

BEFORE THE  
STATE OF WISCONSIN  
DEPARTMENT OF FINANNCIAL INSTITUTIONS  
DIVISION OF SECURITIES

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In the Matter of  
LEONARD D. SELLERS

ORDER OF PROHIBITION  
AND SUSPENSION  
(CONSENT)

Respondent.

File No. S-07058(LX)

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Based upon the attached Petition for Order and Waiver and Consent to Order I find that this action is necessary and appropriate in the public interest and for the protection of investors:

Therefore, pursuant to secs. 551.34 and 551.63, Wis. Stats.,

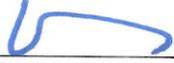
IT IS ORDERED THAT :

- a. Leonard D. Sellers' investment adviser representative license is suspended for a period of 3 months from July 1 2008 until October 1 2008. He, his agents, servants, employees and every entity and person directly or indirectly controlled or organized by or on his behalf, are prohibited from borrowing money from customers of his investment advisory firm as proscribed by DFI-Sec 5.06(6), Wis. Adm. Code and sec 551.34(1), Wis. Stats.
- b. Leonard D. Sellers' securities agent license is suspended retroactively for a period of three months. He, his agents, servants, employees and every entity and person directly or indirectly controlled or organized by or on his behalf, are prohibited from borrowing money from customers of any broker-dealer as proscribed by DFI-Sec 4.06(2), Wis. Adm. Code and sec 551.34(1), Wis. Stats.
- c. Leonard D. Sellers, his agents, servants, employees, and every entity and person directly or indirectly controlled or hereafter organized by

or on his behalf, are prohibited from violating sec. 551.33, Wis. Stats.,  
or successor statute.

Executed at Madison, Wisconsin this 30th day of June, 2008

(SEAL)

  
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Patricia D. Struck  
Administrator  
Division of Securities

NOTICE:

You are advised that any willful violation of an Order issued by the  
Administrator of the Division of Securities of the State of Wisconsin Department of  
Financial Institutions under Ch.551. Wis. Stats., is a criminal offense punishable under  
the provisions of sec. 551.58, Wis. Stats.