

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/9/2009 through 11/20/2009**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INTEGRITY 1ST BANCORP INC	WAUSAU, WI	11/11/2009	00569024-02	Pending
PRIME REALTY INCOME TR INC	CHICAGO, IL	11/11/2009	00569037-01	Pending
SUMMIT CHURCH HOMESTEAD HEIGHTS BAPTIST CHURCH INC	DURHAM, NC	11/11/2009	00569023-02	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CASCADE CHRISTIAN SCHOOLS	PAYALLUP, WA	11/18/2009	00569466-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 10	MONUMENT, CO	11/20/2009	00569617-05	Pending-Cleared
BUILD AMERICA BOND PORTFOLIO SERIES 6	MONUMENT, CO	11/20/2009	00569618-05	Pending-Cleared
INTERNATIONAL HIGH 30DIVIDEND PORTFOLIO SERIES 20	MONUMENT, CO	11/20/2009	00569616-05	Pending-Cleared
FT 2195 DEFENSIVE EQUITY PORTFOLUIO SERIES 9	WHEATON, IL	11/19/2009	00569598-05	Pending-Cleared
FT 2196 UTILITIES SELECT PORTFOLIO SERIES 11	WHEATON, IL	11/19/2009	00569602-05	Pending-Cleared
FT 2241 REIT GROWTH & INCOME PLUS 5 YEAR SERIES 3	WHEATON, IL	11/19/2009	00569599-05	Pending-Cleared
FT 2246 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	11/19/2009	00569600-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2248 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES	WHEATON, IL	11/19/2009	00569601-05	Pending-Cleared
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT GLOBAL ALLOCATION FUND CLASS A	SPRINGFIELD, MA	11/19/2009	00569603-03	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 632 CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORORATE TR SERIES	LISLE, IL	11/18/2009	00569465-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 927 PREFERRED SECURITIES PORTFOLIO SERIES 26	OAKBROOK, IL	11/17/2009	00569234-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 928 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2010 1	OKABROOK, IL	11/17/2009	00569236-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 928 ENHANCED SECTOR STRATEGY SECOTR ROTATION PORTFOLIO 2010 1	OKABROOK, IL	11/17/2009	00569235-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 930 ARDOUR GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2010 1	OKABROOK, IL	11/17/2009	00569237-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 930 BRIC OPPORTUNITY PORTFOLIO 2010 1	OKABROOK, IL	11/17/2009	00569238-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 932 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 34	OKABROOK, IL	11/17/2009	00569239-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 933 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 2	OKABROOK, IL	11/17/2009	00569240-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 637 GLOBAL WATER EQUITIES PORTFOLIO SERIES 17	LISLE, IL	11/16/2009	00569180-05	Pending-Cleared

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TR FOR PROFESSIONAL MANAGERS SCHOONER GROWTH & INCOME FUND CLASS A	MILWAUKEE, WI	11/16/2009	00569179-03	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 8	MONUMENT, CO	11/16/2009	00569181-05	Pending-Cleared
DOW VALUE 10 PORTFOLIO SERIES 1	MONUMENT, CO	11/13/2009	00569151-05	Pending-Cleared
GLOBAL FINANCIALS PORTFOLIO SERIES 1	MONUMENT, CO	11/13/2009	00569154-05	Pending-Cleared
INSURED TX EX MUNICIPAL PORTFOLIO LONG TERM SERIES 10	MONUMENT, CO	11/13/2009	00569162-05	Pending-Cleared
NATURAL RESOURCES PORTFOLIO SERIES 4	MONUMENT, CO	11/13/2009	00569152-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 10	MONUMENT, CO	11/13/2009	00569153-05	Pending-Cleared
1ST TR COMBINED SERIES 297 BUILD AMERICA BONDS PORTFOLIO SERIES	WHEATON, IL	11/12/2009	00569119-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 553 STRATEGIC INCOME PORTFOLIO SERIES 28	LISLE, IL	11/12/2009	00546733-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 636 ZACKS INCOME ADVANTAGE STRATEGY SERIES 7	LISLE, IL	11/12/2009	00569118-05	Registered
FT 1937 75 25 STRATEGIC ALLOCATION PORT 1Q 09 TERM 04 15 10	WHEATON, IL	11/12/2009	00546729-05	Pending-Cleared
FT 2218 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	11/12/2009	00569124-05	Pending-Cleared
FT 2219 BALANCED INCOME PORTFOLIO SERIES 22	WHEATON, IL	11/12/2009	00569121-05	Pending-Cleared
FT 2231 PREFERRED INCOME PORTFOLIO SERIES 33	WHEATON, IL	11/12/2009	00569122-05	Pending-Cleared
