

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/15/2010 through 2/26/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	2/26/2010	00578766-02	Pending
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	2/26/2010	00578765-02	Pending
KLEIN RETAIL CENTERS INC	COLDWATER, MI	2/19/2010	00578503-01	Pending
PASSCO APARTMENT REIT INC	IRVINE, CA	2/19/2010	00578502-01	Pending
COLE CREDIT PROPERTY TR 3 INC	PHOENIX, AZ	2/17/2010	00578378-01	Pending
KBS REAL ESTATE INVESTMENT TR 3 INC	NEWPORT BEACH, CA	2/16/2010	00578289-01	Pending
Number of Registration Filings: 6				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BOSCOBEL BANCORP INC	BOSCOBEL, WI	2/25/2010	00578755-08	Pending
LORD OF LIFE MINISTRIES INC	GILBERT, AZ	2/23/2010	00578715-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 303 BUILD AMERICA BONDS PORTFOLIO SERIES 6	WHEATON, IL	2/25/2010	00578746-05	Pending-Cleared
FT 2311 ETF GROWTH & INCOME MAR 10	WHEATON, IL	2/25/2010	00578747-05	Pending-Cleared
FT 2312 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	2/25/2010	00578748-05	Pending-Cleared
FT 2314 DIVIDEND STRENGTH PORTFOLIO SERIES 5	WHEATON, IL	2/25/2010	00578749-05	Pending-Cleared
FT 2343 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	2/25/2010	00578745-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS I	SIMSBURY, CT	2/25/2010	00578751-03	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD SHORT DURATION FUND CLASS I	SIMSBURY, CT	2/25/2010	00578750-03	Pending-Cleared
VANGUARD SCOTTSDALE FUNDS VANGUARD EXPLORER VALUE FUND INVESTOR SHARES	VALLEY FORGE, PA	2/25/2010	00578744-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 668 ENERGY PORTFOLIO SERIES 10	LISLE, IL	2/23/2010	00578704-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 668 LARGE CAP CORE PORTFOLIO SERIES 13	LISLE, IL	2/23/2010	00578705-05	Pending-Cleared
FT 2269 INCOME ALLOCATION CLOSED END MAR 10	WHEATON, IL	2/23/2010	00578707-05	Pending-Cleared
FT 2270 DOW TARGET 10 MAR 10 TERM 3 31 11	WHEATON, IL	2/23/2010	00578712-05	Pending-Cleared
FT 2270 DOW TARGET DVD MAR 10 TERM 5 31 11	WHEATON, IL	2/23/2010	00578711-05	Pending-Cleared
FT 2270 TARGET FOCUS MAR 10 TERM 5 31 11	WHEATON, IL	2/23/2010	00578709-05	Pending-Cleared
FT 2270 TARGET TRIAD MAR 10 TERM 5 31 11	WHEATON, IL	2/23/2010	00578708-05	Pending-Cleared
FT 2270 TARGET VIP MAR 10 TERM 5 31 11	WHEATON, IL	2/23/2010	00578710-05	Pending-Cleared
FT 2337 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	2/23/2010	00578706-05	Pending-Cleared
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON STRATEGIC REAL RETURN FUND CLASS A	BALTIMORE, MD	2/23/2010	00578728-03	Pending-Cleared
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON STRATEGIC REAL RETURN FUND CLASS C	BALTIMORE, MD	2/23/2010	00578726-03	Pending-Cleared
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON STRATEGIC REAL RETURN FUND CLASS I	BALTIMORE, MD	2/23/2010	00578727-03	Pending-Cleared

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SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO SERIES 15	MONUMENT, CO	2/23/2010	00578703-05	Pending-Cleared
THRIVENT MUTUAL FUNDS THRIVENT GOVERNMENT BOND FUND INSTITUTIONAL CLASS SHARES	MINNEAPOLIS, MN	2/23/2010	00578725-03	Pending-Cleared
THRIVENT MUTUAL FUNDS THRIVENT GOVERNMENT BOND FUND CLASS A SHARES	MINNEAPOLIS, MN	2/23/2010	00578724-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIAPL SERIES 873 INVESTMENT GRADE MUNICIPAL TR SERIES 106	OAKBROOK, IL	2/23/2010	00578702-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIAPL SERIES 874 INVESTMENT GRADE MUNICIPAL TR SERIES 107	OAKBROOK, IL	2/23/2010	00578701-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIAPL SERIES 876 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 9	OAKBROOK, IL	2/23/2010	00578700-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIAPL SERIES 877 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 10	OAKBROOK, IL	2/23/2010	00578699-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 875 INVESTORS QUALITY TX EX TR SERIES 125	OAKBROOK, IL	2/23/2010	00578695-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 960 ARDOUR GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2010 2	OAKBROOK, IL	2/23/2010	00578697-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 960 BRIC OPPORTUNITY PORTFOLIO 2010 2	OAKBROOK, IL	2/23/2010	00578698-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 962 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 35	OAKBROOK, IL	2/23/2010	00578696-05	Pending-Cleared

