

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/19/2009 through 10/30/2009**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NEW FFISION SPIRITUAL GRWOTH CENTER RELIGOUS SCIENCE IN PAR	SCOTTSDALE, AZ	10/30/2009	00567490-02	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CREDIT SUISSE PRIME SECURITIES SERVICES USA LLC	NEW YORK, NY	10/30/2009	00567498-11	Pending
DISCOVERY CHURCH INC	EDGEWOOD, FL	10/21/2009	00566883-10	Pending
FATHERS HOUS OF ROCHESTER NY	ROCHESTER, NY	10/19/2009	00566693-10	Pending
ONLY BELIEVE MINISTRIES INC	BOTKINS, OH	10/19/2009	00566694-10	Pending
Number of Exemption Filings: 4				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2188 ENERGY SELECT PORTFOLIO SERIES 32	WHEATON, IL	10/30/2009	00567487-05	Pending-Cleared
FT 2188 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 14	WHEATON, IL	10/30/2009	00567488-05	Pending-Cleared
FT 2198 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	10/30/2009	00567486-05	Pending-Cleared
KEYSTONE MUTAL FUNDS KEYSTONE LARGE CAP GROWTH FUND CLASS I	MILWAUKEE, WI	10/30/2009	00567489-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 633 S&P FOUNDATION STRATEGY SERIES 5	LISLE, IL	10/29/2009	00567167-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 633 ZACKS SMID SELECT PORTFOLIO SERIES 17	LISLE, IL	10/29/2009	00567168-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 634 CLOSED END NATIONAL MUNICIPAL PORTFOLIO SERIES 16	LISLE, IL	10/29/2009	00567166-05	Pending-Cleared
DREMAN CONTRARIAN FUNDS DREMAN CONTRARIAN LARGE CAP VALUE FUND CLASS C	ASPEN, CO	10/29/2009	00567236-03	Registered
DREMAN CONTRARIAN FUNDS DREMAN CONTRARIAN LARGE CAP VALUE FUND CLASS A	ASPEN, CO	10/29/2009	00567235-03	Registered
FINANCIAL INVESTORS TR ALPS GNI LONG SHORT FUND CLASS A SHARES	DENVER, CO	10/29/2009	00567229-03	Registered
FINANCIAL INVESTORS TR ALPS GNI LONG SHORT FUND CLASS I SHARES	DENVER, CO	10/29/2009	00567230-03	Registered
GAMCO GLOBAL SERIES FUNDS INC GAMCO GLOBAL OPPORTUNITY FUND CLASS C SHARES	RYE, NY	10/29/2009	00567231-03	Registered
NUVEEN INVESTMENT TR NUVEEN NWQ LARGE CAP VALUE FUND CLASS R3 SHARES	CHICAGO, IL	10/29/2009	00567226-03	Registered
NUVEEN INVESTMENT TR NUVEEN NWQ SMALL CAP VALUE FUND CLASS R3 SHARES	CHICAGO, IL	10/29/2009	00567227-03	Registered
NUVEEN INVESTMENT TR NUVEEN NWQ SMALL MID CAP VALUE FUND CLASS R3 SHARES	CHICAGO, IL	10/29/2009	00567228-03	Registered
1ST AMERICAN INVESMENT FUNDS INC INTERMEDIATE GOVERNMENT BOND FUND CLASS R	MILWAUKEE, WI	10/28/2009	00567000-03	Registered
1ST AMERICAN INVESMENT FUNDS INC INTERMEDIATE GOVERNMENT BOND FUND CLASS C	MILWAUKEE, WI	10/28/2009	00566999-03	Registered

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Firm Name	Location	Date Received	File Number	Status
1ST AMERICAN INVESMENT FUNDS INC INTERMEDIATE TAX FREE FUND CLASS C	MILWAUKEE, WI	10/28/2009	00567001-03	Registered
