

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/30/2009 through 12/11/2009**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELLS REAL ESTATE INVESTMENT TR 3 INC	NORCROSS, GA	12/8/2009	00572596-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DELAVAN BANCSHARES INC	DELAVAN, WI	12/2/2009	00572159-14	Filed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 298 BUILD AMERICA BONDS PORTFOLIO SERIES 2	WHEATON, IL	12/11/2009	00572809-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 7	MONUMENT, CO	12/11/2009	00572807-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 606 CLAYMORE GUGGENHEIM SHORT INTERMEDIATE INVESTMENT GRADE CORPORATE TR	LISLE, IL	12/11/2009	00572805-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 647 DELTA GLOBAL INTERNATIONAL INFRASTRUCTURE PORTFOLIO SERIES 4	LISLE, IL	12/11/2009	00572804-05	Pending-Cleared
DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 1	MONUMENT, CO	12/11/2009	00572806-05	Pending-Cleared
FT 2223 GREATER CHINA PORTFOLIO SERIES 20	WHEATON, IL	12/11/2009	00572810-05	Pending-Cleared
FT 2232 GLOBAL HARD ASSETS PORTFOLIO SERIES 2	WHEATON, IL	12/11/2009	00572812-05	Pending-Cleared
FT 2267 AGGRESSIVE PREFERRED SECURITIES PORTFOLIO SERIES 7	WHEATON, IL	12/11/2009	00572814-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2268 CORPORATE HIGH INCOME PORTFOLIO SERIES	WHEATON, IL	12/11/2009	00572811-05	Pending-Cleared
FT 2271 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 2	WHEATON, IL	12/11/2009	00572813-05	Pending-Cleared
PERONI GROWTH PORTFOLIO WINTER 2010 SERIES	MONUMENT, CO	12/11/2009	00572808-05	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS A	BOSTON, MA	12/10/2009	00572793-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS ADV	BOSTON, MA	12/10/2009	00572792-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN SMALL CO FUND CLASS I	BOSTON, MA	12/10/2009	00572794-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEBERGER BERMAN FLOATING RATE INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/10/2009	00572608-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEBERGER BERMAN FLOATING RATE INCOME FUND CLASS A	NEW YORK, NY	12/10/2009	00572606-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEBERGER BERMAN FLOATING RATE INCOME FUND CLASS C	NEW YORK, NY	12/10/2009	00572607-03	Registered
TR FOR PROFESSIONAL MANAGERS SMEAD VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/10/2009	00572605-03	Registered
VAN KAMPEN UNIT TR SERIES 935 CLOSED END STRATEGY COHEN & STEERS COVERED CALL INCOME PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572621-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 935 CLOSED END STRATEGY COHEN & STEERS VALUE EQUITY & INCOME PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572622-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 BIRIYNI EQUITY ELECT TR SELECT 26	OAKBROOK, IL	12/10/2009	00572620-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 936 ENHANCED INDEX STRATEGIES PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572618-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 LARGE CAP GROWTH STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572617-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 LARGE CAP VALUE STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572619-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 936 MULTI STRATEGY SERIES CORE EQUITY ALLOCATIONA PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572616-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 938 S&P DIVIDEND GROWTH OPPORTUNITIES TR SERIES 19	OAKBROOK, IL	