

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
WILLIAM D. BRANDENBURG,

CEASE & DESIST ORDER
(CONSENT)

Respondent.

File No. S-228168 (LX)

Based upon the attached Petition for Order and Waiver and Consent to Order, I find that this action is necessary and appropriate in the public interest and for the protection of investors.

Therefore, pursuant to Wis. Stat. § 551.604,
IT IS ORDERED THAT:

1. William D. Brandenburg, his agents, servants, employees, and every entity and person directly or indirectly controlled or organized by or on his behalf, are prohibited from making or causing to be made to any person or entity in Wisconsin any further offers or sales of securities unless and until such securities qualify as covered securities or are registered under Wis. Stats. Ch. 551, or successor statute, or properly exempted therefrom.
2. William D. Brandenburg, his agents, servants, employees, and every entity and person directly or indirectly controlled or hereafter organized by or on his behalf, are prohibited from transacting business as a broker dealer in Wisconsin unless registered under Wis.Stats. Ch. 551, or successor statute, or excepted from the registration requirement.
3. William D. Brandenburg is prohibited from transacting business as a securities agent in Wisconsin unless registered under Wis.Stats. Ch. 551 or successor statute, or excepted from the registration requirement.
4. William D. Brandenburg shall resolve his civil liability under Wis. Stat. § 551.509 resulting from the unlawful offers of securities described in the Petition for Order prior to filing an application for a securities agent registered in Wisconsin.

EXECUTED at Madison, Wisconsin this 6th day of May, 2013.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities under Wis. Stats. Ch. 551 is a criminal offense punishable under the provisions of Wis. Stat. § 551.508.