

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/11/2010 through 1/22/2010**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARVARD IL BANCORP INC	HARVARD, IL	1/21/2010	00574622-01	Pending
PHILLIPS EDISON ARC SHOPPING CENTER REIT INC	CINCINNATI, OH	1/20/2010	00574530-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MEDICAL EQUIPMENT LEASING OW WI 1 LLC	APPLETON, WI	1/22/2010	00574664-06	Pending
YREKA CHURCH OF THE NAZARENE	YREKA, CA	1/22/2010	00574662-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 865 INSURED MUNICIPALS INCOME TR SERIES 594	OAKBROOK, IL	1/22/2010	00574659-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 866 INSURED MUNICIPALS INCOME TR SERIES 595	OAKBROOK, IL	1/22/2010	00574658-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 229 BUILD AMERICA BONDS INCOME TR SERIES 24	OAKBROOK, IL	1/22/2010	00574661-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 230 BUILD AMERICA BONDS INCOME TR SERIES 25	OAKBROOK, IL	1/22/2010	00574660-05	Pending-Cleared
GLOBAL TECHNOLOGY PORTFOLIO SERIES 1	MONUMENT, CO	1/20/2010	00574529-05	Pending-Cleared
INSURED TX ES MUNICIPAL PORTFOLIO LONG TERM SERIES 12	MONUMENT, CO	1/20/2010	00574528-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
ADVISORONE FUNDS ENHANCED INCOME FUND CLASS N	HAUPPAUGE, NY	1/19/2010	00574440-03	Pending-Cleared
ADVISORONE FUNDS FLEXIBLE INCOME FUND CLASS N	HAUPPAUGE, NY	1/19/2010	00574441-03	Pending-Cleared
ADVISORONE FUNDS SELECT APPRECIATION FUND CLASS N	HAUPPAUGE, NY	1/19/2010	00574442-03	Pending-Cleared
AMIDEX FUNDS INC AMIDEX 35 ISRAEL MUTUAL FUND CLASS C	EAGLEVILLE, PA	1/19/2010	00574443-03	Pending-Cleared
1ST TR COMBINED SERIES 299 BUILD AMERICA BONDS PORTFOLIO SERIES 3	WHEATON, IL	1/15/2010	00574425-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 653 DELTA GLOBAL CANADIAN ENERGY TR SERIES 22	LISLE, IL	1/15/2010	00574419-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 653 DELTA GLOBAL AGRUCULTURE PORTFOLIO SERIES 9	LISLE, IL	1/15/2010	00574418-05	Pending-Cleared
DIVIDEND RULER PORTFOLIO SERIES 2010A	MONUMENT, CO	1/15/2010	00574417-05	Pending-Cleared
DOW VALUE 10 PORTFOLIO SERIES 2	MONUMENT, CO	1/15/2010	00574416-05	Pending-Cleared
FT 2258 MARKET OPPORTUNITIES PORTFOLIO SERIES 3	WHEATON, IL	1/15/2010	00574424-05	Pending-Cleared
FT 2259 BRAZIL PORTFOLIO SERIES 4	WHEATON, IL	1/15/2010	00574423-05	Pending-Cleared
FT 2260 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 4	WHEATON, IL	1/15/2010	00574420-05	Pending-Cleared
FT 2261 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	1/15/2010	00574422-05	Pending-Cleared
FT 2272 SELECT DSIP PORTFOLIO 1ST QUARTER 2010 SERIES	WHEATON, IL	1/15/2010	00574421-05	Pending-Cleared
HIGH YIELD & INCOME CLOSED END PORTFOLIO SERIES 13	MONUMENT, CO	1/15/2010	00574415-05	Pending-Cleared

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TRANSAMERICA FUNDS TRANSAMERICA WMC EMERGING MARKETS CLASS I2 SHARES	ST. PETERSBURG, FL	1/15/2010	00574436-03	Registered
