

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
MICHAEL A. ROZNOWSKI

ORDER OF CENSURE AND
PROHIBITION
(SUMMARY)

Respondent.

File No. S-07031(LX)

Based upon the attached Petition for Order I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to §§551.34(2) and 551.63, Wis. Stats.,

IT IS ORDERED THAT:

Michael A. Roznowski is hereby censured for, and prohibited from, offering advice on the advisability of individual stock transactions or effecting or attempting to effect transactions in individual securities until he meets the statutory requirements of such actions;

EXECUTED at Madison, Wisconsin this 12th day of April, 2007.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities of the State of Wisconsin Department of Financial Institutions under Ch. 551, Wis. Stats., is a criminal offense punishable under the provisions of sec. 551.58, Wis. Stats.