

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
HELEN LUKIC

ORDER OF PROHIBITION AND
REVOCATION (CONSENT)

Respondent.

File No. S - 222055 (EX)

Based upon the attached Petition and Waiver and Consent to Order of Prohibition and Revocation, I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to Wis. Stats. §§ 551.24, 551.60, and 551.63,¹

IT IS ORDERED THAT:

1. Respondent Helen Lukic, and any successor, affiliate, controlling person, officer, agent, servant, employee, and every entity or person directly or indirectly controlled or organized by or on her behalf, is hereby prohibited from making or causing to be made to any person or entity in Wisconsin any offers or sales of securities;
2. All exemptions from registration that might otherwise apply to any offer or sale of any securities of or by Helen Lukic and any successors, affiliates, controlling persons, officers, agents, servants, employees, and every entity or person directly or indirectly controlled or organized by or on her behalf, are hereby revoked;
3. Helen Lukic is prohibited from applying for registration and is barred from registration with the State of Wisconsin Division of Securities as a securities professional, including but not limited to investment adviser, investment adviser representative, broker-dealer agent, and agent for issuer.

This Order consented to by the Respondent is a permanent bar from transacting securities business in the State of Wisconsin, effective upon the date issued, and shall have the same effect on Respondent as a permanent injunction entered by a circuit court pursuant to Wis. Stat. § 551.57.

¹ Unless otherwise noted, all statutory references are to the Wisconsin Statutes (2005-06), which were in effect at the time of the violations alleged and apply pursuant to § 551.703, Wis. Stats. (2007-08).

EXECUTED at Madison, Wisconsin, this 29th day of May, 2014.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities of the Department of Financial Institutions of the State of Wisconsin under Ch. 551, Wis. Stats., is a criminal offense punishable under the provision of sec. 551.58, Wis. Stats.