FT 2235 EQUITY INCOME PLUS PORTFOLIO SERIES 21	WHEATON, IL	11/12/2009	00569120-05	Pending-Cleared
FT 2244 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	11/12/2009	00569123-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
GABELLI SRI GREEN FUND INC CLASS A SHARES	RYE, NY	11/12/2009	00569148-03	Registered
TCW FUNDS INC TCW AGGRESSIVE ALLOCATION FUND CLASS I	LOS ANGELES, CA	11/12/2009	00569459-03	Registered
TCW FUNDS INC TCW AGGRESSIVE ALLOCATION FUND CLASS N	LOS ANGELES, CA	11/12/2009	00569460-03	Registered
TCW FUNDS INC TCW CONSERVATIVE ALLOCATION FUND CLASS I	LOS ANGELES, CA	11/12/2009	00569461-03	Registered
TCW FUNDS INC TCW CONSERVATIVE ALLOCATION FUND CLASS N	LOS ANGELES, CA	11/12/2009	00569462-03	Registered
TCW FUNDS INC TCW MODERATE ALLOCATION FUND CLASS I	LOS ANGELES, CA	11/12/2009	00569463-03	Registered
TCW FUNDS INC TCW MODERATE ALLOCATION FUND CLASS N	LOS ANGELES, CA	11/12/2009	00569464-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA DIVERSIFIED EQUITY CLASS A SHARES	ST. PETERSBURG, FL	11/12/2009	00569145-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA DIVERSIFIED EQUITY CLASS B SHARES	ST. PETERSBURG, FL	11/12/2009	00569143-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA DIVERSIFIED EQUITY CLASS C SHARES	ST. PETERSBURG, FL	11/12/2009	00569144-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA DIVERSIFIED EQUITY CLASS I SHARES	ST. PETERSBURG, FL	11/12/2009	00569146-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA DIVERSIFIED EQUITY CLASS P SHARES	ST. PETERSBURG, FL	11/12/2009	00569147-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EQUITY CLASS I SHARES	ST. PETERSBURG, FL	11/12/2009	00569142-03	Registered
VANGUARD BOND INDEX FUNDS VANGUARD TOTAL BOND MARKET INDEX FUND INSTITUTIONAL PLUS SHARES	VALLEY FORGE, PA	11/12/2009	00569116-03	Pending-Cleared
VANGUARD STAR FUNDS VANGUARD DEVELOPED MARKETS INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	11/12/2009	00569117-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ABERDEEN FUNDS ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND INSTITUTIONAL CLASS SHARES	COLUMBUS, OH	11/10/2009	00568844-03	Registered
ABERDEEN FUNDS ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND INSTITUTIONAL SERVICE CLASS SHARES	COLUMBUS, OH	11/10/2009	00568845-03	Registered
FRONTEGRA FUNDS INC FRONTEGRA COLUMBUS CORE PLUS FUND CLASS Y	MILWAUKEE, WI	11/10/2009	00568800-03	Registered
GABELLI SRI GREEN FUND INC CLASS AAA SHARES	RYE, NY	11/10/2009	00568848-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL CHOICE FUND CLASS A	NEW YORK, NY	11/10/2009	00568858-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL CHOICE FUND CLASS C	NEW YORK, NY	11/10/2009	00568860-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL CHOICE FUND CLASS I	NEW YORK, NY	11/10/2009	00568862-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL CHOICE FUND INVESTOR CLASS	NEW YORK, NY	11/10/2009	00568864-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND INVESTOR CLASS	NEW YORK, NY	11/10/2009	00568856-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS C	NEW YORK, NY	11/10/2009	00568859-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS A	NEW YORK, NY	11/10/2009	00568861-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS I	NEW YORK, NY	11/10/2009	00568854-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH INTERNATIONAL SMALL CAP FUND CLASS I	NEW YORK, NY	11/10/2009	00568863-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MAINSTAY FUNDS TR MAINSTAY EPOCH INTERNATIONAL SMALL CAP FUND INVESTOR CLASS	NEW YORK, NY	11/10/2009	00568857-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH INTERNATIONAL SMALL CAP FUND CLASS C	NEW YORK, NY	11/10/2009	00568855-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH INTERNATIONAL SMALL CAP FUND CLASS A	NEW YORK, NY	11/10/2009	00568853-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY FUND CLASS A	NEW YORK, NY	11/10/2009	00568849-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY FUND CLASS C	NEW YORK, NY	11/10/2009	00568851-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY FUND CLASS I	NEW YORK, NY	11/10/2009	00568850-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY FUND INVESTOR CLASS	NEW YORK, NY	11/10/2009	00568852-03	Registered
