

BEFORE THE  
STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

In the Matter of  
MICHAEL H. CAMPBELL  
CRD#4442623

PETITION FOR ORDER

Respondent.

File No. 12-227435

The staff of the Professional Registration and Compliance Bureau, Division of Securities, Department of Financial Institutions, State of Wisconsin has conducted an investigation in this matter pursuant to sec. 551.602, Wis. Stats., and as a result thereof allege as follows.

1. Michael H. Campbell (Campbell) is a registered Investment Adviser Representative and Agent with a last known business address at Met Life Securities Inc. ("Met Life"), 3051 Progress Way Room 103, Kaukauna, WI 54130.
2. At all times material hereto, Campbell was employed as a securities Agent (Series 6 & 63) with American General Securities Incorporated, further known as Sage Point Inc. (Sage Point), at its branch office located at 3051 Progress Way Room 103, Kaukauna, WI 54130 from October 1, 2002 through April 13, 2010.
3. On or about June 12, 2008, Campbell sold to a Wisconsin investor an investment in Castle Arch Real Estate Investment Company LLC (Castle Arch), a Regulation D offering.
4. Campbell sold Castle Arch without having the appropriate License/Registration approval by the State of Wisconsin as prescribed by DFI-Sec. 4.01(3).
5. Campbell also sold away from Sage Point by selling Castle Arch Real Estate Investment Company LLC without Sage Point's knowledge or approval.
6. On November 1, 2012, the Wisconsin Division of Securities received a complaint from a Wisconsin investor about Campbell's sale of Castle Arch to the investor in the amount of \$100,000. The complaint referenced Castle Arch's filing of Chapter 11.
7. Campbell has consented to the issuance of the attached order.

THEREFORE, the staff of the Licensing & Compliance Bureau petitions the Administrator for the issuance of the attached Order.

DATED this 2nd day of April, 2013

  
Mark Eisenmann  
Securities Examiner  
Professional Registration and Compliance

  
Deborah Fabritz  
Director  
Professional Registration and Compliance