1ST AMERICAN INVESMENT FUNDS INC MN INTERMEDIATE TAX FREE FUND CLASS C	MILWAUKEE, WI	10/28/2009	00567002-03	Registered
1ST AMERICAN INVESMENT FUNDS INC SHORT TERM BOND FUND CLASS C	MILWAUKEE, WI	10/28/2009	00567003-03	Registered
VAN KAMPEN UNIT TR SERIES 848 GLOBAL EQUITY DIVIDEND PORTFOLIO SERIES 1	OAKBROOK, IL	10/28/2009	00567010-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 922 DOW JONES LARGE CAP GROWTH STRATEGY 2009 4	OAKBROOK, IL	10/28/2009	00567011-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 924 IBBOTSON ALTERNATIVE ALLOCATION PORTFOLIO 2009 4	OAKBROOK, IL	10/28/2009	00567012-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 926 DPW JONES SELECT MICROCAP ENHANCED INDEX STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	10/28/2009	00567004-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 926 MIDCAP STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	10/28/2009	00567005-03	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 926 SMALL CAP STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	10/28/2009	00567007-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 926 SMID STRATEGY PORTFOLIO 2010 1	OAKBROOK, IL	10/28/2009	00567006-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 214 BUILD AMERICA BONDS INCOME TR SERIES 14	OAKBROOK, IL	10/28/2009	00567008-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 215 BUILD AMERICA BONDS INCOME TR SERIES 15	OAKBROOK, IL	10/28/2009	00567009-05	Pending-Cleared
FT 2165 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	10/27/2009	00566992-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
NORTHERN LIGHTS FUND TR ASTOR LONG SHORT ETF FUND CLASS I	HAUPPAUGE, NY	10/27/2009	00566989-03	Pending-Cleared
NORTHERN LIGHTS FUND TR ASTOR LONG SHORT ETF FUND CLASS R	HAUPPAUGE, NY	10/27/2009	00566988-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PALANTIR FUND	HAUPPAUGE, NY	10/27/2009	00566990-03	Pending-Cleared
NORTHERN LIGHTS FUND TR SUTHERNSUN SMALL CAP FUND	HAUPPAUGE, NY	10/27/2009	00566991-03	Pending-Cleared
API FUNDS API MASTER ALLOCATION FUND CLASS A	RICHMOND, VA	10/26/2009	00566960-03	Pending-Cleared
API FUNDS API MASTER ALLOCATION FUND CLASS I	RICHMOND, VA	10/26/2009	00566961-03	Pending-Cleared
EPIPHANY FUNDS EPIPHANY FAITH & FAIly VALUES 100 FUND CLASS C	FORT WORTH, TX	10/26/2009	00566962-03	Pending-Cleared
FT 2149 INCOME ALLOCATION CLOSED END NOV 09	WHEATON, IL	10/26/2009	00567179-05	Pending-Cleared
FT 2150 DOW TARGET 10 NOV 09 TERM 11 30 10	WHEATON, IL	10/26/2009	00567180-05	Pending-Cleared
FT 2150 DOW TARGET DVD NOV 09 TERM 1 31 11	WHEATON, IL	10/26/2009	00567181-05	Pending-Cleared
FT 2150 TARGET FOCUS 4 NOV 09 TERM 1 31 11	WHEATON, IL	10/26/2009	00567183-05	Pending-Cleared
FT 2150 TARGET TRIAD NOV 09 TERM 1 31 11	WHEATON, IL	10/26/2009	00567184-05	Pending-Cleared
FT 2150 TARGET VIP NOV 09 TERM 1 31 11	WHEATON, IL	10/26/2009	00567182-05	Pending-Cleared
FT 2187 GLOBAL INFRASTRUCTURE PORTFOLIO SERIES 10	WHEATON, IL	10/26/2009	00567186-05	Pending-Cleared
