

**WI Securities Law and Franchise Law Filings  
for 11/30/2009 through 12/11/2009**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DELAVAN BANCSHARES INC	DELAVAN, WI	12/2/2009	00572159-14	Filed
Number of Exemption Filings: 1				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TR FOR PROFESSIONAL MANAGERS SMEAD VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/10/2009	00572605-03	Registered
KEELEY FUNDS INC KEELY SMALL CAP DIVIDEND VALUE FUND CLASS A	MILWAUKEE, WI	12/1/2009	00572020-03	Registered
KEELEY FUNDS INC KEELY SMALL CAP DIVIDEND VALUE FUND CLASS I	MILWAUKEE, WI	12/1/2009	00572021-03	Registered
Number of Investment Company Filings: 3				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BAIRD CAPITAL PARTNERS ASIA 1 LP	MILWAUKEE, WI	12/8/2009	00572576-07	Filed
SOIL & TOPOGRAPHY INFORMATION LLC	MADISON, WI	12/8/2009	00572578-07	Filed
TITAN SPINE LLC	MEQUON, WI	12/7/2009	00572624-07	Filed
Number of Regulation D Notice Filings: 3				

**WI Securities Law and Franchise Law Filings  
for 11/30/2009 through 12/11/2009**

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Franchise Filings: 0				