12/10/2009	00572615-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 939 CLOSED END STRATGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 23	OAKBROOK, IL	12/10/2009	00572614-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 941 DIVIDEND INCOME & VALUE PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572613-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 943 VAN KAMPEN PRECIOUS METAL & MINING PORTFOLIO 2010 1	OAKBROOK, IL	12/10/2009	00572612-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 222 BUILD AMERICA BONDS INCOME TR SERIES 21	OAKBROOK, IL	12/10/2009	00572611-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 223 BUILD AMERICA BONDS INCOME TR SERIES 22	OAKBROOK, IL	12/10/2009	00572610-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 224 BUILD AMERICA BONDS INCOME TR SERIES 23	OAKBROOK, IL	12/10/2009	00572609-05	Pending-Cleared
LORD ABBETT INVESTMENT TR LORD ABBETT INCOME FUND CLASS I	JERSEY CITY, NJ	12/9/2009	00572802-03	Registered

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Firm Name	Location	Date Received	File Number	Status
LORD ABBETT INVESTMENT TR LORD ABBETT SHORT DURATION INCOME FUND CLASS I	JERSEY CITY, NJ	12/9/2009	00572801-03	Registered
LORD ABBETT RESEARCH FUND INC LORD ABBETT CAPITAL STRUCTURE FUND CLASS P	JERSEY CITY, NJ	12/9/2009	00572803-03	Registered
LORD ABBETT RESEARCH FUND INC LORD ABBETT CAPITAL STRUCTURE FUND CLASS I	JERSEY CITY, NJ	12/9/2009	00572800-03	Registered
CATALYST FUNDS COMPASS EMP LON TERM GROWTH FUND CLASS A	WILLOW GROVE, PA	12/8/2009	00572575-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 855 INSURED MUNICIPALS INCOME TR SERIES 590	OAKBROOK, IL	12/8/2009	00572595-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 856 INSURED MUNICIPALS INCOME TR SERIES 591	OAKBROOK, IL	12/8/2009	00572594-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 857 INVESTMENT GRADE MUNICIPAL TR SERIES 105	OAKBROOK, IL	12/8/2009	00572593-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 858 QUALITY TX EX TR SERIES 123	OAKBROOK, IL	12/8/2009	00572592-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 860 QUALITY MUNICIPALS INCOME TR 18TH LTD MATURITY SERIES	OAKBROOK, IL	12/8/2009	00572590-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 860 QUALITY MUNICIPALS INCOME TR 17TH LTD MATURITY SERIES	OAKBROOK, IL	12/8/2009	00572591-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 225 LONG TERM CORPROATE INVESTMENT GRADE TR SERIES 62	OAKBROOK, IL	12/8/2009	00572588-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 226 LONG TERM CORPROATE INVESTMENT GRADE TR SERIES 63	OAKBROOK, IL	12/8/2009	00572589-05	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS INSTITUTIONAL FUND INSTITUTIONAL SERVICE CLASS SHARES	COLUMBUS, OH	12/7/2009	00572790-03	Registered
BARON SELECT FUNDS BARON REAL ESTATE FUND INSTITUTIONAL SHARES	NEW YORK, NY	12/7/2009	00572515-03	Registered
BARON SELECT FUNDS BARON REAL ESTATE FUND RETAIL SHARES	NEW YORK, NY	12/7/2009	00572516-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 639 CLAYMORE GUGGENHEIM INTERMEIDATE INVESTMENT GRADE CORPORATE TR SERIES	LISLE, IL	12/7/2009	00572517-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 CLOSED END COVERED CALL & INCOME PORTFOLIO SERIES 17	LISLE, IL	12/7/2009	00572519-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 CLOSED END PREFERRED & INCOME PORTFOLIO SERIES 13	LISLE, IL	12/7/2009	00572521-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 23	LISLE, IL	12/7/2009	00572518-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 644 STRATEGIC INCOME PORTFOLIO SERIES 39	LISLE, IL	12/7/2009	00572520-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 648 INCOME CLOSED END & TREASURY ALLOCATION PORTFOLIO SERIES 6	LISLE, IL	12/7/2009	00572522-05	Pending-Cleared

