

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/16/2009 through 11/27/2009**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN REALTY CAPITAL NY RECOVERY REIT INC	NEW YORK, NY	11/24/2009	00569977-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CASCADE CHRISTIAN SCHOOLS	PAYALLUP, WA	11/18/2009	00569466-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 827 VAN KAMPEN ETF ALLOCATION PORTFOLIO SERIES 1	OAKBROOK, IL	11/24/2009	00569964-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 220 BUILD AMERICA BONDS INCOME TR SERIES 19	OAKBROOK, IL	11/24/2009	00569965-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 221 BUILD AMERICA BONDS INCOME TR SERIES 20	OAKBROOK, IL	11/24/2009	00569966-05	Pending-Cleared
CATALYST FUNDS LISTED PRIVATE EQUITY PLUS FUND CLASS C	WILLOW GROVE, PA	11/23/2009	00569803-03	Registered
FT 2179 INCOME ALLOCATIONA LOSED END DEC 09	WHEATON, IL	11/23/2009	00569809-05	Pending-Cleared
FT 2180 DOW TARGET 10 DEC 09 TERM 2 28 11	WHEATON, IL	11/23/2009	00569815-05	Pending-Cleared
FT 2180 DOW TARGET DVD DEC 09 TERM 2 28 11	WHEATON, IL	11/23/2009	00569814-05	Pending-Cleared
FT 2180 TARGET FOCUS 4 DEC 09 TERM 2 28 11	WHEATON, IL	11/23/2009	00569811-05	Pending-Cleared
FT 2180 TARGET TRIAD DEC 09 TERM 2 28 11	WHEATON, IL	11/23/2009	00569812-05	Pending-Cleared

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FT 2180 TARGET VIP DEC 09 TERM 2 28 11	WHEATON, IL	11/23/2009	00569813-05	Pending-Cleared
FT 2221 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	11/23/2009	00569810-05	Pending-Cleared
FT 2239 ALTERNATIVE ENERGY PORTFOLIO SERIES 12	WHEATON, IL	11/23/2009	00569806-05	Pending-Cleared
FT 2240 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 3	WHEATON, IL	11/23/2009	00569808-05	Pending-Cleared
FT 2247 SENIOR LOAN PLUS CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	11/23/2009	00569807-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR ADVISORY RESEARCH ALL CAP VALUE FUND	MILWAUKEE, WI	11/23/2009	00569804-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN CORE BOND FUND ADVISER FUND	LOS ANGELES, CA	11/23/2009	00569963-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN EMERGING MARKETS BOND FUND ADVISER CLASS	LOS ANGELES, CA	11/23/2009	00569962-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN GNMA FUND ADVISER CLASS	LOS ANGELES, CA	11/23/2009	00569961-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN HIGH INCOME FUND ADVISER CLASS	LOS ANGELES, CA	11/23/2009	00569959-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN US GOVERNMENT FUND ADVISER CLASS	LOS ANGELES, CA	11/23/2009	00569960-03	Registered
REAL ESTATE INCOME TR PORTFOLIO SERIES 8	MONUMENT, CO	11/23/2009	00569805-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 10	MONUMENT, CO	11/20/2009	00569617-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 6	MONUMENT, CO	11/20/2009	00569618-05	Pending-Cleared