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VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 237 INTERMEDIATE CORPORATE INVESTMENT GRADE TR SERIES 55	OAKBROOK, IL	2/23/2010	00578694-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 238 INTERMEDIATE CORPORATE INVESTMENT GRADE TR SERIES 58	OAKBROOK, IL	2/23/2010	00578693-05	Pending-Cleared
5TH 3RD FUNDS 5TH 3RD STRATEGIC INCOME FUND INSTITUTIONAL SHARES	CINCINNATI, OH	2/22/2010	00578652-03	Pending-Cleared
INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 21	MONUMENT, CO	2/22/2010	00578653-05	Pending-Cleared
1ST TR COMBINED SERIES 301 TX EX MUNICIPAL INCOME TR 252	WHEATON, IL	2/19/2010	00578507-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 15	MONUMENT, CO	2/19/2010	00578504-05	Pending-Cleared
FT 2289 STERNE AGEE BANK & THRIFT CONSOLIDATION OPPORTUNITIES TR	WHEATON, IL	2/19/2010	00578508-05	Pending-Cleared
MUNICIPAL OPPORTUNITIES PORTFOLIO SERIES 1	MONUMENT, CO	2/19/2010	00578505-05	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 11 AN SMC FIM PORTFOLIO	MONUMENT, CO	2/19/2010	00578506-05	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2020 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578468-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2045 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578473-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG INCOME PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578450-03	Pending-Cleared

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AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2030 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578470-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2015 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578484-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2035 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578471-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2025 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578469-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2040 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578472-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2050 PORTFOLIO C CLASS	KANSAS CITY, MO	2/18/2010	00578449-03	Pending-Cleared
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY SMALL CAP VALUE FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578467-03	Pending-Cleared
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY SMALL CAP VALUE FUND R CLASS	KANSAS CITY, MO	2/18/2010	00578483-03	Pending-Cleared
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY MID CAP VALUE FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578466-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GOVERNMENT BOND FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578464-03	Pending-Cleared

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AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY INFLATION ADJUSTED BOND FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578460-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GINNIE MAE FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578462-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GOVERNMENT BOND FUND R CLASS	KANSAS CITY, MO	2/18/2010	00578465-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY INFLATION ADJUSTED BOND FUND R CLASS	KANSAS CITY, MO	2/18/2010	00578461-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GOVERNMENT BOND FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	2/18/2010	00578463-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY SHORT TERM GOVERNMENT FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	2/18/2010	00578480-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY SHORT TERM GOVERNMENT FUND R CLASS	KANSAS CITY, MO	2/18/2010	00578482-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY SHORT TERM GOVERNMENT FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578481-03	Pending-Cleared
AMERICAN CENTURY MUNICIPAL TR AMERICAN CENTURY HIGH YIELD MUNICIPAL FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	2/18/2010	00578477-03	Pending-Cleared
