

**WI Securities Law and Franchise Law Filings
for 10/19/2009 through 10/30/2009**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
KEYSTONE MUTAL FUNDS KEYSTONE LARGE CAP GROWTH FUND CLASS I	MILWAUKEE, WI	10/30/2009	00567489-03	Registered
1ST AMERICAN INVESMENT FUNDS INC INTERMEDIATE GOVERNMENT BOND FUND CLASS C	MILWAUKEE, WI	10/28/2009	00566999-03	Registered
1ST AMERICAN INVESMENT FUNDS INC INTERMEDIATE GOVERNMENT BOND FUND CLASS R	MILWAUKEE, WI	10/28/2009	00567000-03	Registered
1ST AMERICAN INVESMENT FUNDS INC INTERMEDIATE TAX FREE FUND CLASS C	MILWAUKEE, WI	10/28/2009	00567001-03	Registered
1ST AMERICAN INVESMENT FUNDS INC MN INTERMEDIATE TAX FREE FUND CLASS C	MILWAUKEE, WI	10/28/2009	00567002-03	Registered
1ST AMERICAN INVESMENT FUNDS INC SHORT TERM BOND FUND CLASS C	MILWAUKEE, WI	10/28/2009	00567003-03	Registered
GLOBAL REAL ESTATE INVESTMENTS FUND	MILWAUKEE, WI	10/23/2009	00566950-03	Pending-Cleared
Number of Investment Company Filings: 7				

**WI Securities Law and Franchise Law Filings
for 10/19/2009 through 10/30/2009**

**Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's
Securities Division**

Firm Name	Location	Date Received	File Number	Status
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Number of Regulation D Notice Filings: 0

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division

Firm Name	Location	Date Received	File Number	Status
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Number of Franchise Filings: 0