

**WI Securities Law and Franchise Law Filings
for 12/7/2009 through 12/18/2009**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|-------------------------------------------------------------------------------------------------------|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|----------------------------------------------------------------------------------------------------|--------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| CHRISTIAN & MISSIONARY ALLIANCE OF ST CROIX FALLS | ST CROIX FALLS, WI | 12/18/2009 | 00573319-10 | Pending |
| Number of Exemption Filings: 1 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| TR FOR PROFESSIONAL MANAGERS SMEAD VALUE FUND INSTITUTIONAL CLASS | MILWAUKEE, WI | 12/10/2009 | 00572605-03 | Registered |
| Number of Investment Company Filings: 1 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|------------------------------------------------------------------------------------------------------------------------|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| NEI 3 LLC | WAUKESHA, WI | 12/17/2009 | 00573285-07 | Filed |
| ZIEGLER EQUITY FUNDING GREENFIELDS LLC | MILWAUKEE, WI | 12/17/2009 | 00573286-07 | Filed |
| BAIRD CAPITAL PARTNERS ASIA 1 LP | MILWAUKEE, WI | 12/8/2009 | 00572576-07 | Filed |
| SOIL & TOPOGRAPHY INFORMATION LLC | MADISON, WI | 12/8/2009 | 00572578-07 | Filed |
| TITAN SPINE LLC | MEQUON, WI | 12/7/2009 | 00572624-07 | Filed |
| Number of Regulation D Notice Filings: 5 | | | | |