

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/14/2009 through 12/25/2009**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ZEA CAPITAL FUND LLC	CEDAR RAPIDS, IA	12/22/2009	00573353-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRISTIAN & MISSIONARY ALLIANCE OF ST CROIX FALLS	ST CROIX FALLS, WI	12/18/2009	00573319-10	Pending
SUBURBAN CHRISTIAN CHURCH	CORVALLIS, OR	12/18/2009	00573320-10	Pending
LIGHTING SCIENCE GROUP CORP	SATELITE BEACH, FL	12/16/2009	00573240-08	Pending
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ASTON FUNDS ASTON FASCIANO SMALL CAP FUND CLASS N SHARES	CHICAGO, IL	12/17/2009	00573309-03	Registered
FT 2236 EQUITY INCOME PORTFOLIO SERIES 24	WHEATON, IL	12/17/2009	00573280-05	Pending-Cleared
FT 2237 TECHNOLOGY SELECT PORTFOLIO SERIES 34	WHEATON, IL	12/17/2009	00573282-05	Pending-Cleared
FT 2238 GLOBAL SHIPPING PORTFOLIO SERIES 10	WHEATON, IL	12/17/2009	00573284-05	Pending-Cleared
FT 2278 MARKET STENGHT ALLOCATION PLUS PORTFOLIO SERIES	WHEATON, IL	12/17/2009	00573281-05	Pending-Cleared
FT 2281 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	12/17/2009	00573283-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 654 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 21	LISLE, IL	12/16/2009	00573233-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FIDELITY RUTLAND SQUARE TR 2 FIDELITY STRATEGIC ADVISERS CORE FUND	BOSTON, MA	12/15/2009	00573276-03	Registered
JPMORGAN TR 1 JPMORGAN INCOME BUILDER FUND CLASS A	BOSTON, MA	12/15/2009	00573171-03	Registered
JPMORGAN TR 1 JPMORGAN INCOME BUILDER FUND CLASS C	BOSTON, MA	12/15/2009	00573172-03	Registered
JPMORGAN TR 1 JPMORGAN INCOME BUILDER FUND SELECT CLASS	BOSTON, MA	12/15/2009	00573173-03	Registered
VAN KAMPEN UNIT TR SERIES 934 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573179-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 EAFE SELECT 20 PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573180-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573175-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 MULTI STRATEGY SERIES EAFE EDVY 2010 1	OAKBROOK, IL	12/15/2009	00573174-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT 10 INDUSTRIAL PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573186-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT 5 INDUSTRIAL PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573178-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT S&P CORE PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573176-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT S&P INDUSTRIAL PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573177-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 937 S&P POWERPICKS PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573185-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 940 CLOSED END STRATEGY COHEN & STEERS MASTER PRTFOLIO SERIES 22	OAKBROOK, IL	12/15/2009	00573184-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 940 CLOSED END STRATEGY COHEN & STEERS MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 18	OAKBROOK, IL	12/15/2009	00573183-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 942 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573181-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 944 VAN KAMPEN EMERGING MARKETS PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573182-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 12	MONUMENT, CO	12/14/2009	00572857-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND USFS FUNDS LTD DURATION GOVERNMENT FUND	BOSTON, MA	12/14/2009	00572903-03	Registered
BURNHAM INVESTORS TR BURNHAM FINANCIAL INDUSTRIES FUND CLASS I	NEW YORK, NY	12/14/2009	00572902-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 646 STRATEGIC EQUITY ALLOCATIONS TR SERIES 21	LISLE, IL	12/14/2009	00572855-05	Pending-Cleared
FT 2279 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 7	WHEATON, IL	12/14/2009	00572861-05	Pending-Cleared
FT 2280 SENIOR LOAN PLUS CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	12/14/2009	00572860-05	Pending-Cleared
HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 27	MONUMENT, CO	12/14/2009	00572856-05	Pending-Cleared
INSURED TX EX MUNICIPAL PORTFOLIO LONG TERM SERIES 11	MONUMENT, CO	12/14/2009	00572859-05	Pending-Cleared
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS R3 SHARES	CHICAGO, IL	12/14/2009	00572900-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS A SHARES	CHICAGO, IL	12/14/2009	00572899-03	Registered

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Firm Name	Location	Date Received	File Number	Status
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS C SHARES	CHICAGO, IL	12/14/2009	00572898-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS I SHARES	CHICAGO, IL	12/14/2009	00572901-03	Registered
