

BEFORE THE STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

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In the Matter of  
JAMES JOEL ALBRIGHT JR.,  
Respondent.

ORDER OF BAR (CONSENT)  
File No. S-223065

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Based upon the attached Waiver and Consent to Order of Bar, I find that this action is necessary and appropriate in the public interest, and for the protection of investors;

Therefore, pursuant to § 551.412, Wis. Stats. and DFI-Sec 4.09, Wis. Adm. Code,

IT IS ORDERED THAT:

James Joel Albright Jr. (CRD # 2035269) is prohibited from applying for registration and is barred from registration with the State of Wisconsin Division of Securities as a securities professional, including but not limited to investment adviser, investment adviser representative, broker-dealer agent, and agent for issuer.

This Order is a permanent bar from future State registration that is effective upon the date executed below.

EXECUTED at Madison, Wisconsin, this 30th day of May, 2012.

(SEAL)

  
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Patricia D. Struck  
Administrator  
Division of Securities

NOTICE

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities of the Department of Financial Institutions of the State of Wisconsin under Ch. 551, Wis. Stats., is a criminal offense punishable under the provisions of sec. 551.508, Wis. Stats.

BEFORE THE STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

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In the Matter of  
JAMES JOEL ALBRIGHT JR.,  
Respondent.

WAIVER AND CONSENT  
TO ORDER OF BAR  
File No. S-223065

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The undersigned Respondent, James Joel Albright Jr. (CRD # 2035269), was most recently registered in Wisconsin as an investment adviser representative until December 31, 2011, and as a broker-dealer agent until January 31, 2012;

The undersigned Respondent is currently associated with a broker-dealer as a non-registered fingerprint person under an arrangement to transition his securities business to another broker-dealer/investment adviser, and intends to leave the securities industry;

Therefore, the undersigned Respondent is willing to consent to a permanent bar from future registration in Wisconsin as an investment adviser, investment adviser representative, a broker-dealer agent, agent for issuer, or as any securities representative that requires State registration, and has decided not to contest the issuance of the attached Order of Bar (Consent) in the above-referenced matter;

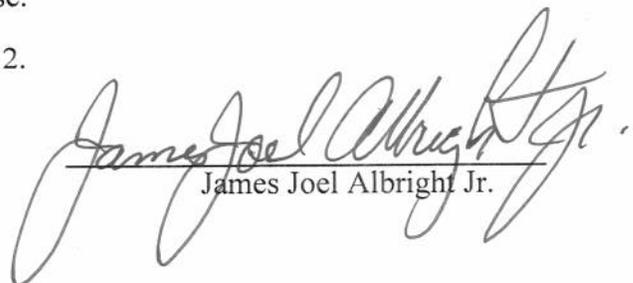
The undersigned Respondent hereby waives his right to a hearing with respect to the matters contained therein and hereby consents to the issuance of the Order of Bar (Consent);

The undersigned Respondent agrees that he will not apply for State registration as a securities professional, including but not limited to investment adviser representative or broker-dealer agent, at any time from the date of the Order of Bar; and

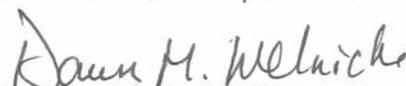
The undersigned Respondent understands that the Order is effective when signed by the Administrator of the Division of Securities and that a willful violation of an Order signed by the Administrator is a criminal offense.

EXECUTED this 9<sup>th</sup> day of May, 2012.

State of Wisconsin     )  
                                  )ss.  
County of Manitowoc  )

  
James Joel Albright Jr.

Subscribed and sworn to before me this  
9<sup>th</sup> day of May, 2012.

  
Notary Public, State of Wisconsin  
My commission: February 22, 2015