EXHIBIT
6

State of Wisconsin

Department of Financial Institutions

Scott Walker, **Governor** Peter Bildsten, **Secretary**

November 11, 2014

Aegis Oil, LLC
812 Lexington Drive
Plano, Texas 75075

Dear Sir or Madam:

It has come to our attention that your firm or individuals representing it may have been engaged in activities connected with the offer or sale of securities to persons in Wisconsin.

As you may be aware, the Wisconsin Uniform Securities Law (Ch. 551, Wis. Stats.), which this office administers, provides that securities, including any stocks, bonds, promissory notes, limited partnership interests, and **investment contracts** in any form, must be registered by the Division of Securities or properly exempted from the requirement for registration before they may be sold or offered for sale to persons in or from Wisconsin.

In keeping with our obligation to ensure compliance with this requirement, we are requesting, pursuant to the authority of the Administrator of the Division of Securities under sec. 551.602, Wis. Stats., that you file with this office the information and documents indicated below:

1. The names and address of all persons who have at any time transacted business with your firm, together with a description of the form of each such transaction and the dates of and amounts paid by each person;

2. True and complete copies of all transaction agreements, notes, contracts and other documents connected with each transaction;

3. True and complete copies of any and all advertising and promotional materials, offering circulars, prospectuses, correspondence and financial statements published, circulated or used to acquaint persons with the same transaction opportunities;

4. The names and titles of all individuals connected with or in any way representing your firm, or who have devoted efforts toward the promotion or sale of such transactions, together with a description of the nature and scope of such activities identified to each such individual, and of the form and amount of compensation in any form earned by the same individuals for such efforts; and

5. The names and addresses of all owners, officers and controlling persons of your firm. (please include titles).

Please note that, if you wish to argue that the interests offered or sold were not "securities" as that

term is defined and used in Ch. 551, Wis. Stats., or are somehow exempt from registration with this agency, we will consider any such argument. However, any such argument is not a substitute for the factual information we have requested.

Your cooperation in providing the information and documents to us, in order to permit us to assist you in meeting the requirements of our law, will be appreciated. Please forward your response to me so as to arrive at this office not later than two weeks from the date of this letter. Questions concerning this investigation may be directed to me at (608) 266-1157, by electronic mail at mark.dorman@wi.gov or at the address or facsimile machine number listed herein. Thank you for your help and cooperation.

Sincerely,

Mark Dorman

Mark Dorman, Examiner
Bureau of Enforcement

State of Wisconsin

Department of Financial Institutions

Scott Walker, **Governor** Peter Bildsten, **Secretary**

November 11, 2014

Steven Seffren
[redacted]

Dear Mr. Seffren:

It has come to our attention that you may have been engaged for pay in activities connected with the offers and sales of securities to persons in Wisconsin. As you may be aware, the Wisconsin Uniform Securities Law (Wis. Stats. Ch. 551), which this office administers, provides that securities agents doing business with persons in Wisconsin must be registered by the Division of Securities unless specifically exempted from such registration requirement. In addition, all securities, such as stocks, bonds, promissory notes, limited partnership interests, and **investment contracts in any form**, offered and sold to persons in Wisconsin must be registered unless specifically exempted from such registration requirement. In keeping with our obligation to ensure compliance with these requirements, we are requesting, pursuant to the authority of the Administrator under Wis. Stat. § 551.602, that you file with this office the information and documents requested below:

1. The names and addresses of all firms for whom you have transacted business in the offer or sale of securities to or for any persons in Wisconsin;

2. The names and addresses of all persons in Wisconsin for or with whom you have transacted business in the offer or sale of securities, on behalf of any firm, together with a statement of all securities you have offered and sold to each such person; and

3. A statement of the compensation you received from the above-referenced activities, and from whom.

Your cooperation in providing this information to us, to permit us to assist you in meeting the registration requirements of our law, will be appreciated. Please forward your response to me so as to arrive at this office not later than two weeks from the date of this letter. Questions concerning this investigation may be directed to me at (608) 266-1157, by electronic mail at mark.dorman@wi.gov, or at the facsimile number or address on this letter.

Please note that, if you wish to argue that the interests offered or sold were not "securities" as that term is defined and used in Wis. Stats. Ch. 551, we will consider any such argument. However, any such argument is not a substitute for the factual information we have requested. Thank you for your help and cooperation.

Mark Dorman

Mark Dorman, Examiner
Bureau of Enforcement

Division of Securities
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