

**WI Securities Law and Franchise Law Filings
for 11/23/2009 through 12/4/2009**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DELAVAN BANCSHARES INC	DELAVAN, WI	12/2/2009	00572159-14	Filed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
KEELEY FUNDS INC KEELY SMALL CAP DIVIDEND VALUE FUND CLASS A	MILWAUKEE, WI	12/1/2009	00572020-03	Registered
KEELEY FUNDS INC KEELY SMALL CAP DIVIDEND VALUE FUND CLASS I	MILWAUKEE, WI	12/1/2009	00572021-03	Registered
INVESTMENT MANAGERS SERIES TR ADVISORY RESEARCH ALL CAP VALUE FUND	MILWAUKEE, WI	11/23/2009	00569804-03	Registered
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Franchise Filings: 0				