

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
ROMAN H. CLAAS,

WAIVER AND CONSENT
TO ORDER

Respondent.

File No. S-08019(EX)

The undersigned Respondent, having decided not to contest the issuance of the attached Order, hereby waives his right to a hearing with respect to this matter and hereby consents to the issuance of the Order;

The undersigned Respondent understands that the Order is effective when signed by the Administrator of the Division of Securities and that a willful violation of an Order signed by the Administrator is a criminal offense.

EXECUTED this 14th day of March, 2008.

ROMAN H. CLAAS

Roman H. Claas
Roman H. Claas
(DOB 3/6/43)

State of)
County of)

Subscribed before me this
14th day of March, 2008.

Crystal Woods
Notary Public Wisconsin

My commission expires 10/5/2008