

BEFORE THE  
STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

In the Matter of  
GLENN R. HARRIS,

WAIVER AND CONSENT

Respondent.

File No. S-07032(LX)

The undersigned Respondent, neither admitting or denying the allegations of the Petition For Order, and having decided not to contest the issuance of the attached Order, hereby waives his right to a hearing with respect to this matter and hereby consents to the issuance of the Order.

The undersigned Respondent understands that the Order is effective when signed by the Administrator of the Division of Securities, and that a willful violation of an Order signed by the Administrator is a criminal offense.

EXECUTED this 3<sup>rd</sup> day of March, 2009.



Glenn Reed Harris (CRD#4538997)

State of California )  
 )ss.  
County of Southern )

Subscribed before me this  
4<sup>th</sup> day of March 2009

See Attached Notary Acknowledgment  
Notary Public, State of California

My commission \_\_\_\_\_.

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Sonoma

On March 4<sup>th</sup> 2009 before me, Palvinder K. Dhanowa  
Date Here Insert Name and Title of the Officer

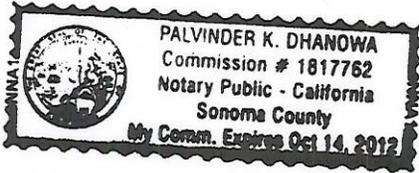
personally appeared Glenn R. Harris  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person ~~(s)~~ whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity ~~(ies)~~, and that by his/~~her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~ or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature P.K.D.  
Signature of Notary Public



Place Notary Seal Above

**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**

Title or Type of Document: Waiver of Consent

Document Date: 3-4-2009 Number of Pages: 1

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: Glenn R. Harris

- Individual
- Corporate Officer — Title: \_\_\_\_\_
- Partner — Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer is Representing: self



Signer's Name: \_\_\_\_\_

- Individual
- Corporate Officer — Title: \_\_\_\_\_
- Partner — Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

Notary Public in and for the State of California, My Commission Expires on \_\_\_\_\_