FT 2204 GLOBAL BOND INCOME PLUS CLOSED END BOND PORTFOLIO SERIES 12	WHEATON, IL	10/26/2009	00567185-05	Pending-Cleared
RIDGEWORTH FUNDS AGGRESSIVE GROWTH ALLOCATION STRATEGY B SHARES	COLUMBUS, OH	10/26/2009	00566976-03	Registered
RIDGEWORTH FUNDS AGGRESSIVE GROWTH ALLOCATION STRATEGY C SHARES	COLUMBUS, OH	10/26/2009	00566977-03	Registered

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Firm Name	Location	Date Received	File Number	Status
RIDGEWORTH FUNDS AGGRESSIVE GROWTH ALLOCATION STRATEGY I SHARES	COLUMBUS, OH	10/26/2009	00566978-03	Registered
RIDGEWORTH FUNDS CONSERVATIVE ALLOCATION STRATEGY A SHARES	COLUMBUS, OH	10/26/2009	00566979-03	Registered
RIDGEWORTH FUNDS CONSERVATIVE ALLOCATION STRATEGY B SHARES	COLUMBUS, OH	10/26/2009	00566980-03	Registered
RIDGEWORTH FUNDS CONSERVATIVE ALLOCATION STRATEGY C SHARES	COLUMBUS, OH	10/26/2009	00566981-03	Registered
RIDGEWORTH FUNDS CONSERVATIVE ALLOCATION STRATEGY I SHARES	COLUMBUS, OH	10/26/2009	00566982-03	Registered
RIDGEWORTH FUNDS GROWTH ALLOCATION STRATEGY B SHARES	COLUMBUS, OH	10/26/2009	00566984-03	Registered
RIDGEWORTH FUNDS GROWTH ALLOCATION STRATEGY C SHARES	COLUMBUS, OH	10/26/2009	00566985-03	Registered
RIDGEWORTH FUNDS GROWTH ALLOCATION STRATEGY I SHARES	COLUMBUS, OH	10/26/2009	00566986-03	Registered
RIDGEWORTH FUNDS HIGH INCOME FUND R SHARES	COLUMBUS, OH	10/26/2009	00566983-03	Registered
RIDGEWORTH FUNDS INSTITUTIONAL MUNICIPAL CASH RESERVE MONEY MARKET FUND INSTITUTIONAL SHARES	COLUMBUS, OH	10/26/2009	00566987-03	Registered
RIDGEWORTH FUNDS MODERATE ALLOCATION STRATEGY B SHARES	COLUMBUS, OH	10/26/2009	00566973-03	Registered
RIDGEWORTH FUNDS SEIX HIGH YIELD FUND R SHARES	COLUMBUS, OH	10/26/2009	00566974-03	Registered
RIDGEWORTH FUNDS US TREASURY MONEY MARKET FUND A SHARES	COLUMBUS, OH	10/26/2009	00566975-03	Registered
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 624 DELTA GLOBAL GOLD PRODUCERS PORTFOLIO SERIES 2	LISLE, IL	10/23/2009	00566940-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 624 HEALTH CARE PORTFOLIO SERIES 10	LISLE, IL	10/23/2009	00566942-05	Pending-Cleared
CLAYMORE SECURITIES DEFINED PORTFOLIOS SERIES 624 UTILITIES PORTFOLIO SERIES 11	LISLE, IL	10/23/2009	00566941-05	Pending-Cleared
FT 2166 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	10/23/2009	00566946-05	Pending-Cleared
FT 2181 INFLATION DEFENSE PORTFOLIO	WHEATON, IL	10/23/2009	00566943-05	Pending-Cleared
FT 2185 BASIC MATERIALS SELECT PORTFOLIO SERIES 5	WHEATON, IL	10/23/2009	00566945-05	Pending-Cleared
FT 2186 FINANCIALS SELECT PORTFOLIO SERIES 29	WHEATON, IL	10/23/2009	00566948-05	Pending-Cleared
FT 2186 PRECIOUS METALS SELECT PORTFOLIO SERIES 17	WHEATON, IL	10/23/2009	00566949-05	Pending-Cleared
FT 2211 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 7	WHEATON, IL	10/23/2009	00566944-05	Pending-Cleared
