

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/7/2009 through 12/18/2009**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELLS REAL ESTATE INVESTMENT TR 3 INC	NORCROSS, GA	12/8/2009	00572596-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRISTIAN & MISSIONARY ALLIANCE OF ST CROIX FALLS	ST CROIX FALLS, WI	12/18/2009	00573319-10	Pending
SUBURBAN CHRISTIAN CHURCH	CORVALLIS, OR	12/18/2009	00573320-10	Pending
LIGHTING SCIENCE GROUP CORP	SATELITE BEACH, FL	12/16/2009	00573240-08	Pending
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ASTON FUNDS ASTON FASCIANO SMALL CAP FUND CLASS N SHARES	CHICAGO, IL	12/17/2009	00573309-03	Pending-Cleared
FT 2236 EQUITY INCOME PORTFOLIO SERIES 24	WHEATON, IL	12/17/2009	00573280-05	Pending-Cleared
FT 2237 TECHNOLOGY SELECT PORTFOLIO SERIES 34	WHEATON, IL	12/17/2009	00573282-05	Pending-Cleared
FT 2238 GLOBAL SHIPPING PORTFOLIO SERIES 10	WHEATON, IL	12/17/2009	00573284-05	Pending-Cleared
FT 2278 MARKET STENGHT ALLOCATION PLUS PORTFOLIO SERIES	WHEATON, IL	12/17/2009	00573281-05	Pending-Cleared
FT 2281 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	12/17/2009	00573283-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 654 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 21	LISLE, IL	12/16/2009	00573233-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FIDELITY RUTLAND SQUARE TR 2 FIDELITY STRATEGIC ADVISERS CORE FUND	BOSTON, MA	12/15/2009	00573276-03	Pending-Cleared
JPMORGAN TR 1 JPMORGAN INCOME BUILDER FUND CLASS A	BOSTON, MA	12/15/2009	00573171-03	Registered
JPMORGAN TR 1 JPMORGAN INCOME BUILDER FUND CLASS C	BOSTON, MA	12/15/2009	00573172-03	Registered
JPMORGAN TR 1 JPMORGAN INCOME BUILDER FUND SELECT CLASS	BOSTON, MA	12/15/2009	00573173-03	Registered
VAN KAMPEN UNIT TR SERIES 934 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573179-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 EAFE SELECT 20 PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573180-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573175-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 MULTI STRATEGY SERIES EAFE EDVY 2010 1	OAKBROOK, IL	12/15/2009	00573174-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT 10 INDUSTRIAL PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573186-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT 5 INDUSTRIAL PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573178-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT S&P CORE PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573176-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 934 SELECT S&P INDUSTRIAL PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573177-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 937 S&P POWERPICKS PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573185-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 940 CLOSED END STRATEGY COHEN & STEERS MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 18	OAKBROOK, IL	12/15/2009	00573183-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 940 CLOSED END STRATEGY COHEN & STEERS MASTER PORTFOLIO SERIES 22	OAKBROOK, IL	12/15/2009	00573184-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 942 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573181-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 944 VAN KAMPEN EMERGING MARKETS PORTFOLIO 2010 1	OAKBROOK, IL	12/15/2009	00573182-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 12	MONUMENT, CO	12/14/2009	00572857-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND USFS FUNDS LTD DURATION GOVERNMENT FUND	BOSTON, MA	12/14/2009	00572903-03	Registered
BURNHAM INVESTORS TR BURNHAM FINANCIAL INDUSTRIES FUND CLASS I	NEW YORK, NY	12/14/2009	00572902-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 646 STRATEGIC EQUITY ALLOCATIONS TR SERIES 21	LISLE, IL	12/14/2009	00572855-05	Pending-Cleared
FT 2279 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 7	WHEATON, IL	12/14/2009	00572861-05	Pending-Cleared
FT 2280 SENIOR LOAN PLUS CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	12/14/2009	00572860-05	Pending-Cleared
HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 27	MONUMENT, CO	12/14/2009	00572856-05	Pending-Cleared
INSURED TX EX MUNICIPAL PORTFOLIO LONG TERM SERIES 11	MONUMENT, CO	12/14/2009	00572859-05	Pending-Cleared