AMERICAN CENTURY MUNICIPAL TR AMERICAN CENTURY TAX FREE BOND FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578479-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
AMERICAN CENTURY MUNICIPAL TR AMERICAN CENTURY TAX FREE BOND FUND A CLASS	KANSAS CITY, MO	2/18/2010	00578478-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY GROWTH FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578451-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND A CLASS	KANSAS CITY, MO	2/18/2010	00578453-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578454-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	2/18/2010	00578456-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND R CLASS	KANSAS CITY, MO	2/18/2010	00578455-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY VISTA FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578452-03	Pending-Cleared
AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS AMERICAN CENTURY SMALL CO FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578474-03	Pending-Cleared
AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND A CLASS	KANSAS CITY, MO	2/18/2010	00578457-03	Pending-Cleared
AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL DISCOVERY FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578475-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND C CLASS	KANSAS CITY, MO	2/18/2010	00578458-03	Pending-Cleared
AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND R CLASS	KANSAS CITY, MO	2/18/2010	00578459-03	Pending-Cleared
AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL DISCOVERY FUND R CLASS	KANSAS CITY, MO	2/18/2010	00578476-03	Pending-Cleared
FT 2338 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	2/18/2010	00578387-05	Registered
FT 2341 BICK PORTFOLIO SERIES	WHEATON, IL	2/18/2010	00578388-05	Pending-Cleared
PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 1	DES MOINES, IA	2/18/2010	00578490-03	Pending-Cleared
PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 2	DES MOINES, IA	2/18/2010	00578491-03	Pending-Cleared
PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 3	DES MOINES, IA	2/18/2010	00578492-03	Pending-Cleared
PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 4	DES MOINES, IA	2/18/2010	00578493-03	Pending-Cleared
PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 5	DES MOINES, IA	2/18/2010	00578494-03	Pending-Cleared
PRINCIPAL FUNDS INC INCOME FUND CLASS R 1	DES MOINES, IA	2/18/2010	00578485-03	Pending-Cleared
PRINCIPAL FUNDS INC INCOME FUND CLASS R 2	DES MOINES, IA	2/18/2010	00578486-03	Pending-Cleared
PRINCIPAL FUNDS INC INCOME FUND CLASS R 3	DES MOINES, IA	2/18/2010	00578487-03	Pending-Cleared
PRINCIPAL FUNDS INC INCOME FUND CLASS R 4	DES MOINES, IA	2/18/2010	00578488-03	Pending-Cleared
PRINCIPAL FUNDS INC INCOME FUND CLASS R 5	DES MOINES, IA	2/18/2010	00578489-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 2	DES MOINES, IA	2/18/2010	00578496-03	Pending-Cleared
PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 4	DES MOINES, IA	2/18/2010	00578498-03	Pending-Cleared
PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 3	DES MOINES, IA	2/18/2010	00578497-03	Pending-Cleared
PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 5	DES MOINES, IA	2/18/2010	00578499-03	Pending-Cleared
PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 1	DES MOINES, IA	2/18/2010	00578495-03	Pending-Cleared
TD ASSET MANAGEMENT USA FUNDS INC TDAM INSTITUTIONAL TREASURY OBLIGATIONS MONEY MARKET FUND COMMERCIAL CLASS	NEW YORK, NY	2/18/2010	00578447-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM INSTITUTIONAL TREASURY OBLIGATIONS MONEY MARKET FUND INSTITUTIONAL SERVICE	NEW YORK, NY	2/18/2010	00578448-03	Registered
1ST TR COMBINED SERIES 302 BUILD AMERICA BONDS PORTFOLIO SERIES 5	WHEATON, IL	2/16/2010	00578299-05	Pending-Cleared
ADVISORS CORPROATE TR STRATEGIC SERIES 6	MONUMENT, CO	2/16/2010	00578293-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 665 ZACKS INCOME ADVANTAGE STRATEGY SERIES 8	LISLE, IL	2/16/2010	00578294-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 670 GUGGENHEIM REIT PORTFOLIO SERIES 2	LISLE, IL	2/16/2010	00578295-05	Pending-Cleared