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CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 649 BNY MELLON BRAZIL RUSSIA INDIA & CHINA PORTFOLIO SERIES 8	LISLE, IL	12/7/2009	00572524-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 649 DELTA GLOBAL HARD ASSET PORTFOLIO SERIES 3	LISLE, IL	12/7/2009	00572523-05	Pending-Cleared
FT 2226 GLOBAL AGRICULTURE PORTFOLIO SERIES 11	WHEATON, IL	12/7/2009	00572526-05	Pending-Cleared
FT 2266 WEAK DOLLAR PLUS PORTFOLIO SERIES	WHEATON, IL	12/7/2009	00572525-05	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR SMALL CAP CORE FUND CLASS Y	CINCINNATI, OH	12/7/2009	00572535-03	Registered
EATON VANCE GROWTH TR EATON VANCE ATLANTA CAPITAL FOCUSED GROWTH FUND CLASS I SHARES	BOSTON, MA	12/4/2009	00572514-03	Registered
FT 2223 GLOBAL DIVIDEND PORTFOLIO SERIES 19	WHEATON, IL	12/4/2009	00572496-05	Pending-Cleared
FT 2227 GLOBAL COMMODITIES PORTFOLIO SERIES 10	WHEATON, IL	12/4/2009	00572497-05	Pending-Cleared
FT 2234 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	12/4/2009	00572495-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN INTERNATIONAL CURRENCY INCOME FUND CLASS R5	BOSTON, MA	12/4/2009	00572494-03	Registered
VALUED ADVISERS TR TEAM ASSET STRATEGY FUND	INDIANAPOLIS, IN	12/4/2009	00572512-03	Pending-Cleared
HSBC INVESTOR FUNDS HSBC INVESTOR CA TAX FREE MONEY MARKET FUND CLASS E SHARES	COLUMBUS, OH	12/3/2009	00572487-03	Registered
HSBC INVESTOR FUNDS HSBC INVESTOR NY TAX FREE MONEY MARKET FUND CLASS E SHARES	COLUMBUS, OH	12/3/2009	00572488-03	Registered
HSBC INVESTOR FUNDS HSBC INVESTOR PRIME MONEY MARKET FUND CLASS E SHARES	COLUMBUS, OH	12/3/2009	00572489-03	Registered

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HSBC INVESTOR FUNDS HSBC INVESTOR TAX FREE MONEY MARKET FUND CLASS E SHARES	COLUMBUS, OH	12/3/2009	00572490-03	Registered
HSBC INVESTOR FUNDS HSBC INVESTOR US GOVERNMENT MONEY MARKET FUND CLASS E SHARES	COLUMBUS, OH	12/3/2009	00572491-03	Registered
HSBC INVESTOR FUNDS HSBC INVESTOR US TREASURY MONEY MARKET FUND CLASS E SHARES	COLUMBUS, OH	12/3/2009	00572492-03	Registered
TURNER FUNDS TURNER SPECTRUM FUND CLASS C SHARES	BERWYN, PA	12/3/2009	00572493-03	Registered
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN MULTI CAP OPPORTUNITIES FUND CLASS A	NEW YORK, NY	12/2/2009	00572152-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN MULTI CAP OPPORTUNITIES FUND INSTITUTIONAL CLASS	NEW YORK,	12/2/2009	00572154-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN MULTI CAP OPPORTUNITIES FUND CLASS C	NEW YORK, NY	12/2/2009	00572153-03	Pending-Cleared
THORNBERG INVESTMENT TR THORNBURG DEVELOPING WORLD FUND CLASS A	SANTE FE, NM	12/2/2009	00572155-03	Pending-Cleared
THORNBERG INVESTMENT TR THORNBURG DEVELOPING WORLD FUND CLASS I	SANTE FE, NM	12/2/2009	00572157-03	Pending-Cleared
THORNBERG INVESTMENT TR THORNBURG DEVELOPING WORLD FUND CLASS C	SANTE FE, NM	12/2/2009	00572156-03	Pending-Cleared
EATON VANCE MUTUAL FUNDS TR EATON VANCE CASH MANAGEMENT FUND CLASS C SHARES	BOSTON, MA	12/1/2009	00572151-03	Registered
EATON VANCE MUTUAL FUNDS TR EATON VANCE CASH MANAGEMENT FUND CLASS B SHARES	BOSTON, MA	12/1/2009	00572150-03	Registered
FT 2220 ETF GROWTH & INCOME DEC 09	WHEATON, IL	12/1/2009	00572027-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2222 DIVIDEND STRENGTH PORTFOLIO SERIES 4	WHEATON, IL	12/1/2009	00572026-05	Pending-Cleared