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS R3 SHARES	CHICAGO, IL	12/14/2009	00572900-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS I SHARES	CHICAGO, IL	12/14/2009	00572901-03	Registered

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Firm Name	Location	Date Received	File Number	Status
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS A SHARES	CHICAGO, IL	12/14/2009	00572899-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL ALL CAP PLUS FUND CLASS C SHARES	CHICAGO, IL	12/14/2009	00572898-03	Registered
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 1	DES MOINES, IA	12/14/2009	00572904-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 2	DES MOINES, IA	12/14/2009	00572905-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 3	DES MOINES, IA	12/14/2009	00572906-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 4	DES MOINES, IA	12/14/2009	00572907-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND CLASS R 5	DES MOINES, IA	12/14/2009	00572908-03	Pending-Cleared
PRINCIPAL FUNDS INC BOND MARKET INDEX FUND INSTITUTIONAL CLASS	DES MOINES, IA	12/14/2009	00572909-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND INSTITUTIONAL CLASS	DES MOINES, IA	12/14/2009	00572915-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 3	DES MOINES, IA	12/14/2009	00572912-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 1	DES MOINES, IA	12/14/2009	00572910-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 5	DES MOINES, IA	12/14/2009	00572914-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 4	DES MOINES, IA	12/14/2009	00572913-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 2	DES MOINES, IA	12/14/2009	00572911-03	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 9	MONUMENT, CO	12/14/2009	00572858-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 298 BUILD AMERICA BONDS PORTFOLIO SERIES 2	WHEATON, IL	12/11/2009	00572809-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 7	MONUMENT, CO	12/11/2009	00572807-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 606 CLAYMORE GUGGENHEIM SHORT INTERMEDIATE INVESTMENT GRADE CORPORATE TR	LISLE, IL	12/11/2009	00572805-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 647 DELTA GLOBAL INTERNATIONAL INFRASTRUCTURE PORTFOLIO SERIES 4	LISLE, IL	12/11/2009	00572804-05	Pending-Cleared
DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 1	MONUMENT, CO	12/11/2009	00572806-05	Pending-Cleared
FT 2223 GREATER CHINA PORTFOLIO SERIES 20	WHEATON, IL	12/11/2009	00572810-05	Pending-Cleared
FT 2232 GLOBAL HARD ASSETS PORTFOLIO SERIES 2	WHEATON, IL	12/11/2009	00572812-05	Pending-Cleared
FT 2267 AGGRESSIVE PREFERRED SECURITIES PORTFOLIO SERIES 7	WHEATON, IL	12/11/2009	00572814-05	Pending-Cleared
FT 2268 CORPORATE HIGH INCOME PORTFOLIO SERIES	WHEATON, IL	12/11/2009	00572811-05	Pending-Cleared
FT 2271 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 2	WHEATON, IL	12/11/2009	00572813-05	Pending-Cleared
PERONI GROWTH PORTFOLIO WINTER 2010 SERIES	MONUMENT, CO	12/11/2009	00572808-05	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS A	BOSTON, MA	12/10/2009	00572793-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS ADV	BOSTON, MA	12/10/2009	00572792-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS I	BOSTON, MA	12/10/2009	00572794-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEBERGER BERMAN FLOATING RATE INCOME FUND CLASS A	NEW YORK, NY	12/10/2009	00572606-03	Registered

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NEUBERGER BERMAN INCOME FUNDS NEBERGER BERMAN FLOATING RATE INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/10/2009	00572608-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEBERGER BERMAN FLOATING RATE INCOME FUND CLASS C	NEW YORK, NY	12/10/2009	00572607-03	Registered
TR FOR PROFESSIONAL MANAGERS SMEAD VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/10/2009	00572605-03	Registered