FT 2212 SENIOR LOAN PLUS CLOSED END PORTFOLIO SERIES 13	WHEATON, IL	10/23/2009	00566947-05	Pending-Cleared
GLOBAL REAL ESTATE INVESTMENTS FUND	MILWAUKEE, WI	10/23/2009	00566950-03	Pending-Cleared
TIMOTHY PLAN DEFENSIVE STRATEGIES FUND CLASS A	MAITLAND, FL	10/23/2009	00567111-03	Pending-Cleared
TIMOTHY PLAN DEFENSIVE STRATEGIES FUND CLASS C	MAITLAND, FL	10/23/2009	00567110-03	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 8	MONUMENT, CO	10/22/2009	00566878-05	Pending-Cleared
AQR FUNDS AQR INTERNATIONAL EQUITY FUND CLASS I SHARES	GREENWICH, CT	10/22/2009	00567485-03	Registered
AQR FUNDS AQR INTERNATIONAL EQUITY FUND CLASS N SHARES	GREENWICH, CT	10/22/2009	00567484-03	Registered
TWEEDY BROWNE FUND INC TWEEDY BROWNE GLOBAL VALUE FUND 2 CURRENCY UNHEDGED	NEW YORK, NY	10/22/2009	00567483-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 7	MONUMENT, CO	10/22/2009	00566877-05	Pending-Cleared
FRANKLIN MUTAL SERIES FUND MUTUAL EUROPEAN FUND CLASS R	SAN MATEO, CA	10/20/2009	00566823-03	Pending-Cleared
FT 2203 BRAZIL PORTFOLIO SERIES 3	WHEATON, IL	10/20/2009	00566822-05	Pending-Cleared
VAN KAMPEN INIT TR MUNICIPAL SERIES 844 INSURED MUNICIPALS INCOME TR SERIES 584	OAKBROOK, IL	10/19/2009	00566688-05	Registered
VAN KAMPEN INIT TR MUNICIPAL SERIES 845 INSURED MUNICIPALS INCOME TR SERIES 585	OAKBROOK, IL	10/19/2009	00566687-05	Registered
VAN KAMPEN INIT TR MUNICIPAL SERIES 846 INVESTMENT GRADE MUNICIPAL TR SERIES 102	OAKBROOK, IL	10/19/2009	00566686-05	Registered
VAN KAMPEN INIT TR TAXABLE INCOME SERIES 210 BUILD AMERICA BONDS INCOME TR SERIES 12	OAKBROOK, IL	10/19/2009	00566692-05	Registered
VAN KAMPEN INIT TR TAXABLE INCOME SERIES 211 BUILD AMERICA BONDS INCOME TR SERIES 13	OAKBROOK, IL	10/19/2009	00566691-05	Registered
VAN KAMPEN INIT TR TAXABLE INCOME SERIES 212 INTERMEDIATE CORPROATE INVESTMENT GRADE TR SERIES 52	OAKBROOK, IL	10/19/2009	00566690-05	Registered
VAN KAMPEN INIT TR TAXABLE INCOME SERIES 213 GNMA INCOME PORTFOLIO SERIES 8	OAKBROOK, IL	10/19/2009	00566689-05	Registered
Number of Investment Company Filings: 87				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN ENERGY PARTNERS INC	BOERNE, TX	10/30/2009	00567497-07	Filed
HARD ROCK PARTENERS 2009 A LP	CHARLESTON, WV	10/30/2009	00567491-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SAB EQUITY PARTNERSHIP 2 LP	SAN ANTONIO, TX	10/30/2009	00567492-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	10/30/2009	00567493-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	10/30/2009	00567494-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	10/30/2009	00567495-07	Filed
ZODIAC ENERGY PARTNERS LLC	DENVER, CO	10/30/2009	00567496-07	Filed
AIS CAPITAL GROWTH FUND LP	WILTON, CT	10/29/2009	00567169-07	Filed
INTELASIGHT INC	SCOTTSDALE, AZ	10/29/2009	00567170-07	Filed
VANGUARD STIMULATION SERVICES LLC	WHAWNEE, OK	10/27/2009	00566993-07	Filed
1ST CHOICE BANCORP	GENEVA, IL	10/26/2009	00566963-07	Filed
GLOBALONE FINANCIAL INC	FOR LAUDERDALE, FL	10/26/2009	00566965-07	Filed