DIVIDEND ADVANTAGE PORTFOLIO SERIES 5	MONUMENT, CO	2/16/2010	00578292-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2300 CORE HOLDINGS GROWTH TR 2010 SPRING SERIES	WHEATON, IL	2/16/2010	00578298-05	Pending-Cleared
FT 2301 UTILITIES SELECT PORTFOLIO SERIES 12	WHEATON, IL	2/16/2010	00578301-05	Pending-Cleared
FT 2309 ALTERNATIVE ENERGY PORTFOLIO SERIES 13	WHEATON, IL	2/16/2010	00578300-05	Registered
FT 2327 EQUITY INCOME PLUS PORTFOLIO SERIES 22	WHEATON, IL	2/16/2010	00578302-05	Pending-Cleared
FT 2336 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 9	WHEATON, IL	2/16/2010	00578297-05	Pending-Cleared
FT 2336 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	2/16/2010	00578296-05	Pending-Cleared
JANUS INVESTMENT FUND INTECH RISK MANAGED CORE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578643-03	Registered
JANUS INVESTMENT FUND JANUS 20 FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578641-03	Registered
JANUS INVESTMENT FUND JANUS BALANCED FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578617-03	Registered
JANUS INVESTMENT FUND JANUS CONTRARIAN FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578633-03	Registered
JANUS INVESTMENT FUND JANUS ENTERPRISE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578618-03	Registered
JANUS INVESTMENT FUND JANUS FLEXIBLE BOND FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578621-03	Registered
JANUS INVESTMENT FUND JANUS FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578625-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL LIFE SCIENCES FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578623-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL OPPORTUNITIES FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578637-03	Registered

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JANUS INVESTMENT FUND JANUS GLOBAL REAL ESTATE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578644-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL RESEARCH FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578634-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL TECHNOLOGY FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578627-03	Registered
JANUS INVESTMENT FUND JANUS GOVERNMENT MONEY MARKET FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578620-03	Registered
JANUS INVESTMENT FUND JANUS GROWTH & INCOME FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578622-03	Registered
JANUS INVESTMENT FUND JANUS HIGH YIELD FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578624-03	Registered
JANUS INVESTMENT FUND JANUS INTERNATIONAL 40 FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578646-03	Registered
JANUS INVESTMENT FUND JANUS INTERNATIONAL EQUITY FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578642-03	Registered
JANUS INVESTMENT FUND JANUS MONEY MARKET FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578628-03	Registered
JANUS INVESTMENT FUND JANUS ORION FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578629-03	Registered
JANUS INVESTMENT FUND JANUS OVERSEAS FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578631-03	Registered
JANUS INVESTMENT FUND JANUS RESEARCH CORE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578619-03	Registered
JANUS INVESTMENT FUND JANUS RESEARCH FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578626-03	Registered
JANUS INVESTMENT FUND JANUS SHORT TERM BOND FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578647-03	Registered

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JANUS INVESTMENT FUND JANUS SMART PORTFOLIO CONSERVATIVE CLASS D SHARES	DENVER, CO	2/16/2010	00578640-03	Registered
JANUS INVESTMENT FUND JANUS SMART PORTFOLIO GROWTH CLASS D SHARES	DENVER, CO	2/16/2010	00578636-03	Registered
JANUS INVESTMENT FUND JANUS SMART PORTFOLIO MODERATE CLASS D SHARES	DENVER, CO	2/16/2010	00578638-03	Registered
JANUS INVESTMENT FUND JANUS TRITON FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578632-03	Registered
JANUS INVESTMENT FUND JANUS VENTURE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578635-03	Registered
JANUS INVESTMENT FUND JANUS WORLDWIDE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578645-03	Registered
JANUS INVESTMENT FUND PERKINS LARGE CAP VALUE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578648-03	Registered