VAN KAMPEN UNIT TR SERIES 935 CLOSED END STRATEGY COHEN & STEERS COVERED CALL INCOME PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572621-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 935 CLOSED END STRATEGY COHEN & STEERS VALUE EQUITY & INCOME PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572622-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 BIRIYNI EQUITY ELECT TR SELECT 26	OAKBROOK, IL	12/10/2009	00572620-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 ENHANCED INDEX STRATEGIES PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572618-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 LARGE CAP GROWTH STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572617-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 LARGE CAP VALUE STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572619-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 MULTI STRATEGY SERIES CORE EQUITY ALLOCATIONA PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572616-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 938 S&P DIVIDEND GROWTH OPPORTUNITIES TR SERIES 19	OAKBROOK, IL	12/10/2009	00572615-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 939 CLOSED END STRATGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 23	OAKBROOK, IL	12/10/2009	00572614-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 941 DIVIDEND INCOME & VALUE PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572613-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 943 VAN KAMPEN PRECIOUS METAL & MINING PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572612-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 222 BUILD AMERICA BONDS INCOME TR SERIES 21	OAKBROOK, IL	12/10/2009	00572611-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 223 BUILD AMERICA BONDS INCOME TR SERIES 22	OAKBROOK, IL	12/10/2009	00572610-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 224 BUILD AMERICA BONDS INCOME TR SERIES 23	OAKBROOK, IL	12/10/2009	00572609-05	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC ALLOCATION FUND INSTITUTIONAL SHARES	CHICAGO, IL	12/9/2009	00572853-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC ALLOCATION FUND CLASS IR SHARES	CHICAGO, IL	12/9/2009	00572852-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC ALLOCATION FUND CLASS A SHARES	CHICAGO, IL	12/9/2009	00572850-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC ALLOCATION FUND CLASS C SHARES	CHICAGO, IL	12/9/2009	00572851-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC ALLOCATION FUND CLASS R SHARES	CHICAGO, IL	12/9/2009	00572854-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT INCOME FUND CLASS I	JERSEY CITY, NJ	12/9/2009	00572802-03	Registered

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Firm Name	Location	Date Received	File Number	Status
LORD ABBETT INVESTMENT TR LORD ABBETT SHORT DURATION INCOME FUND CLASS I	JERSEY CITY, NJ	12/9/2009	00572801-03	Registered
LORD ABBETT RESEARCH FUND INC LORD ABBETT CAPITAL STRUCTURE FUND CLASS I	JERSEY CITY, NJ	12/9/2009	00572800-03	Registered
LORD ABBETT RESEARCH FUND INC LORD ABBETT CAPITAL STRUCTURE FUND CLASS P	JERSEY CITY, NJ	12/9/2009	00572803-03	Registered
CATALYST FUNDS COMPASS EMP LON TERM GROWTH FUND CLASS A	WILLOW GROVE, PA	12/8/2009	00572575-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 855 INSURED MUNICIPALS INCOME TR SERIES 590	OAKBROOK, IL	12/8/2009	00572595-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 856 INSURED MUNICIPALS INCOME TR SERIES 591	OAKBROOK, IL	12/8/2009	00572594-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 857 INVESTMENT GRADE MUNICIPAL TR SERIES 105	OAKBROOK, IL	12/8/2009	00572593-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 858 QUALITY TX EX TR SERIES 123	OAKBROOK, IL	12/8/2009	00572592-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 860 QUALITY MUNICIPALS INCOME TR 18TH LTD MATURITY SERIES	OAKBROOK, IL	12/8/2009	00572590-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 860 QUALITY MUNICIPALS INCOME TR 17TH LTD MATURITY SERIES	OAKBROOK, IL	12/8/2009	00572591-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 225 LONG TERM CORPROATE INVESTMENT GRADE TR SERIES 62	OAKBROOK, IL	12/8/2009	00572588-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 226 LONG TERM CORPROATE INVESTMENT GRADE TR SERIES 63	OAKBROOK, IL	12/8/2009	00572589-05	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS INSTITUTIONAL FUND INSTITUTIONAL SERVICE CLASS SHARES	COLUMBUS, OH	12/7/2009	00572790-03	Registered
BARON SELECT FUNDS BARON REAL ESTATE FUND INSTITUTIONAL SHARES	NEW YORK, NY	12/7/2009	00572515-03	Registered