HSBC INVESTOR FUNDS HSBC INVESTOR GLOBAL EMERGING MARKETS FIXED INCOME FUND CLASS I SHARES	COLUMBUS, OH	1/14/2010	00574334-03	Registered
HSBC INVESTOR FUNDS HSBC INVESTOR GLOBAL EMERGING MARKETS FIXED INCOME FUND CLASS A SHARES	COLUMBUS, OH	1/14/2010	00574333-03	Registered
VAN KAMPEN UNIT TR SERIES 945 GLOBAL EQUITY DIVIDEND PORTFOLIO SERIES 2	OAKBROOK, IL	1/14/2010	00574315-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 946 COHEN & STEERS REIT INCOME PORTFOLIO 2010 1	OAKBROOK, IL	1/14/2010	00574321-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 946 DIVERSIFIED HEALTHCARE PORTFOLIO SERIES 50	OAKBROOK, IL	1/14/2010	00574320-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 946 ENERGY PORTFOLIO SERIES 33	OAKBROOK, IL	1/14/2010	00574318-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 946 FINANCIAL INSTITUTIONS PORTFOLIO SERIES 45	OAKBROOK, IL	1/14/2010	00574319-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 946 UTILITY INCOME PORTFOLIO SERIES 30	OAKBROOK, IL	1/14/2010	00574322-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 947 DOW JONES LARGE CAP GROWTH STRATEGY 2010 1	OAKBROOK, IL	1/14/2010	00574316-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 948 SELECT S&P GREATER CHINA PICKS PORTFOLIO SERIES 17	OAKBROOK, IL	1/14/2010	00574317-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 949 HOBOTSON ALTERNATIVES ALLOCATION PORTFOLIO 2010 1	OAKBROOK, IL	1/14/2010	00574314-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 950 VAN KAMPEN PREFERRED OPPORTUNITY PORTFOLIO SERIES 9	OAKBROOK, IL	1/14/2010	00574313-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 951 DOW JONES SELECT MICROCAP ENHANCED INDEX STRATEGY PORTFOLIO 2010 2	OAKBROOK, IL	1/14/2010	00574309-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 951 MID CAP STRATEGY PORTFOLIO 2010 2	OAKBROOK, IL	1/14/2010	00574310-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 951 SMALL CAP STRATEGY PORTFOLIO 2010 2	OAKBROOK, IL	1/14/2010	00574311-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 951 SMID STRATEGY PORTFOLIO 2010 2 2	OAKBROOK, IL	1/14/2010	00574312-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 8	MONUMENT, CO	1/13/2010	00574279-05	Pending-Cleared
FEDERATED MDT STOCK TR INSTITUTIONAL SHARES	WARRENDALE, PA	1/13/2010	00574406-03	Pending-Cleared
TCW FUNDS INC TCW EMERGING MARKETS EQUITIES FUND CLASS I	LOS ANGELES, CA	1/13/2010	00574404-03	Registered
TCW FUNDS INC TCW EMERGING MARKETS EQUITIES FUND CLASS N	LOS ANGELES, CA	1/13/2010	00574405-03	Registered
FT 2253 60 40 STRATEGIC ALLOCATION PORT 1Q 10 TERM 4 14 11	WHEATON, IL	1/12/2010	00574271-05	Pending-Cleared
FT 2253 75 25 STRATEGIC ALLOCATION PORT 1Q 10 TERM 4 14 11	WHEATON, IL	1/12/2010	00574270-05	Pending-Cleared
ADVISORONE FUNDS SHELTER FUND CLASS N	HAUPPAUGE, NY	1/11/2010	00574239-03	Pending-Cleared