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 1	DES MOINES, IA	12/14/2009	00572904-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 2	DES MOINES, IA	12/14/2009	00572905-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 3	DES MOINES, IA	12/14/2009	00572906-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 4	DES MOINES, IA	12/14/2009	00572907-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 5	DES MOINES, IA	12/14/2009	00572908-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND INSTITUTIONAL CLASS	DES MOINES, IA	12/14/2009	00572909-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND INSTITUTIONAL CLASS	DES MOINES, IA	12/14/2009	00572915-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 5	DES MOINES, IA	12/14/2009	00572914-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 4	DES MOINES, IA	12/14/2009	00572913-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 2	DES MOINES, IA	12/14/2009	00572911-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 3	DES MOINES, IA	12/14/2009	00572912-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 1	DES MOINES, IA	12/14/2009	00572910-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 9	MONUMENT, CO	12/14/2009	00572858-05	Pending-Cleared
Number of Investment Company Filings: 49				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ACTSLIKE LLC	OLATHE, KS	12/23/2009	00573374-07	Filed
ALLEN TECHNOLOGY CORP	MORGAN HILL, CA	12/23/2009	00573375-07	Filed
CHESTNUT PRODUCTION FUND 3 LP	RICHARDSON, TX	12/23/2009	00573364-07	Filed
DIGITILITI INC	ST PAUL, MN	12/23/2009	00573376-07	Filed
TECTURA CORP	REDWOOD CITY, CA	12/23/2009	00573377-07	Filed
2009 PRODUCTION & DRILLING PROGRAM LP	BOWLING GREEN, KY	12/22/2009	00573354-07	Filed
TANDE INVESTMENT CO LLC JUSTICE MICHAEL PROPECT	MIDLOTHIAN, TX	12/22/2009	00573355-07	Filed
FOX RIVER FINQANCIAL CORP	BURLINGTON, WI	12/21/2009	00573338-07	Filed
ORTHOPEDIATRICS CORP	WARSAW, IN	12/21/2009	00573336-07	Filed
SCOTTWOOD FUND LTD	GRAND CAYMAN KY1-1108,	12/21/2009	00573335-07	Filed
VADDA ENERGY CORP	LEWISVILLE, TX	12/21/2009	00573337-07	Filed
WALL STREET DIGEST IRA FUND LTD	SOMERS, NY	12/18/2009	00573318-07	Filed
EAST WHARTON 3 D PROSPECT	SAN ANTONIO, TX	12/17/2009	00573287-07	Filed
NEI 3 LLC	WAUKESHA, WI	12/17/2009	00573285-07	Filed
ZIEGLER EQUITY FUNDING GREENFIELDS LLC	MILWAUKEE, WI	12/17/2009	00573286-07	Filed
LONE STAR FUND 7 US LP	DALLAS, TX	12/16/2009	00573238-07	Filed
LONE STAR REAL ESTATE FUND 2 US LP	DALLAS, TX	12/16/2009	00573239-07	Filed
SAB EQUITY PARTNERSHIP 2 LP	SAN ANTONIO, TX	12/16/2009	00573235-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	12/16/2009	00573237-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	12/16/2009	00573234-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	12/16/2009	00573236-07	Filed
ABERNATHY GROUP GROWTH FUND LP	NEW YORK, NY	12/15/2009	00573218-07	Filed
BANKVEST INC	CASTLE ROCK, CO	12/15/2009	00573215-07	Filed
CHARTER COMMUNICATIONS INC	ST LOUIS, MO	12/15/2009	00573214-07	Filed
LATITUDE SOLUTIONS INC	BOCA RATON, FL	12/15/2009	00573212-07	Filed
MKP CREDIT LP	NEW YORK, NY	12/15/2009	00573217-07	Filed
MPF DEWAAY FUND 8 LLC	MORAGA, CA	12/15/2009	00573211-07	Filed
ZODIAC FUND	SENNINGERBERG L-2633,	12/15/2009	00573213-07	Filed
Number of Regulation D Notice Filings: 28				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Kennedy's International Franchising LLC / Kennedy's All-American Barber Club	Altamonte Springs, FL	12/24/2009	600017	Registered
EG SYSTEMS INC	MARYSVILLE, OH	12/23/2009	600022	Registered
GWB ENTERPRISES INC	EAU CLAIRE, WI	12/23/2009	600021	Registered
SEATTLES BEST COFFEE LLC	SEATTLE, WA	12/23/2009	600024	Registered
AMERISOURCEBERGEN DRUG CORP	CHESTERBROOK, PA	12/21/2009	600020	Registered
BEVERAGE FRANCHISE LLC	BILLINGS, MT	12/21/2009	600015	Registered
Keep Rockin' LLC / The Rock Wood Fired Pizza & Spirits	Auburn, WA	12/21/2009	600014	Registered
LEARNING EXPRESS INC	Devens, MA	12/21/2009	600013	Registered
MCALISTERS CORP	RIDGELAND, MS	12/18/2009	600007	Registered
PEAK FRANCHISING INC / Regional Director	ANAHEIM, CA	12/18/2009	600009	Registered
PEAK FRANCHISING INC / Unit / MAX MUSCLE	ANAHEIM, CA	12/18/2009	600008	Registered
Assisting Hands HomeCare, LLC	Nampa, ID	12/17/2009	600004	Registered
Pandora Franchising, LLC / Pandora	Columbia, MD	12/17/2009	600003	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HOUSE OF SPEED FRANCHISING LLC - REGIONAL DIRECTOR	SUGAR GROVE, IL	12/16/2009	600010	Registered
Pro Martial Arts Franchise Corp.	Berwyn, PA	12/16/2009	600011	Registered
SYSTM7FRANCHISING LLC	CHARLOTTE , VA	12/14/2009	572876	Registered
Number of Franchise Filings: 16				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	