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INTERNATIONAL HIGH 30DIVIDEND PORTFOLIO SERIES 20	MONUMENT, CO	11/20/2009	00569616-05	Pending-Cleared
UNIFIED SERIES TR IMS DIVIDEND GROWTH FUND	INDIANAPOLIS, IN	11/20/2009	00569663-03	Registered
FT 2195 DEFENSIVE EQUITY PORTFOLUIO SERIES 9	WHEATON, IL	11/19/2009	00569598-05	Pending-Cleared
FT 2196 UTILITIES SELECT PORTFOLIO SERIES 11	WHEATON, IL	11/19/2009	00569602-05	Pending-Cleared
FT 2241 REIT GROWTH & INCOME PLUS 5 YEAR SERIES 3	WHEATON, IL	11/19/2009	00569599-05	Pending-Cleared
FT 2246 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	11/19/2009	00569600-05	Pending-Cleared
FT 2248 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES	WHEATON, IL	11/19/2009	00569601-05	Pending-Cleared
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT GLOBAL ALLOCATION FUND CLASS A	SPRINGFIELD, MA	11/19/2009	00569603-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 632 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORORATE TR SERIES	LISLE, IL	11/18/2009	00569465-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 927 PREFERRED SECURITIES PORTFOLIO SERIES 26	OAKBROOK, IL	11/17/2009	00569234-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 928 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2010 1	OKABROOK, IL	11/17/2009	00569236-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 928 ENHANCED SECTOR STRATEGY SECOTR ROTATION PORTFOLIO 2010 1	OKABROOK, IL	11/17/2009	00569235-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 930 ARDOUR GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2010 1	OKABROOK, IL	11/17/2009	00569237-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 930 BRIC OPPORTUNITY PORTFOLIO 2010 1	OKABROOK, IL	11/17/2009	00569238-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 932 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 34	OKABROOK, IL	11/17/2009	00569239-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 933 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 2	OKABROOK, IL	11/17/2009	00569240-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 637 GLOBAL WATER EQUITIES PORTFOLIO SERIES 17	LISLE, IL	11/16/2009	00569180-05	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SCHOONER GROWTH & INCOME FUND CLASS A	MILWAUKEE, WI	11/16/2009	00569179-03	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 8	MONUMENT, CO	11/16/2009	00569181-05	Pending-Cleared
Number of Investment Company Filings: 42				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	NEW YORK, NY	11/25/2009	00570010-07	Filed
TX ENERGY HOLDINGS INC PATRIOT DRILLING PROGRAM	DALLAS, TX	11/25/2009	00570011-07	Filed
QIK INC	REDWOOD CITY, CA	11/24/2009	00569968-07	Filed
WARD ADHESIVES HOLDINGS INC	CHICAGO, IL	11/24/2009	00569967-07	Filed
BRIGADE LEVERAGED CAPITAL STRUCTURES OFFSHORE LTD	GEARGETOWN GRAND CAYMAN,	11/23/2009	00569818-07	Filed
CROWN HANEY 1 JOINT VENTURE	CARROLTON, TX	11/23/2009	00569831-07	Filed
UNIVERSA BLACK SWAN PROTECTION PROTOCOL 3 LP	SANTA MONICA, CA	11/23/2009	00569816-07	Filed
UNIVERSA BLACK SWAN PROTECTION PROTOCOL 8 LP	SANTA MONICA, CA	11/23/2009	00569817-07	Filed
CORMEDIX INC	SUMMIT, NJ	11/20/2009	00569619-07	Filed
CREDIT UNION 24 INC	TALLAHASSEE, FL	11/20/2009	00569620-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division

Firm Name	Location	Date Received	File Number	Status
HEDGEFORUM HARBINGER LLC	NEW YORK, NY	11/20/2009	00569621-07	Filed
WI BANCSHARES INC	KENOSHA, WI	11/20/2009	00569622-07	Filed
SAB EQUITY PARTNERSHIP 2 LP	SAN ANTONIO, TX	11/19/2009	00569606-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	11/19/2009	00569607-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	11/19/2009	00569605-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	11/19/2009	00569604-07	Filed
WMS SUSQUEHANNA FUND LLC	BALTIMORE, MD	11/19/2009	00569608-07	Filed
RCP SECONDARY OPPORTUNITY FUND LP	CHICAGO, IL	11/18/2009	00569467-07	Filed
JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	NEW YORK, NY	11/16/2009	00569182-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division

Firm Name	Location	Date Received	File Number	Status
LA SALSA FRANCHISE LLC	CYPRESS, CA	11/24/2009	00569836-71	Registered
MAID RITE CORP	DES MOINES, IL	11/24/2009	00569979-71	Registered
QMEDEX LLC	MAITLAND, FL	11/24/2009	00566334-71	Registered
BADGER PAINTING LLC	MADISON, WI	11/20/2009	00540335-71	Registered
SENIOR HELPING SENIORS LLC	WYOMISSING HILLS, PA	11/20/2009	00569629-71	Registered
SUPERVALU INC	EDEN PRAIRIED, MN	11/20/2009	00569628-71	Registered
GARBANZO MEDITERRANIAN GRILL FRANCHISING LLC	ST LOUS, MO	11/18/2009	00569476-71	Registered
SMAP ON TOOLS CO LLC	KENOSHA, WI	11/18/2009	00569475-71	Registered
Number of Franchise Filings: 8				

Corporate Take-Over Law Filings Made with DFI's Securities Division

Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	