

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
TIMOTHY P. BARRY

PETITION FOR ORDER

Respondent.

File No. S-08045(LX)

The staffs of the Bureau of Licensing & Compliance and the Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

1. Timothy P. Barry ("Barry") is a licensed securities agent (CRD #2267209) with a last known business address at 648 White Birch Ct. Appleton, WI 54915;
2. At all times material hereto, Barry is employed as a securities agent with Packerland Brokerage Services at its branch office in Appleton, WI;
3. On at least one occasion, Barry compensated a customer for losses incurred without having first obtained written authorization from Mr. Barry's member firm;
4. Barry's actions, as described above, are deemed to be "dishonest or unethical business practices" or "taking unfair advantage of a customer" and provide a basis, pursuant to DFI-Sec. 4.06(2)(i), Wis. Adm. Code and §551.34(1)(g), Wis. Stats., for the censure of a licensee.

THEREFORE, the staffs of the Bureaus of Licensing & Compliance and Registration & Enforcement petition the Administrator for the issuance of the attached Order.

DATED this 14th day of April, 2008.



Mark C. Eisenmann
Examiner
Bureau of Licensing & Compliance



Leslie Van Buskirk
Supervising Attorney
Bureau of Registration & Enforcement