

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of

JAMES P. KOLF,

Respondent.

WAIVER AND CONSENT TO ORDER

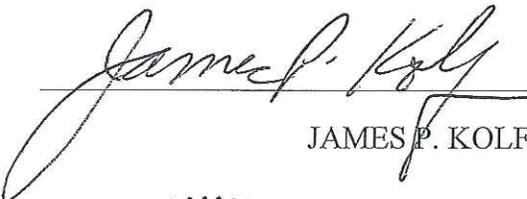
DFI File No. S- 235446 (EX)

The undersigned Respondent, JAMES P. KOLF, having decided not to contest the issuance of the attached Order, hereby waives his right to a hearing with respect to this matter, including waiving findings of fact and conclusions of law as may otherwise be required for the Order, and hereby consents to the issuance of the Order.

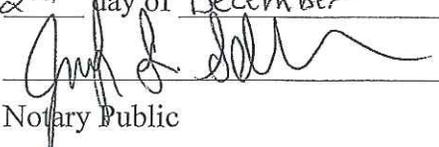
Respondent hereby acknowledges that, in exchange for Respondent's voluntary consent and agreement to cease and desist from further violations of Wis. Stat. Ch. 551, revocation of certain exemptions and registrations, and other conditions as described in the attached Order, the Division agrees to forgo further legal action, including injunction proceedings in a circuit court pursuant to Wis. Stat. § 551.603, and to resolve this matter by the issuance of the attached Order.

The undersigned Respondent understands and agrees that the attached Order shall prohibit Respondent from transacting any securities business in the State of Wisconsin and shall have the same prohibitory effect as a permanent injunction issued by a circuit court in accordance with Wis. Stat. § 551.603(2)(a);

The undersigned Respondent understands that the Order, when signed by the Administrator of the Division of Securities, is effective as of the date issued and that a willful violation of an Order signed by the Administrator is a criminal offense pursuant to Wis. Stat. § 551.508.


JAMES P. KOLF

State of Wisconsin)
County of Dane)
Subscribed before me this
12th day of December, 2016.


Notary Public

My commission is permanent/expires 7/13/18

