

**WI Securities Law and Franchise Law Filings  
for 12/14/2009 through 12/25/2009**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CHRISTIAN & MISSIONARY ALLIANCE OF ST CROIX FALLS	ST CROIX FALLS, WI	12/18/2009	00573319-10	Pending
Number of Exemption Filings: 1				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Investment Company Filings: 0				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FOX RIVER FINQANCIAL CORP	BURLINGTON, WI	12/21/2009	00573338-07	Filed
NEI 3 LLC	WAUKESHA, WI	12/17/2009	00573285-07	Filed
ZIEGLER EQUITY FUNDING GREENFIELDS LLC	MILWAUKEE, WI	12/17/2009	00573286-07	Filed
Number of Regulation D Notice Filings: 3				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GWB ENTERPRISES INC	EAU CLAIRE, WI	12/23/2009	600021	Registered
Number of Franchise Filings: 1				