ADVISORS CORPROATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 9	MONUMENT, CO	11/9/2009	00568773-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 635 CLOSED END SENIOR LOAN & INCOME PORTFOLIO SERIES 12	LISLE, IL	11/9/2009	00568776-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 635 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 11	LISLE, IL	11/9/2009	00568774-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIO SERIES 635 STRATEGIC INCOME PORTFOLIO SERIES 38	LISLE, IL	11/9/2009	00568775-05	Pending-Cleared
FT 2192 BIOTECHNOLOGY PORTFOLIO SERIES 18	WHEATON, IL	11/9/2009	00568778-05	Pending-Cleared
FT 2194 EQUITY ADVANTAGE CLOSED END PORTFOLIO SERIES 9	WHEATON, IL	11/9/2009	00568779-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2243 MUNICIPAL INCOME POUS CLOSED PORTFOLIO SERIES 4	WHEATON, IL	11/9/2009	00568777-05	Pending-Cleared
FT 2245 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	11/9/2009	00568780-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 749 INSURED MUNCIPALS INCOME TR SERIES 560	OAKBROOK, IL	11/9/2009	00546680-05	Pending-Cleared
Number of Investment Company Filings: 78				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORMEDIX INC	SUMMIT, NJ	11/20/2009	00569619-07	Filed
CREDIT UNION 24 INC	TALLAHASSEE, FL	11/20/2009	00569620-07	Filed
HEDGEFORUM HARBINGER LLC	NEW YORK, NY	11/20/2009	00569621-07	Filed
WI BANCSHARES INC	KENOSHA, WI	11/20/2009	00569622-07	Filed
SAB EQUITY PARTNERSHIP 2 LP	SAN ANTONIO, TX	11/19/2009	00569606-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	11/19/2009	00569607-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	11/19/2009	00569605-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	11/19/2009	00569604-07	Filed
WMS SUSQUEHANNA FUND LLC	BALTIMORE, MD	11/19/2009	00569608-07	Filed
RCP SECONDARY OPPORTUNITY FUND LP	CHICAGO, IL	11/18/2009	00569467-07	Filed
JPMORGAN GLOBAL ACCESS PORTFOLIO LLC	NEW YORK, NY	11/16/2009	00569182-07	Filed
ALDEN GLOBAL DISTRESSED OPPORTUNITIES FUND LP	NEW YORK, NY	11/13/2009	00569160-07	Filed
ALPHAMOSAIC US LLC	CHICAGO, IL	11/13/2009	00569156-07	Filed
BRANSON AIRPORT LLC	ROYANTON, CT	11/13/2009	00569161-07	Filed
BRANSON LAND LLC	ROWAYTON, CT	11/13/2009	00569157-07	Filed
DIGITILITI INC	ST PAUL, MN	11/13/2009	00569159-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MURVIN & MEIER OIL CO	BARRINGTON, IL	11/13/2009	00569155-07	Filed
NORTHERN TR MULTI ADVISOR FUNDS SERIES GLOBAL EMERGING MARKETS FUND 1ST STATE INVESTMENTS INTERNATIONAL LTD	STAMFORD, CT	11/13/2009	00569158-07	Filed
DMK BURGER BAR 1 LLC	CHICAGO, IL	11/11/2009	00569027-07	Filed
FUHRMAN 1 TWIN LP	BOWLING GREEN, KY	11/11/2009	00569028-07	Filed
PAULSON OFFSHORE DIRECT ACCESS FUND	GRAND CAYMAN KY1-1108,	11/11/2009	00569026-07	Filed
SMC EXECUTIVE INVESTORS LP	BRIARCLIFF MANOR, NY	11/11/2009	00569025-07	Filed
HARTMAN DEVELOPMENT FUND LLC	HOUSTON, TX	11/10/2009	00568801-07	Filed
PEELER RANCH 3 D JOINT VENTURE	SAN ANTONIO, TX	11/10/2009	00568809-07	Filed
VIRXSYS CORP	GAITHERSBURG, MD	11/10/2009	00568802-07	Filed
APM QIM FUTURES FUND LP	EVERGREEN, CO	11/9/2009	00568797-07	Filed
CEW HOLDINGS INC	STAMFORD, CT	11/9/2009	00568782-07	Filed
DIVERSIFIED HEALTH & FITNESS INC	DEERFIELD BEACH, FL	11/9/2009	00568783-07	Filed
ESO TECHNOLOGIES INC	MIDDLETON, WI	11/9/2009	00568785-07	Filed
ION TORRENT SYSTEMS INC	GUILFORD, CT	11/9/2009	00568784-07	Filed
SUGAR GROVE SENIOR LIVING LLC	PLAINFIELD, IN	11/9/2009	00568781-07	Filed
Number of Regulation D Notice Filings: 31				

Franchise Law Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SENIOR HELPING SENIORS LLC	WYOMISSING HILLS, PA	11/20/2009	00569629-71	Registered
SUPERVALU INC	EDEN PRAIRIED, MN	11/20/2009	00569628-71	Registered
GARBANZO MEDITERRANIAN GRILL FRANCHISING LLC	ST LOUS, MO	11/18/2009	00569476-71	Registered
SMAP ON TOOLS CO LLC	KENOSHA, WI	11/18/2009	00569475-71	Registered
HAND & STONE FRANCHISE CORP	TOMS RIVER, NJ	11/11/2009	00567510-71	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
4REFUEL INC	LANGLEY BC V1M 4AC,	11/10/2009	00568814-71	Registered
BB FRANCHISING LLC	JERSEY CITY, NJ	11/10/2009	00539086-71	Registered
MORE SPACE PLACE INC	CLEARWATER, FL	11/10/2009	00568816-71	Registered
SUNWISE TECHNOLOGIES INC	SAN JOSE, CA	11/10/2009	00568815-71	Registered
Number of Franchise Filings: 9				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	