

BEFORE THE
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES
STATE OF WISCONSIN

In the Matter of
CA FUNDS GROUP, INC.

ORDER OF DENIAL OF
BROKER-DEALER APPLICATION
(SUMMARY)

Respondent.

File No. S-211697-LX

Based upon the attached Petition for Order I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to §§ 551.412 and 551.604, Wis. Stats.,

IT IS ORDERED THAT:

The broker-dealer application of CA Funds Group, Inc. is hereby denied.

EXECUTED at Madison, Wisconsin, this 9th day of April, 2010.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

Under § 551.604(2), Wis. Stats., within thirty (30) days after the date of service of the Summary Order, any interested party may file a written request with the Administrator of the Division of Securities for a hearing in respect to any matters determined by the Order. A request for hearing shall be in the form of a petition for hearing and shall, under § DFI-Sec. 8.01, Wis. Adm. Code, plainly admit or deny each specific allegation, finding, or conclusion in the Order and incorporated papers (unless the petitioner lacks sufficient knowledge or information to permit an admission or denial, in which case the petition shall so state, and such statement shall have the effect of a denial), and shall state all affirmative defenses.

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities of the State of Wisconsin Department of Financial Institutions under Ch. 551, Wis. Stats., is a criminal offense punishable under the provisions of § 551.508, Wis. Stats.