JANUS INVESTMENT FUND PERKINS MID CAP VALUE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578630-03	Registered
JANUS INVESTMENT FUND PERKINS SMALL CAP VALUE FUND CLASS D SHARES	DENVER, CO	2/16/2010	00578639-03	Registered
MMA PRAXIS MUTUAL FUNDS MMA PRAXIS CONSERVATIVE ALLOCATION PORTFOLIO	CINCINNATI, OH	2/16/2010	00578291-03	Pending-Cleared
MMA PRAXIS MUTUAL FUNDS MMA PRAXIS GROWTH ALLOCATION PORTFOLIO	CINCINNATI, OH	2/16/2010	00578290-03	Pending-Cleared
Number of Investment Company Filings: 140				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
COVERMATE INC	HAYWARD, CA	2/26/2010	00578767-07	Filed
KLD ENERGY TECHNOLOGIES INC	AUSTIN, TX	2/26/2010	00578768-07	Filed
BLACKSTONE MADISON AVE FUND LP	NEW YORK, NY	2/25/2010	00578753-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/15/2010 through 2/26/2010**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PACIFIC BEACH BIOSCIENCES INC	SAN DIEGO, CA	2/25/2010	00578754-07	Filed
THERMODYNAMIC PROCESS CONTROL LLC	,	2/25/2010	00578752-07	Filed
GREENMONT CAPITAL PARTNERS 2 QO LP	BOULDER, CO	2/24/2010	00578732-07	Filed
IRIDIAN PRIVATE BUSINESS VALUE EQUITY FUND LP	WESTPORT, CT	2/24/2010	00578730-07	Filed
NATCOM STATUTORY TR 1	SUPERIOR, WI	2/24/2010	00578729-07	Filed
RENAISSANCE LAND & WATER MANAGEMENT LLC	DENVER, CO	2/24/2010	00578731-07	Filed
BCP 6 PRIVATE INVESTORS LLC	NEW YORK, NY	2/23/2010	00578713-07	Filed
DESERT WIND FUND LLC	CHICAGO, IL	2/23/2010	00578714-07	Filed
AEI WIRELESS 3 LLC	CHICAGO, IL	2/22/2010	00578666-07	Filed
ALPHA OPPORTUNITY FUND 1 LLC	SCOTTSDALE, AZ	2/22/2010	00578654-07	Filed
TACTICAL WEALTH STRATEGIC GROWTH FUND LP	CORNELIUS, NC	2/22/2010	00578667-07	Filed
WALTON ELM CREEK RANCH LP	SCOTTSDALE, AZ	2/22/2010	00578668-07	Filed
REPUBLIC RESOURCES LLC	SAN ANTONIO, TX	2/19/2010	00578510-07	Filed
REPUBLIC RESOURCES LLC	SAN ANTONIO, TX	2/19/2010	00578509-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	2/18/2010	00578390-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	2/18/2010	00578389-07	Filed
SAB VAKYE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	2/18/2010	00578391-07	Filed
TRAIL CREEK CROSSING LLC	JACKSON, WY	2/17/2010	00578379-07	Filed
MORGAN CREEK FUND LTD	GEORGETOWN KY1-1003,	2/16/2010	00578303-07	Filed
Number of Regulation D Notice Filings: 22				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/15/2010 through 2/26/2010**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Sonny Bryan's Franchise System LLC / Sonny Bryan's Smokehouse	Dallas, TX	2/26/2010	600153	Registered
Shoe Box Franchising, LLC / Shoebox New York	Norcross, GA	2/26/2010	600152	Registered
Stratus Franchising, L.L.C.	St. Louis, MO	2/24/2010	600145	Registered
Signal 88 Franchise Group, Inc. / Signal 88 Security	Omaha, NE	2/23/2010	600144	Registered
MASSAGE ENVY FRANCHISING, LLC / regional developer ginger hartman	LAKE MILLS, WI	2/23/2010	600146	Registered
MASSAGE ENVY FRANCHISING, LLC	LAKE MILLS, WI	2/23/2010	600147	Registered
POSTNET INTERNATIONAL FRANCHISE CORP	Denver, CO	2/23/2010	600148	Registered
BAR LOUIE DEVELOPMENT INC	GLENVIEW, IL	2/22/2010	600139	Registered
BRIGHTSTAR FRANCHISING LLC	NORTHBROOK, IL	2/22/2010	600141	Registered
Image Auto Franchise Group, LLC	Syracuse, NY	2/22/2010	600140	Registered
RESORT MAPS FRANCHISE INC	WAITSFORD, VT	2/22/2010	600142	Registered
Sizzler USA Franchise, Inc.	Culver City, CA	2/19/2010	600138	Registered
JAN PRO FRANCHISING INTERNATIONAL INC	ALPHARETTA, GA	2/18/2010	600124	Registered
OWENS CORNING REMODELING SYSTEMS LLC	TOLEDO, OH	2/18/2010	600126	Registered
OWENS CORNING SUNROOMS FRANCHISING LLC	TOLEDO, OH	2/18/2010	600125	Registered
SHRED IT AMERICA INC	Oakville, QC	2/18/2010	600123	Registered
COFFEE BEANERY LTD	FLUSHING, MI	2/17/2010	600135	Registered
HOULIHANS RESTAURANTS INC	LEAWOOD, KS	2/17/2010	600122	Registered
CMIT SOLUTIONS INC	AUSTIN, TX	2/16/2010	600120	Registered
COLOR ME MINE FRANCHISING INC	NORTH HOLLYWOOD, CA	2/16/2010	600128	Registered
OUTDOOR CONNECTION INC	BURLINGTON, KS	2/16/2010	600132	Registered
SONIC INDUSTRIES INC - Number 7 License Agreement	OKLAHOMA CITY, OK	2/16/2010	600127	Registered
Number of Franchise Filings: 22				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/15/2010 through 2/26/2010**

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	