FT 2225 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 7	WHEATON, IL	12/1/2009	00572025-05	Pending-Cleared
FT 2228 NATURAL GAS PORTFOLIO SERIES 4	WHEATON, IL	12/1/2009	00572024-05	Pending-Cleared
FT 2249 MULTI SECTOR OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	12/1/2009	00572023-05	Pending-Cleared
FT 2265 MEGA CAP PORTFOLIO SERIES 12	WHEATON, IL	12/1/2009	00572022-05	Pending-Cleared
KEELEY FUNDS INC KEELY SMALL CAP DIVIDEND VALUE FUND CLASS A	MILWAUKEE, WI	12/1/2009	00572020-03	Registered
KEELEY FUNDS INC KEELY SMALL CAP DIVIDEND VALUE FUND CLASS I	MILWAUKEE, WI	12/1/2009	00572021-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SYMPHONY LARGE CAP GROWTH FUND CLASS R3 SHARES	CHICAGO, IL	12/1/2009	00572149-03	Registered
ADVISORS INNER CIRCLE FUND USFS FUNDS TACTICAL ASSET ALLOCATION FUND	BOSTON, MA	11/30/2009	00572432-03	Registered
DREYFUS INVESTMENT FUNDS DREYFUS STANDISH GLOBAL FIXED INCOME FUND CLASS C	NEW YORK, NY	11/30/2009	00572453-03	Registered
DREYFUS INVESTMENT FUNDS DREYFUS STANDISH GLOBAL FIXED INCOME FUND CLASS A	NEW YORK, NY	11/30/2009	00572452-03	Registered
GMO TR GMO FLEXIBLE EQUITIES FUND CLASS 3	BOSTON, MA	11/30/2009	00572433-03	Registered
GMO TR GMO FLEXIBLE EQUITIES FUND CLASS 6	BOSTON, MA	11/30/2009	00572434-03	Registered
HIGHMARK FUNDS HIGHMARK NATIONAL INTERMEDIATE TAX FREE BOND FUND CLASS C SHARES	SAN FRANCISCO, CA	11/30/2009	00572454-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN TRADEWINDS GLOBAL RESOURCES FUND CLASS R3 SHARES	CHICAGO, IL	11/30/2009	00572431-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
TOUCHSTONE FUNDS GROUP TR SMALL CAP CORE FUND CLASS C	CINCINNATI, OH	11/30/2009	00572165-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA AEGON HIGH YIELD BOND CLASS I2 SHARES	ST. PETERSBURG, FL	11/30/2009	00572441-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA AEGON HIGH YIELD BOND CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572439-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION CONSERVATIVE PORTFOLIO CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572442-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION GROWTH PORTFOLIO CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572446-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION MODERATE PORTFOLIO CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572443-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION MODERATE GROWTH PORTFOLIO CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572449-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA BALANCED CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572435-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA DIVERSIFIED EQUITY CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572450-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA EQUITY CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572437-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA FLEXIBLE INCOME CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572436-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA FOCUS CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572440-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA GROWTH OPPORTUNITIES CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572438-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
