

BEFORE THE  
STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

In the Matter of

WAIVER AND CONSENT TO ORDER

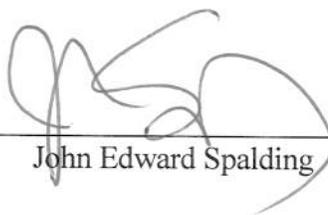
JOHN EDWARD SPALDING,

File No. S-209641 (EX)

Respondent.

The undersigned Respondent, having decided not to contest the issuance of the attached Order in the above-referenced matter and without admitting or denying the allegations in the Petition for Order in this matter, hereby waives his right to a hearing with respect to this matter and hereby consents to the issuance of the attached Order;

The undersigned Respondent understands that the Order is effective when signed by the Administrator of the Division of Securities and that a willful violation of an Order signed by the Administrator is a criminal offense.



John Edward Spalding

State of *TEXAS* )  
)ss.  
County of *HARRIS* )

Subscribed before me this

25<sup>th</sup> day of July, 2014.

Patricia Lopez  
Notary Public

My commission expires 03/23/2015.

