

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|--|---------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| KLEIN RETAIL CENTERS INC | COLDWATER, MI | 2/19/2010 | 00578503-01 | Pending |
| PASSCO APARTMENT REIT INC | IRVINE, CA | 2/19/2010 | 00578502-01 | Pending |
| COLE CREDIT PROPERTY TR 3 INC | PHOENIX, AZ | 2/17/2010 | 00578378-01 | Pending |
| KBS REAL ESTATE INVESTMENT TR 3 INC | NEWPORT BEACH, CA | 2/16/2010 | 00578289-01 | Pending |
| CLARION PROPERTY TR INC | NEW YORK, NY | 2/12/2010 | 00578258-01 | Pending |
| SUPERFUND GOLD LP | ST GEORGES, GRENADA | 2/12/2010 | 00578274-01 | Pending |
| Number of Registration Filings: 6 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| 1ST TR COMBINED SERIES 301 TX EX MUNICIPAL INCOME TR 252 | WHEATON, IL | 2/19/2010 | 00578507-05 | Pending-Cleared |
| ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 15 | MONUMENT, CO | 2/19/2010 | 00578504-05 | Pending-Cleared |
| FT 2289 STERNE AGEE BANK & THRIFT CONSOLIDATION OPPORTUNITIES TR | WHEATON, IL | 2/19/2010 | 00578508-05 | Pending-Cleared |
| MUNICIPAL OPPORTUNITIES PORTFOLIO SERIES 1 | MONUMENT, CO | 2/19/2010 | 00578505-05 | Pending-Cleared |
| TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 11 | MONUMENT, CO | 2/19/2010 | 00578506-05 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2050 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578449-03 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG INCOME PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578450-03 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2030 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578470-03 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2040 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578472-03 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2020 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578468-03 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2015 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578484-03 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2045 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578473-03 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2035 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578471-03 | Pending-Cleared |
| AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY LIVESTRONG 2025 PORTFOLIO C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578469-03 | Pending-Cleared |
| AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY SMALL CAP VALUE FUND R CLASS | KANSAS CITY, MO | 2/18/2010 | 00578483-03 | Pending-Cleared |
| AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY SMALL CAP VALUE FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578467-03 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY MID CAP VALUE FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578466-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GOVERNMENT BOND FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578464-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY INFLATION ADJUSTED BOND FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578460-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GOVERNMENT BOND FUND INSTITUTIONAL CLASS | KANSAS CITY, MO | 2/18/2010 | 00578463-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GOVERNMENT BOND FUND R CLASS | KANSAS CITY, MO | 2/18/2010 | 00578465-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY INFLATION ADJUSTED BOND FUND R CLASS | KANSAS CITY, MO | 2/18/2010 | 00578461-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY GINNIE MAE FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578462-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY SHORT TERM GOVERNMENT FUND R CLASS | KANSAS CITY, MO | 2/18/2010 | 00578482-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY SHORT TERM GOVERNMENT FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578481-03 | Pending-Cleared |
| AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY SHORT TERM GOVERNMENT FUND INSTITUTIONAL CLASS | KANSAS CITY, MO | 2/18/2010 | 00578480-03 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| AMERICAN CENTURY MUNICIPAL TR AMERICAN CENTURY HIGH YIELD MUNICIPAL FUND INSTITUTIONAL CLASS | KANSAS CITY, MO | 2/18/2010 | 00578477-03 | Pending-Cleared |
| AMERICAN CENTURY MUNICIPAL TR AMERICAN CENTURY TAX FREE BOND FUND A CLASS | KANSAS CITY, MO | 2/18/2010 | 00578478-03 | Pending-Cleared |
| AMERICAN CENTURY MUNICIPAL TR AMERICAN CENTURY TAX FREE BOND FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578479-03 | Pending-Cleared |
| AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY GROWTH FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578451-03 | Pending-Cleared |
| AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578454-03 | Pending-Cleared |
| AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND A CLASS | KANSAS CITY, MO | 2/18/2010 | 00578453-03 | Pending-Cleared |
| AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND INSTITUTIONAL CLASS | KANSAS CITY, MO | 2/18/2010 | 00578456-03 | Pending-Cleared |
| AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY NEW OPPORTUNITIES FUND R CLASS | KANSAS CITY, MO | 2/18/2010 | 00578455-03 | Pending-Cleared |
| AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY VISTA FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578452-03 | Pending-Cleared |
| AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS AMERICAN CENTURY SMALL CO FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578474-03 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL DISCOVERY FUND R CLASS | KANSAS CITY, MO | 2/18/2010 | 00578476-03 | Pending-Cleared |
| AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND A CLASS | KANSAS CITY, MO | 2/18/2010 | 00578457-03 | Pending-Cleared |
| AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND R CLASS | KANSAS CITY, MO | 2/18/2010 | 00578459-03 | Pending-Cleared |
| AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL DISCOVERY FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578475-03 | Pending-Cleared |
| AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND C CLASS | KANSAS CITY, MO | 2/18/2010 | 00578458-03 | Pending-Cleared |
| FT 2338 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 21 | WHEATON, IL | 2/18/2010 | 00578387-05 | Pending-Cleared |
| FT 2341 BICK PORTFOLIO SERIES | WHEATON, IL | 2/18/2010 | 00578388-05 | Pending-Cleared |
| PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 1 | DES MOINES, IA | 2/18/2010 | 00578490-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 2 | DES MOINES, IA | 2/18/2010 | 00578491-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 3 | DES MOINES, IA | 2/18/2010 | 00578492-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 4 | DES MOINES, IA | 2/18/2010 | 00578493-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC EQUITY INCOME FUND CLASS R 5 | DES MOINES, IA | 2/18/2010 | 00578494-03 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| PRINCIPAL FUNDS INC INCOME FUND CLASS R 1 | DES MOINES, IA | 2/18/2010 | 00578485-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC INCOME FUND CLASS R 2 | DES MOINES, IA | 2/18/2010 | 00578486-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC INCOME FUND CLASS R 3 | DES MOINES, IA | 2/18/2010 | 00578487-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC INCOME FUND CLASS R 4 | DES MOINES, IA | 2/18/2010 | 00578488-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC INCOME FUND CLASS R 5 | DES MOINES, IA | 2/18/2010 | 00578489-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 3 | DES MOINES, IA | 2/18/2010 | 00578497-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 2 | DES MOINES, IA | 2/18/2010 | 00578496-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 5 | DES MOINES, IA | 2/18/2010 | 00578499-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 1 | DES MOINES, IA | 2/18/2010 | 00578495-03 | Pending-Cleared |
| PRINCIPAL FUNDS INC PRINCIPAL CAPITAL APPRECIATION FUND CLASS R 4 | DES MOINES, IA | 2/18/2010 | 00578498-03 | Pending-Cleared |
| TD ASSET MANAGEMENT USA FUNDS INC TDAM INSTITUTIONAL TREASURY OBLIGATIONS MONEY MARKET FUND INSTITUTIONAL SERVICE | NEW YORK, NY | 2/18/2010 | 00578448-03 | Registered |
| TD ASSET MANAGEMENT USA FUNDS INC TDAM INSTITUTIONAL TREASURY OBLIGATIONS MONEY MARKET FUND COMMERCIAL CLASS | NEW YORK, NY | 2/18/2010 | 00578447-03 | Registered |
| 1ST TR COMBINED SERIES 302 BUILD AMERICA BONDS PORTFOLIO SERIES 5 | WHEATON, IL | 2/16/2010 | 00578299-05 | Pending-Cleared |
| ADVISORS CORPROATE TR STRATEGIC SERIES 6 | MONUMENT, CO | 2/16/2010 | 00578293-05 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 665 ZACKS INCOME ADVANTAGE STRATEGY SERIES 8 | LISLE, IL | 2/16/2010 | 00578294-05 | Pending-Cleared |
| CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 670 GUGGENHEIM REIT PORTFOLIO SERIES 2 | LISLE, IL | 2/16/2010 | 00578295-05 | Pending-Cleared |
| DIVIDEND ADVANTAGE PORTFOLIO SERIES 5 | MONUMENT, CO | 2/16/2010 | 00578292-05 | Pending-Cleared |
| FT 2300 CORE HOLDINGS GROWTH TR 2010 SPRING SERIES | WHEATON, IL | 2/16/2010 | 00578298-05 | Pending-Cleared |
| FT 2301 UTILITIES SELECT PORTFOLIO SERIES 12 | WHEATON, IL | 2/16/2010 | 00578301-05 | Pending-Cleared |
| FT 2309 ALTERNATIVE ENERGY PORTFOLIO SERIES 13 | WHEATON, IL | 2/16/2010 | 00578300-05 | Registered |
| FT 2327 EQUITY INCOME PLUS PORTFOLIO SERIES 22 | WHEATON, IL | 2/16/2010 | 00578302-05 | Pending-Cleared |
| FT 2336 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 9 | WHEATON, IL | 2/16/2010 | 00578297-05 | Pending-Cleared |
| FT 2336 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 6 | WHEATON, IL | 2/16/2010 | 00578296-05 | Pending-Cleared |
| MMA PRAXIS MUTUAL FUNDS MMA PRAXIS CONSERVATIVE ALLOCATION PORTFOLIO | CINCINNATI, OH | 2/16/2010 | 00578291-03 | Pending-Cleared |
| MMA PRAXIS MUTUAL FUNDS MMA PRAXIS GROWTH ALLOCATION PORTFOLIO | CINCINNATI, OH | 2/16/2010 | 00578290-03 | Pending-Cleared |
| BLUE CHIP PORTFOLIO SERIES 1 | MONUMENT, CO | 2/12/2010 | 00578267-05 | Pending-Cleared |
| BUILD AMERICA BOND PORTFOLIO SERIES 10 | MONUMENT, CO | 2/12/2010 | 00578266-05 | Pending-Cleared |
| CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 666 DELTA GLOBAL SHIPPING PORTFOLIO SERIES 10 | LISLE, IL | 2/12/2010 | 00578259-05 | Pending-Cleared |
| DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2 | MONUMENT, CO | 2/12/2010 | 00578262-05 | Pending-Cleared |
| ENERGY OPPORTUNITIES PORTFOLIO SERIES 3 | MONUMENT, CO | 2/12/2010 | 00578261-05 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| FT 23003 AUTO MATEDQUANTITATIVE ANALYSIS PORTFOLIO | WHEATON, IL | 2/12/2010 | 00578269-05 | Pending-Cleared |
| FT 2310 DEFENSIVE EQUITY PORTFOLIO SERIES 10 | WHEATON, IL | 2/12/2010 | 00578270-05 | Pending-Cleared |
| FT 2334 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 18 | WHEATON, IL | 2/12/2010 | 00578268-05 | Pending-Cleared |
| GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 5 | MONUMENT, CO | 2/12/2010 | 00578265-05 | Pending-Cleared |
| INSURED TX EX MUNICIPAL PORTFOLIO LONG TERM SERIES 13 | MONUMENT, CO | 2/12/2010 | 00578264-05 | Pending-Cleared |
| INTERNATIONAL BOND PORTFOLIO SERIES 1 | MONUMENT, CO | 2/12/2010 | 00578260-05 | Pending-Cleared |
| STRATEGIC CORE ALL EQUITY PORTFOLIO SERIES 15 | MONUMENT, CO | 2/12/2010 | 00578263-05 | Pending-Cleared |
| HARTFORD MUTUAL FUNDS INC HARTFORD INFLATION PLUS FUND CLASS L | SIMSBURY, CT | 2/10/2010 | 00577821-03 | Pending-Cleared |
| CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 664 CLOSED END CORPORATE HIGH YIELD & INCOME PORTFOLIO SERIES 14 | LISLE, IL | 2/8/2010 | 00577722-05 | Pending-Cleared |
| CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 664 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 24 | LISLE, IL | 2/8/2010 | 00577721-05 | Pending-Cleared |
| CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 664 STRATEGIC INCOME PORTFOLIO SERIES 40 | LISLE, IL | 2/8/2010 | 00577723-05 | Pending-Cleared |
| CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 669 FLAHERTY & CRUMRIE PREFERRED PORTFOLIO SERIES 22 | LISLE, IL | 2/8/2010 | 00577724-05 | Pending-Cleared |
| FT 2299 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 28 | WHEATON, IL | 2/8/2010 | 00577726-05 | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| FT 2340 SENIOR LOAN PLUS CLOSED END PORTFOLIO SERIES 17 | WHEATON, IL | 2/8/2010 | 00577725-05 | Pending-Cleared |
| JPMORGAN TR 1 HIGHBRIDGE DYNAMIC COMMODITIES STRATEGY FUND CLASS A | BOSTON, MA | 2/8/2010 | 00577720-03 | Pending-Cleared |
| JPMORGAN TR 1 HIGHBRIDGE DYNAMIC COMMODITIES STRATEGY FUND CLASS C | BOSTON, MA | 2/8/2010 | 00577719-03 | Pending-Cleared |
| JPMORGAN TR 1 HIGHBRIDGE DYNAMIC COMMODITIES STRATEGY FUND SELECT CLASS SHARES | BOSTON, MA | 2/8/2010 | 00577718-03 | Pending-Cleared |
| SMART TR DIVERSIFIED INCOME & GROWTH TR 2010 SERIES A | [ARSIPPPANY, NJ | 2/8/2010 | 00577727-05 | Pending-Cleared |
| Number of Investment Company Filings: 96 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|------------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| REPUBLIC RESOURCES LLC | SAN ANTONIO, TX | 2/19/2010 | 00578510-07 | Filed |
| REPUBLIC RESOURCES LLC | SAN ANTONIO, TX | 2/19/2010 | 00578509-07 | Filed |
| SAB EQUITY PARTNERSHIP 3 LP | SAN ANTONIO, TX | 2/18/2010 | 00578390-07 | Filed |
| SAB EQUITY PARTNERSHIP LP | SAN ANTONIO, TX | 2/18/2010 | 00578389-07 | Filed |
| SAB VAKYE OPPORTUNITIES FUND LP | SAN ANTONIO, TX | 2/18/2010 | 00578391-07 | Filed |
| TRAIL CREEK CROSSING LLC | JACKSON, WY | 2/17/2010 | 00578379-07 | Filed |
| MORGAN CREEK FUND LTD | GEORGETOWN KY1-1003, | 2/16/2010 | 00578303-07 | Filed |