TRANSAMERICA FUNDS TRANSAMERICA MONEY MARKET CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572448-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA MULTI MANAGER ALTERNATIVE STRATEGIES PORTFOLIO CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572445-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA MULTI MANAGER INTERNATIONAL PORTFOLIO CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572444-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA SHORT TERM BOND CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572447-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA SMALL MID CAP VALUE CLASS I SHARES	ST. PETERSBURG, FL	11/30/2009	00572451-03	Pending-Cleared
Number of Investment Company Filings: 114				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAM LABS INC	SAN JOSE, CA	12/11/2009	00572815-07	Filed
ADVANTAGE ADVISERS XANTHUS FUND LLC	NEW YORK, NY	12/10/2009	00572626-07	Filed
BLUE RIDGE OPPORTUNITY FUND 2 LLC	BOWLING GREEN, KY	12/10/2009	00572623-07	Filed
GRESHAM CHINA OPPORTUNITIES FUND 1 LP	CHICAGO, IL	12/10/2009	00572625-07	Filed
H&B HEDGE FUND LLC	STLANTA, GA	12/10/2009	00572627-07	Filed
ARGYLE ENERGY 2009 5 FUHRMAN 1 TWIN LP	BOWLING GREEN, KY	12/8/2009	00572579-07	Filed
BAIRD CAPITAL PARTNERS ASIA 1 LP	MILWAUKEE, WI	12/8/2009	00572576-07	Filed
COMPAGNIE DE SAINT GOBAIN	LA DEFENSE CEDEX,	12/8/2009	00572580-07	Filed
FORESTER PARTNERS LP	GREENWICH, CT	12/8/2009	00572577-07	Filed
SOIL & TOPOGRAPHY INFORMATION LLC	MADISON, WI	12/8/2009	00572578-07	Filed
AEI WIRELESS 2 LLC	CHICAGO, IL	12/7/2009	00572536-07	Filed
BALESTRA SPECTRUM PARTNERS LLC	NEW YORK, NY	12/7/2009	00572527-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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TITAN SPINE LLC	MEQUON, WI	12/7/2009	00572624-07	Filed
BRUCHSIDE FUND 2 LP	EMMESTBURG, IA	12/4/2009	00572500-07	Filed
QUANTITATIVE GLOBAL 3 X FUND LLC	CHARLOTTSVILLE, VA	12/4/2009	00572499-07	Filed
WALTON SUNSHINE INDUSTRIAL LP	SCOTTSDALE, AZ	12/4/2009	00572498-07	Filed
LAUREL BIOCOSPOSITE LLC	LAUREL, NE	12/3/2009	00572456-07	Filed
ORE HILL SLV 1 LTD	GRAND CAYMAN KY1-1003,	12/3/2009	00572455-07	Filed
ZOUNDS HEARING INC	PHOENIX, AZ	12/2/2009	00572158-07	Filed
RACE BROADWAY LLC	NEW YORK, NY	12/1/2009	00572028-07	Filed
ROYALE ENERGY INC	SAN DIEGO, CA	12/1/2009	00572029-07	Filed
KING CONSOLIDATED INC	DALLAS, TX	11/30/2009	00571842-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AGILE PURSUITS FRANCHISING INC	CINCINNATI, OH	12/10/2009	00572825-71	Registered
BRINKER INTERNATIONAL INC	DALLAS, TX	12/10/2009	00484861-71	Registered
CARNEGIE DALE & ASSOC INC	HAUPPAUGE, NY	12/10/2009	00546755-71	Registered
PREMIER RENTAL PURCHASE INC	WILLIAMSBURG, VA	12/10/2009	00538305-71	Registered
GOLFTEC FRANCHISING LP	CENTENNIAL, CO	12/7/2009	00572534-71	Registered
NY PIZZERIA INC	HOUSTON, TX	12/7/2009	00572533-71	Registered
CHICAGO BEEF INC	TINLEY PARK, IL	12/4/2009	00446689-71	Registered
STADIUM WRAP AMERICA LLC	JACKSON, MS	12/4/2009	00572504-71	Registered
YOUNG MASTERS LLC	DULUTH, GA	12/4/2009	00540725-71	Registered
ATLANTA BREAD CO INTERNATIONAL INC	SMYRNA, GA	12/2/2009	00526567-71	Registered
HYATT FRANCHISING LLC	CHICAGO, IL	11/30/2009	00572178-71	Registered
LEISURE SYSTEMS INC	MILFORD, OH	11/30/2009	00571867-71	Registered
MISS UNIVERSE LP LLLP	NEW YORK, NY	11/30/2009	00527424-71	Registered
MISS UNIVERSE LP LLLP	NEW YORK, NY	11/30/2009	00527440-71	Registered
PROTEGE SPORTS FRANCHISE LLC	SCOTTSDALE, AZ	11/30/2009	00572179-71	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/30/2009 through 12/11/2009**

Franchise Law Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
US LAWNS INC	ORLANDO, FL	11/30/2009	00533325-71	Registered
Number of Franchise Filings: 16				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	