

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
ERIK R. THEM MEN,

Respondent.

CEASE & DESIST ORDER
(CONSENT)

File No. S-218569 (EX)

Based upon the attached Petition for Order and Waiver and Consent to Order I find that this action is necessary and appropriate in the public interest and for the protection of investors, and consistent with the purposes of Wis. Stats. Chapter 551.

Therefore, pursuant to Wis. Stat. §551.604,

IT IS ORDERED THAT:

- a. Erik R. Themmen, his agents, servants, employees, and every entity and person directly or indirectly controlled or organized by or on his behalf, shall cease and desist from making or causing to be made to any person or entity in Wisconsin any further offers or sales of securities unless and until such securities qualify as covered securities or are registered under Wis. Stats. Ch. 551, or successor statute, or properly exempted therefrom.
- b. Erik R. Themmen shall cease and desist from transacting business as a broker-dealer in Wisconsin unless registered under Wis. Stats. Ch. 551, or successor statute, or excepted from the registration requirement.
- c. Erik R. Themmen, his agents, servants, employees, and every entity and person directly or indirectly controlled or hereafter organized by or on his behalf, shall cease and desist from employing an agent to represent them in Wisconsin unless the agent is registered under Wis. Stats. Ch. 551, or successor statute, or excepted from the registration requirement.

EXECUTED at Madison, Wisconsin, this 12th day of April, 2013.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities under Wis. Stats. Ch. 551 is a criminal offense punishable under Wis. Stat. § 551.58.