| FACIE LIBRE ASSOC 2 LLC | NEW YORK, NY | 2/12/2010 | 00578271-07 | Filed |
| INRANGE SYSTEMS INC | ALTOONA, PA | 2/12/2010 | 00578273-07 | Filed |
| SAPIC GLOBAL MACRO FUND LTD | GRAND CAYMAN KY1-1102, | 2/12/2010 | 00578272-07 | Filed |
| HUINZENGA MANAGERS FUND LTD | GRAND CAYMNA KY1-1105, | 2/11/2010 | 00578249-07 | Filed |
| POINT LOBOS PARTNERS LP | SAN FRANCISCO, CA | 2/10/2010 | 00577822-07 | Filed |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|-----------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| ALLIANCEBERNSTEIN LEGACY SECURITIES C1 LP | NEW YORK, NY | 2/9/2010 | 00577768-07 | Filed |
| ALLIANCEBERNSTEIN LEGACY SECURITIES DE LP | NEW YORK, NY | 2/9/2010 | 00577769-07 | Filed |
| DEEP LIQUIDITY INC | AUSTIN, TX | 2/9/2010 | 00577744-07 | Filed |
| EDEN PARK ILLUMINATION INC | CHICAGO, IL | 2/9/2010 | 00577764-07 | Filed |
| HOPLITE OFFSHORE FUND LTD | GRAND CAYMAN KY1-103, | 2/9/2010 | 00577766-07 | Filed |
| TACONIC OPPORTUNITY FUND 2 LP | NEW YORK, NY | 2/9/2010 | 00577767-07 | Filed |
| ALTERNATIVE STRATEGIES PLATFORM LLC AURORA DIVERSIFIED 2 ASP FUND | CHARLOTTE, NC | 2/8/2010 | 00577716-07 | Filed |
| ALTERNATIVE STRATEGIES PLATFORM LLC AURORA DIVERSIFIED ASP FUND | CHARLOTTE, NC | 2/8/2010 | 00577715-07 | Filed |
| CELLECTAR INC | MADISON, WI | 2/8/2010 | 00577717-07 | Filed |
| CONTINUITY PARTNERS GROUP LLC | FAIRFIELD, IA | 2/8/2010 | 00577712-07 | Filed |
| CROWN CASSITY 1 JOINT VENTURE | CARROLTON, TX | 2/8/2010 | 00577734-07 | Filed |
| INLAND OPPORTUNITY FUND LLC | OAKBROOK, IL | 2/8/2010 | 00577714-07 | Filed |
| OMEGA 2009 DRILLING PROGRAM 2 LP | GETZVILLE, NY | 2/8/2010 | 00577713-07 | Filed |
| Number of Regulation D Notice Filings: 25 | | | | |

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| JAN PRO FRANCHISING INTERNATIONAL INC | ALPHARETTA, GA | 2/18/2010 | 600124 | Registered |
| OWENS CORNING REMODELING SYSTEMS LLC | TOLEDO, OH | 2/18/2010 | 600126 | Registered |
| OWENS CORNING SUNROOMS FRANCHISING LLC | TOLEDO, OH | 2/18/2010 | 600125 | Registered |
| SHRED IT AMERICA INC | Oakville, QC | 2/18/2010 | 600123 | Registered |
| HOULIHANS RESTAURANTS INC | LEAWOOD, KS | 2/17/2010 | 600122 | Registered |
| CMIT SOLUTIONS INC | AUSTIN, TX | 2/16/2010 | 600120 | Registered |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/8/2010 through 2/19/2010**

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--|---------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| COLOR ME MINE FRANCHISING INC | NORTH HOLLYWOOD, CA | 2/16/2010 | 600128 | Registered |
| SONIC INDUSTRIES INC - Number 7 License Agreement | OKLAHOMA CITY, OK | 2/16/2010 | 600127 | Registered |
| SONIC INDUSTRIES INC - Number 6A License Agreement | OKLAHOMA CITY, OK | 2/14/2010 | 600121 | Registered |
| NATIONAL PROPERTY INSPECTIONS INC | OMAHA, NE | 2/12/2010 | 600119 | Registered |
| All Things Automotive LLC | Indianapolis, IN | 2/11/2010 | 600116 | Registered |
| BAB SYSTEMS INC / BIG APPLE BAGELS | CHICAGO, IL | 2/11/2010 | 600117 | Registered |
| OTA FRANCHISE CORP | IRVINE, CA | 2/10/2010 | 600107 | Registered |
| INSPECT IT 1ST LLC | INDEPENDENCE, OH | 2/8/2010 | 600111 | Registered |
| Number of Franchise Filings: 14 | | | | |

| Corporate Take-Over Law Filings Made with DFI's Securities Division | |
|--|----------------------|
| Takeover Filing Reference | Date Received |
| Number of Takeover Filings: 0 | |