

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANNICIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
DEREK MCSHERRY

ORDER OF CENSURE,
PROHIBITION AND
SUSPENSION (CONSENT)

Respondent.

File No. S-08157(LX)

Based upon the attached Petition for Order and Waiver and Consent to Order I find that this action is necessary and appropriate in the public interest and for the protection of investors:

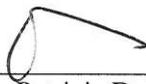
Therefore, pursuant to §§ 551.34 and 551.63, Wis. Stats.,

IT IS ORDERED THAT :

- a. Derek McSherry ("McSherry") is hereby censured for causing a facsimile client signature to be affixed to a transfer document.
- b. McSherry is prohibited from acting in a supervisory capacity for any broker-dealer or investment adviser.
- c. In the event McSherry should apply for registration as a broker-dealer, securities agent, investment adviser or investment adviser representative in the future, and if no intervening circumstances prevent an approval of the application, McSherry's registration will immediately be suspended for 15 days upon its approval.

Executed at Madison, Wisconsin this 29th day of June, 2009

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities of the State of Wisconsin, Department of Financial Institutions under Ch.551. Wis. Stats., is a criminal offense punishable under the provisions of § 551.58, Wis. Stats.