

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
JAMES E. WILLIAMS,

WAIVER AND CONSENT
TO ORDER

Respondent.

File No. S-09021(EX)

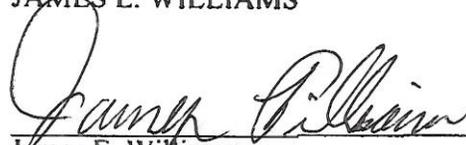
The undersigned Respondent, having decided not to contest the issuance of the attached Order, hereby waives his right to a hearing with respect to this matter and hereby consents to the issuance of the Order;

The undersigned Respondent neither admits nor denies the allegations contained in the Petition for Order in this matter;

The undersigned Respondent understands that the Order is effective when signed by the Administrator of the Division of Securities and that a willful violation of an Order signed by the Administrator is a criminal offense.

EXECUTED this 1 day of December, 2009.

JAMES E. WILLIAMS


James E. Williams
(DOB 06/03/1941)

State of WISCONSIN)
County of BROWN)ss.

Subscribed before me this
1st day of December, 2009.


Notary Public LINDA J. MARQUARDT

My commission expires 01/15/2012.