

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of

MICHAEL ROZNOWSKI,

Respondent.

PETITION FOR ORDER TO VACATE
SUMMARY ORDER OF CENSURE
AND PROHIBITION

File No. S-07031 (LX)

The staff of the State of Wisconsin, Department of Financial Institutions, Division of Securities, Bureau of Enforcement, has conducted an investigation in this matter pursuant to § 551.56, Wis. Stats., and as a result thereof alleges as follows:

1. Michael A. Roznowski ("Roznowski") is a licensed securities agent (CRD # 2749465) with a last known business address at 4053 Perryville Rd., Loves Park, Illinois 61111;
2. At all times material hereto, Roznowski has been an agent of Metlife Securities, Inc., and has taken and passed the series 6 and 63 securities exams;
3. On November 7, 2007, the staff petitioned for an Order of Censure and Prohibition against Roznowski, which Order was issued by the Administrator on November 12, 2007;
4. The allegations set forth in the petition referred to in ¶2, if proven, would give rise to a violation of the Wisconsin Uniform Securities Law, Chapter 551, Wis. Stats.;
5. The Division staff and Respondent have reached an agreement in resolution of the matters alleged in the Petition, making a hearing in the matter unnecessary;
6. Therefore, it is in the public interest and for protection of investors that the staff agree to vacate the outstanding Petition for Summary Order of Censure and Prohibition issued on November 12, 2007 and agree that the attached Order to Vacate Summary Order of Censure and Prohibition be issued;

Therefore, the staff of the Bureau of Enforcement petitions the Administrator of the Division of Securities for the issuance of the attached Order pursuant to Ch. 551, Wis. Stats.

Leslie M. Van Buskirk 10.13.08

Leslie M. Van Buskirk
Attorney Supervisor

Date