPLEX LLC	LOS ANGELES, CA	4/19/2010	00580507-07	Filed
MFR PARTNERS 10 LLC	WAYZATA, MN	4/19/2010	00580511-07	Filed
MIDWEST CATTLE CO INC	BUFORD, GA	4/19/2010	00580503-07	Filed
MILLENNIUM INTERNATIONAL LTD	GRAND CAYMAN KY1-9003,	4/19/2010	00580504-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	4/19/2010	00580506-07	Filed
WNC TAX CREDITS 38 LLC	IRVINE, CA	4/19/2010	00580505-07	Filed
Number of Regulation D Notice Filings: 24				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Topper's Pizza, Inc. / Toppers Pizza	Whitewater, WI	4/30/2010	600819	Registered
Charter Practices International, LLC / Banfield The Pet Hospital	Portland, OR	4/29/2010	600818	Registered
COLLEGE PRO PAINTERS (U.S.) LTD	WOBURN, MA	4/27/2010	600817	Registered
AAMCO TRANSMISSIONS INC	HORSHAM, PA	4/26/2010	600816	Registered
NOBLE ROMANS INC	INDIANAPOLIS, IN	4/26/2010	600815	Registered
UNITED SOCCER LEAGUES LLC	TAMPA, FL	4/26/2010	600814	Registered
BARKLEY VENTURES INC	OAKLAND PARK, FL	4/23/2010	600801	Registered
BRUEGGERS FRANCHISE CORP	BURLINGTON, VT	4/23/2010	600806	Registered
DOLLARS INSIDE FRANCHISING LLC	CAPE CORAL, FL	4/23/2010	600802	Registered
LA SALSA FRANCHISE, LLC / LA SALSA	CARPINTERIA, CA	4/23/2010	600807	Registered
MIDWEST LAWN CARE LLC	MIDDLETON, WI	4/23/2010	600813	Registered
NATIONAL HUNTING LEASE NETWORK LLC	OMAHA, NE	4/23/2010	600808	Registered
SYNLAWN LLC	DALTON, GA	4/23/2010	600809	Incomplete
TRIUNE CORP	ANAHEIM, CA	4/23/2010	600803	Registered
VOLVO CONSTRUCTION EQUIPMENT RENTS INC	FLETCHER, NC	4/23/2010	600804	Registered
WINGSTOP RESTAURANTS INC	RICHARDSON, TX	4/23/2010	600805	Registered
1ST CHOICE BUSINESS BROKERS INC	LAS VEGAS, NV	4/22/2010	600795	Registered
BEARCLAW COFFEE CO	CHELSEA, MI	4/22/2010	600789	Registered
CARSTAR FRANCHISE SYSTEMS INC	OVERLAND PARK, KS	4/22/2010	600794	Registered
FRANLINK INC	HOUSTON, TX	4/22/2010	600797	Registered
GOLDEN CORRAL FRANCHISING SYSTEMS INC	RALEIGH, NC	4/22/2010	600798	Registered
LONG JOHN SILVERS INC	LOUISVILLE, KY	4/22/2010	600790	Registered
LONG JOHN SILVERS INC / Long John Silver's Express	LOUISVILLE, KY	4/22/2010	600791	Registered
LONG JOHN SILVERS INC / Co-Brand	LOUISVILLE, KY	4/22/2010	600792	Registered
SMART CHOICE FRANCHISE CORP	GREENSBORO, NC	4/22/2010	600796	Registered

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MATHNASIUM CENTER LICENSING, LLC	LOS ANGELES, CA	4/21/2010	600758	Registered
Monkey Bizness Franchising, LLC / Monkey Bizness	Greenwood Village, CO	4/21/2010	600757	Registered
ACE SUSHI FRANCHISE CORP	GARDENA, CA	4/21/2010	600785	Registered
FSC FRANCHISE CO LLC	TAMPA, FL	4/21/2010	600779	Registered
JERSEY MIKES FRANCHISE SYSTEMS INC	MANASQUAN, NJ	4/21/2010	600786	Registered
MRS FIELDS FRANCHISING LLC	SALT LAKE CITY, UT	4/21/2010	600788	Registered
OUT U GO FRANCHISING LLC	Chicago, IL	4/21/2010	600781	Registered
SIGNS BY TOMORROW USA INC	COLUMBIA, MD	4/21/2010	600780	Registered
TCBY SYSTEMS LLC	SALT LAKE CITY, UT	4/21/2010	600782	Registered
UNIGLOBE TRAVEL USA LLC / InHouse Program	Irvine, CA	4/21/2010	600784	Registered
UNIGLOBE TRAVEL USA LLC	Irvine, CA	4/21/2010	600783	Registered
Vive Bene Enterprises, Inc	ELGIN, IL	4/21/2010	600787	Registered
BOSTON PIZZA RESTAURANTS LP	DALLAS, TX	4/20/2010	600775	Registered
COMON GROUP INC / CLIX	ROCHESTER, NY	4/20/2010	600778	Registered
JIMMY JOHNS FRANCHISE LLC	CHAMPAIGN, IL	4/20/2010	600776	Registered
LWI / L & W Investigations, Inc.	Westborough, MA	4/20/2010	600777	Registered
MEDI WIGHTLOSS FRANCHISING USA LLC	TAMPA, FL	4/20/2010	600774	Registered
PALM BEACH TAN FRANCHISING INC	FARMERS BRANCH, TX	4/20/2010	600773	Registered
CANYONS BURGER FRANCHISING LLC	CYPRESS, CA	4/19/2010	600760	Registered
COMPLETE NUTRITION FRANCHISING, LLC	OMAHA, NE	4/19/2010	600761	Registered
DAZBOG COFFEE FRANCHISING LLC	DENVER, CO	4/19/2010	600771	Registered
FRESHII DEVELOPMENT LLC	TORONTO ONTARIO, ON	4/19/2010	600766	Registered
GARAGETEK INC	SYOSSET, NY	4/19/2010	600768	Registered
MR SANDLESS FRANCHISE LLC	ASTON, PA	4/19/2010	600767	Registered
ORECK FRANCHISE SERVICES LLC	NASHVILLE, TN	4/19/2010	600763	Registered

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PRUDENTIAL REAL ESATE AFFILIATES INC	IRVINE, CA	4/19/2010	600759	Registered
TT&B INC	EAST LANSING, MI	4/19/2010	600769	Registered
VINO 100 LLC	BALA CYNWYD, PA	4/19/2010	600765	Registered
ZIOS ITALIAN KITCHEN FRANCHISING LLC	SAN ANTONIO, TX	4/19/2010	600764	Registered
Number of Franchise Filings: 54				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	