

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
WILLIAM D. BRANDENBURG,

PETITION FOR ORDER

Respondent.

File No. S-228168 (LX)

The staff of the Professional Registration and Compliance Bureau of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to Wis. Stat. § 551.602, and as a result thereof allege as follows:

1. William D. Brandenburg ("Brandenburg") is an unregistered securities agent (AG) with a last known business address at 7774 Cross Cut Trail, Woodruff, WI 54568-9668.
2. Brandenburg placed into the March 19, 2013 issue of the Minocqua, Wisconsin Lakeland Times a general solicitation to sell securities to the public without registration as an agent (AG) as required by Wis. Adm. Code § DFI-Sec. 4.01 and Wis. Stat. § 551.402.
3. Brandenburg's actions as described above are deemed to constitute unregistered agent activity and provide a basis for a Cease and Desist pursuant to Wis. Adm. Code § DFI-Sec. 4.01(3) and Wis. Stat § 551.402.

THEREFORE, the staff of the Bureaus of Professional Registration and Compliance petitions the Administrator for the issuance of the attached Order.

DATED this 6th day of May, 2013.



Mark C. Eisenmann
Examiner
Professional Registration & Compliance



Deborah J. Fabritz
Director
Professional Registration & Compliance