CASH ASSETS TR PACIFIC CAPITAL US GOVERNMENT SECURITIES CAT ORIGINAL SHARES	NEW YORK, NY	1/11/2010	00574238-03	Pending-Cleared
CASH ASSETS TR PACIFIC CAPITAL US GOVERNMENT SECURITIES CAT SERVICE SHARES	NEW YORK, NY	1/11/2010	00574237-03	Pending-Cleared

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CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 652 CLOSED END INFRASTRUCTURE & MLP PORTFOLIO SERIES 7	LISLE, IL	1/11/2010	00574231-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 652 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 12	LISLE, IL	1/11/2010	00574230-05	Pending-Cleared
FT 2255 BALANCED INCOME SELECT PORTFOLIO SERIES 14	WHEATON, IL	1/11/2010	00574236-05	Pending-Cleared
FT 2256 CANADIAN ENERGY & INCOME PORTFOLIO SERIES 16	WHEATON, IL	1/11/2010	00574235-05	Pending-Cleared
FT 2257 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 2	WHEATON, IL	1/11/2010	00574232-05	Pending-Cleared
FT 2257 SMID CAPITAL STRENGTH PORTFOLIO SERIES 2	WHEATON, IL	1/11/2010	00574233-05	Pending-Cleared
FT 2274 US INFRASTRUCTURE PORTFOLIO SERIES 7	WHEATON, IL	1/11/2010	00574234-05	Pending-Cleared
LAZARD FUNDS INC LAZARD GLOBAL LTD INFRASTRUCTURE PORTFOLIO OPEN SHARES	NEW YORK, NY	1/11/2010	00574248-03	Registered
LAZARD FUNDS INC LAZARD GLOBAL LTD INFRASTRUCTURE PORTFOLIO INSTITUTIONAL SHARES	NEW YORK, NY	1/11/2010	00574247-03	Registered
NORTHERN LIGHTS FUND TR BTS BOND ASSET ALLOCATION FUND CLASS A	HAUPPAUGE, NY	1/11/2010	00574269-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BULL PATH LONG SHORT FUND CLASS A	HAUPPAUGE, NY	1/11/2010	00574242-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BULL PATH LONG SHORT FUND CLASS C	HAUPPAUGE, NY	1/11/2010	00574243-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BULL PATH LONG SHORT FUND CLASS I	HAUPPAUGE, NY	1/11/2010	00574244-03	Pending-Cleared
NORTHERN LIGHTS FUND TR GMG DEFENSIVE BETA FUND	HAUPPAUGE, NY	1/11/2010	00574240-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
NORTHERN LIGHTS FUND TR MUTUALHEDGE GRONTIER LEGENS FUND CLASS C	HAUPPAUGE, NY	1/11/2010	00574246-03	Pending-Cleared
NORTHERN LIGHTS FUND TR MUTUALHEDGE GRONTIER LEGENS FUND CLASS A	HAUPPAUGE, NY	1/11/2010	00574245-03	Pending-Cleared
NORTHERN LIGHTS FUND TR WADE CORE DESTINATION FUND	HAUPPAUGE, NY	1/11/2010	00574241-03	Pending-Cleared
Number of Investment Company Filings: 64				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CADOGEN OPPORTUNISTIC ALTERNATIVES FUND LLC	NEW YORK, NY	1/22/2010	00574663-07	Filed
AURORA LP 2	CHICAGO, IL	1/20/2010	00574525-07	Filed
CONFORMIS INC	BURLINGTON, MA	1/20/2010	00574524-07	Filed
HEW TEX CHOICE LIBERTY COUNTY JOINT VENTURE	HOUSTON, TX	1/20/2010	00574526-07	Filed
HEW TEX SANTA ROSA JOINT VENTURE	HOUSTON, TX	1/20/2010	00574527-07	Filed
PASSCO PROMANADE CROSSING DST	IRVINE, CA	1/20/2010	00574523-07	Filed
ALTERNATIVE STRATEGIES MANAGED FUTURES & COMMODITIES PLATFORM LLC MANAGED FUTURES LEGENS ASP FUND	CHARLOTTE, NC	1/19/2010	00574445-07	Filed