LIBERTY PARTNERS HODLINGS LLC	BAKERSFIELD, CA	10/26/2009	00566964-07	Filed
TRAHAN PROSPECT JOINT VENTURE	SAN ANTONIO, TX	10/23/2009	00566951-07	Filed
3 FATHOMS S VENICE 3 D JOINT VENTURE	SAN ANTONIO, TX	10/22/2009	00566880-07	Filed
LTN STAFFING LLC	WAUKEGAN, IL	10/22/2009	00566879-07	Filed
FSP LAKESIDE CROSSING 2 CORP	WAKEFIELD, MA	10/20/2009	00566825-07	Filed
GULFSTREAM FUNDINC 2 LLC	NEW YORK, NY	10/20/2009	00566824-07	Filed
AQR GLOBAL RISK PREMIUM OFFSHORE FUND	GEORGE TOWN GRAND CAYMAN,	10/19/2009	00566698-07	Filed
CENTLY SERVICES HOLDINGS LLC	CHICAGO, IL	10/19/2009	00566699-07	Filed
HARDCORE COMPUTER INC	ROCHESTER, MN	10/19/2009	00566697-07	Filed
HELIUM INC	ANDOVER, MA	10/19/2009	00566696-07	Filed
VISIONGATE INC	GIG HARBOR, WA	10/19/2009	00566695-07	Filed
Number of Regulation D Notice Filings: 23				

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Franchise Law Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AGILE PURSUITS FRANCHISING INC	CINCINNATI, OH	10/30/2009	00552645-71	Registered
DIRECTBUY INC	MERRILLVILLE, IN	10/30/2009	00567509-71	Registered
ITEX CORP	BELLEVUE, WA	10/29/2009	00489998-71	Registered
JAZZERCISE INC	CARLSBAD, CA	10/29/2009	00567200-71	Registered
MAGIC BRANDS LLC	AUSTIN, TX	10/29/2009	00535258-71	Registered
MINT CONDITION FRANCHISING INC	FORT MILL, SC	10/29/2009	00524036-71	Registered
RMIT INC	VALPARISO, IN	10/29/2009	00539206-71	Registered
RMIT INC	VALPARAISO, IN	10/29/2009	00539207-71	Registered
RUBY TUESDAY INC	MARYVILLE, TN	10/29/2009	00567198-71	Registered
SEARS AUTHORIZED INDEPENDENT AUTO CENTERS LLC	HOFFMAN ESTATES, IL	10/29/2009	00567199-71	Registered
FOXS PIZZA DEN INC	MURRYSVILLE, PA	10/27/2009	00567033-71	Registered
MONGOLIAN MANAGMENT & INVESTMENT CO LLC	BURNSVILLE, MN	10/26/2009	00567032-71	Registered
FARRELLS EXTREME BODYSHAPING INC	WEST DES MOINES, IA	10/23/2009	00567028-71	Registered
UNITED SOCCER LEAGUES LLC	TAMPA, FL	10/23/2009	00567029-71	Registered
Z PIZZA INTERNATIONAL INC	NEWPORT BEACH, CA	10/23/2009	00524844-71	Registered
DOC POPCORN DEVELOPMENT INC	GREENWOOD VILLAGE, CO	10/22/2009	00566887-71	Registered
NATURAL AWAKENINGS PUBLISHING CORP	NAPLES, FL	10/22/2009	00567030-71	Registered
PORTRIT AVENUE LLC	DAYTON, OH	10/22/2009	00567031-71	Registered
AERO COLOURS INC	CHARLOTTE, NC	10/21/2009	00566889-71	Registered
AQUA2 ACQUISITIONS INC	CHRLOTTE, NC	10/21/2009	00566888-71	Registered
MINUS5 INTERNATIONAL LLC	LAS VEGAS, NV	10/21/2009	00566886-71	Registered
ASI SIGN SYSTEMS INC	DALLAS, TX	10/20/2009	00566836-71	Registered
MMI BUSINESS BROKERS LLC	INDEPENDENCE, OH	10/20/2009	00509996-71	Registered
PISTOR & ASSOC INC	COLORADO SPRINGS, CO	10/20/2009	00566835-71	Registered
MMI PEER ADVISORY SERVICES LLC	INDEPENDENCE, OH	10/19/2009	00566705-71	Registered
Number of Franchise Filings: 25				

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Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	