

**WI Securities Law and Franchise Law Filings
for 11/16/2009 through 11/27/2009**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR ADVISORY RESEARCH ALL CAP VALUE FUND	MILWAUKEE, WI	11/23/2009	00569804-03	Registered
TR FOR PROFESSIONAL MANAGERS SCHOONER GROWTH & INCOME FUND CLASS A	MILWAUKEE, WI	11/16/2009	00569179-03	Pending-Cleared
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WI BANCSHARES INC	KENOSHA, WI	11/20/2009	00569622-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BADGER PAINTING LLC	MADISON, WI	11/20/2009	00540335-71	Registered
SMAP ON TOOLS CO LLC	KENOSHA, WI	11/18/2009	00569475-71	Registered
Number of Franchise Filings: 2				