ALTERNATIVE STRATEGIES PLATFORM LLC ENDOWMENT ASP FUND	CHARLOTTE, NC	1/19/2010	00574446-07	Filed
HAMPDEN AGENCIES LTD	LONDON EC3 V 0AA,	1/19/2010	00574444-07	Filed
JONES FINANCIAL COMPAINES LLLP	ST LOUIS, MO	1/19/2010	00574447-07	Filed
KING STREET CAPITAL LP	NEW YORK, NY	1/19/2010	00574448-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	1/19/2010	00574450-07	Filed
VALINOR CAPITAL PARTNERS OFFSHORE LTD	GRAND CAYMAN KY1-1111,	1/19/2010	00574449-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MILLER MOUNTAIN PROPECT PARTNERS	BOWLING GREEN, KY	1/15/2010	00574414-07	Filed
SNI HOLDCO INC	WEST DES MOINES, IA	1/15/2010	00574412-07	Filed
CHF SOLUTIONS INC	BROOKLYN PARK, MN	1/14/2010	00574323-07	Filed
DMP ACQUISITION LLC	NEW YORK, NY	1/14/2010	00574324-07	Filed
HARGEN INC	MILWAUKEE, WI	1/14/2010	00574413-07	Filed
REVA CATALYST FUND LLC	RICHMOND, VA	1/14/2010	00574325-07	Filed
TABLOGIX INTERNATIONAL LTD	MOSCOW 115114,	1/14/2010	00574326-07	Filed
PEAK6 PERFORMANCE FUND LTD	GEORGE TOWN GRAND CAYMAN,	1/13/2010	00574282-07	Filed
TRITON PACIFIC PLATINUM FUND 3 LP	LOS ANGELES, CA	1/13/2010	00574280-07	Filed
WINTON FUTURES FUND LP US	EVERGREEN, CO	1/13/2010	00574281-07	Filed
5 STATE CONCOLIDATED 3 LTD	DALLAS, TX	1/12/2010	00574273-07	Filed
AEGIS INVESTMENT MANAGEMENT GOLF INC	VANCOUVER BC V6G 2Z6,	1/12/2010	00574272-07	Filed
CHINA BAICAOTANG MEDICINE LTD	LUSHOW CITY GUANGXI PROV,	1/11/2010	00574252-07	Filed
COMMONWEALTH INCOME & GROWTH PRIVATE FUND 4 LLC	CHADDS FORD, PA	1/11/2010	00574249-07	Filed
M KINGDOM OFFSHORE LTD	CAMANA BAY GRAND CAYMAN,	1/11/2010	00574250-07	Filed
MW COMMODITY STRATEGIES LP	NEW YORK, NY	1/11/2010	00574251-07	Filed
Number of Regulation D Notice Filings: 29				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FIBRENEW USA LTD	PRIDDIS AB, AB	1/22/2010	600075	Registered
PILOT AIR FREIGHT CORP	LIMA, PA	1/22/2010	600074	Registered
UltraLot Paving Systems, LLC	Chicago, IL	1/21/2010	600065	Registered
UFG Synergies, LLC	West Palm Beach, FL	1/20/2010	600063	Registered
Liptak Digital Services	Carlsbad, CA	1/19/2010	600056	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
HAPPY JOES FRANCHISING INC	BETTENDORF, IA	1/19/2010	600062	Registered
INFRASYS INC	SANDUSKY, OH	1/19/2010	600060	Registered
CANDY BOUQUET INTERNATIONAL INC	LITTLE ROCK, AR	1/15/2010	600067	Registered
CYBERTARY INC	ROSEVILLE, CA	1/15/2010	600071	Registered
MAIDS INTERNATIONAL INC	OMAHA, NE	1/15/2010	600068	Registered
SAILTIME GROUP LLC	ANNAPOLIS, MD	1/15/2010	600069	Registered
SEA TOW SERVICES INTERNATIONAL INC	SOUTHOLD, NY	1/14/2010	600059	Registered
CK FRANCHISING INC	DAYTON, OH	1/12/2010	600072	Registered
Moonvision Enterprises, Inc. / Moonvision Enterprises, Inc.	Greenville, NC	1/11/2010	600054	Registered
TAX CENTERS OF AMERICA INC	RUSSELLVILLE, AR	1/11/2010	600055	Registered
Number of Franchise Filings: 15				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	