BARON SELECT FUNDS BARON REAL ESTATE FUND RETAIL SHARES	NEW YORK, NY	12/7/2009	00572516-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 639 CLAYMORE GUGGENHEIM INTERMEIDATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	12/7/2009	00572517-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 CLOSED END COVERED CALL & INCOME PORTFOLIO SERIES 17	LISLE, IL	12/7/2009	00572519-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 CLOSED END PREFERRED & INCOME PORTFOLIO SERIES 13	LISLE, IL	12/7/2009	00572521-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 23	LISLE, IL	12/7/2009	00572518-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 STRATEGIC INCOME PORTFOLIO SERIES 39	LISLE, IL	12/7/2009	00572520-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 648 INCOME CLOSED END & TREASURY ALLOCATION PORTFOLIO SERIES 6	LISLE, IL	12/7/2009	00572522-05	Pending-Cleared

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CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 649 BNY MELLON BRAZIL RUSSIA INDIA & CHINA PORTFOLIO SERIES 8	LISLE, IL	12/7/2009	00572524-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 649 DELTA GLOBAL HARD ASSET PORTFOLIO SERIES 3	LISLE, IL	12/7/2009	00572523-05	Pending-Cleared
FT 2226 GLOBAL AGRICULTURE PORTFOLIO SERIES 11	WHEATON, IL	12/7/2009	00572526-05	Pending-Cleared
FT 2266 WEAK DOLLAR PLUS PORTFOLIO SERIES	WHEATON, IL	12/7/2009	00572525-05	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR SMALL CAP CORE FUND CLASS Y	CINCINNATI, OH	12/7/2009	00572535-03	Registered
Number of Investment Company Filings: 113				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WALL STREET DIGEST IRA FUND LTD	SOMERS, NY	12/18/2009	00573318-07	Filed
EAST WHARTON 3 D PROSPECT	SAN ANTONIO, TX	12/17/2009	00573287-07	Filed
NEI 3 LLC	WAUKESHA, WI	12/17/2009	00573285-07	Filed
ZIEGLER EQUITY FUNDING GREENFIELDS LLC	MILWAUKEE, WI	12/17/2009	00573286-07	Filed
LONE STAR FUND 7 US LP	DALLAS, TX	12/16/2009	00573238-07	Filed
LONE STAR REAL ESTATE FUND 2 US LP	DALLAS, TX	12/16/2009	00573239-07	Filed
SAB EQUITY PARTNERSHIP 2 LP	SAN ANTONIO, TX	12/16/2009	00573235-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	12/16/2009	00573237-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	12/16/2009	00573234-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	12/16/2009	00573236-07	Filed
ABERNATHY GROUP GROWTH FUND LP	NEW YORK, NY	12/15/2009	00573218-07	Filed

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BANKVEST INC	CASTLE ROCK, CO	12/15/2009	00573215-07	Filed
CHARTER COMMUNICATIONS INC	ST LOUIS, MO	12/15/2009	00573214-07	Filed
LATTITUDE SOLUTIONS INC	BOCA RATON, FL	12/15/2009	00573212-07	Filed
MKP CREDIT LP	NEW YORK, NY	12/15/2009	00573217-07	Filed
MPF DEWAAY FUND 8 LLC	MORAGA, CA	12/15/2009	00573211-07	Filed
ZODIAC FUND	SENNINGERBERG L-2633,	12/15/2009	00573213-07	Filed
BAM LABS INC	SAN JOSE, CA	12/11/2009	00572815-07	Filed
IBG LLC	GREENWICH, CT	12/11/2009	00572862-07	Filed
ADVANTAGE ADVISERS XANTHUS FUND LLC	NEW YORK, NY	12/10/2009	00572626-07	Filed
BLUE RIDGE OPPORTUNITY FUND 2 LLC	BOWLING GREEN, KY	12/10/2009	00572623-07	Filed
GRESHAM CHINA OPPORTUNITIES FUND 1 LP	CHICAGO, IL	12/10/2009	00572625-07	Filed
H&B HEDGE FUND LLC	ATLANTA, GA	12/10/2009	00572627-07	Filed
ARGYLE ENERGY 2009 5 FUHRMAN 1 TWIN LP	BOWLING GREEN, KY	12/8/2009	00572579-07	Filed
BAIRD CAPITAL PARTNERS ASIA 1 LP	MILWAUKEE, WI	12/8/2009	00572576-07	Filed
COMPAGNIE DE SAINT GOBAIN	LA DEFENSE CEDEX,	12/8/2009	00572580-07	Filed
FORESTER PARTNERS LP	GREENWICH, CT	12/8/2009	00572577-07	Filed
SOIL & TOPOGRAPHY INFORMATION LLC	MADISON, WI	12/8/2009	00572578-07	Filed
AEI WIRELESS 2 LLC	CHICAGO, IL	12/7/2009	00572536-07	Filed
BALESTRA SPECTRUM PARTNERS LLC	NEW YORK, NY	12/7/2009	00572527-07	Filed
TITAN SPINE LLC	MEQUON, WI	12/7/2009	00572624-07	Filed
Number of Regulation D Notice Filings: 31				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MCALISTERS CORP	RIDGELAND, MS	12/18/2009	600007	Registered
Assisting Hands HomeCare, LLC	Nampa, ID	12/17/2009	600004	Registered
Pandora Franchising, LLC / Pandora	Columbia, MD	12/17/2009	600003	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/7/2009 through 12/18/2009**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SYSTM7FRANCHISING LLC	CHARLOTTE , VA	12/14/2009	572876	Registered
AGILE PURSUITS FRANCHISING INC	CINCINNATI , OH	12/10/2009	572825	Registered
BRINKER INTERNATIONAL INC / ON THE BORDER	DALLAS , TX	12/10/2009	572710	Registered
CARNEGIE DALE & ASSOC INC	HAUPPAUGE , NY	12/10/2009	572823	Registered
PREMIER RENTAL PURCHASE INC	WILLIAMSBURG , VA	12/10/2009	572824	Registered
GOLFTEC FRANCHISING LP	CENTENNIAL , CO	12/7/2009	572534	Registered
NY PIZZERIA INC	HOUSTON , TX	12/7/2009	572533	Registered
Number of Franchise Filings: 10				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	